


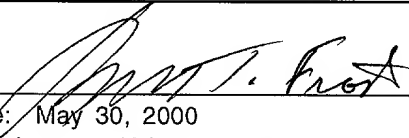
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> JC826 U.S. PTO  05/30/00 (Only for new nonprovisional applications under 37 CFR 1.53(b))	<i>Title of Invention</i>	SPEECH RECOGNITION BASED ON INTERACTIVE INFORMATION RETRIEVAL SCHEME USING DIALOGUE CONTROL TO REDUCE USER STRESS
	<i>Named Inventor(s)</i>	KUMIKO OHMORI; MASANOBU MIGASHIDA; NORIKO MIZUSAWA
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<b>APPLICATION ELEMENTS</b>		Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Specification, Claims, and Abstract Total Pages 126 3. <input checked="" type="checkbox"/> Drawings Total Sheets 36 4. Oath or Declaration Total Pages 2 a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> <b>[Note Box 5 Below]</b> (i) <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input type="checkbox"/> Incorporation by Reference <i>(usable if Box 4b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. 6. <input type="checkbox"/> Microfiche Computer Program <i>(Appendix)</i> 7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies		<b>ACCOMPANYING APPLICATION PARTS</b> 8. <input checked="" type="checkbox"/> Assignment: a. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) b. <input type="checkbox"/> Assignment is of record in parent application No. _____ 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney by assignee 10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 11. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449 <input type="checkbox"/> Copies of IDS Citations 12. <input type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 14. <input type="checkbox"/> Small Entity Statement(s) <input type="checkbox"/> Statement filed in prior application Status still proper and desired 15. <input type="checkbox"/> Certified Copy of Priority Document(s) 16. <input type="checkbox"/> Other: _____ _____ _____	
17. If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No:			
18. CORRESPONDENCE ADDRESS:  Roger T. Frost, Esq. JONES & ASKEW, LLP 2400 Monarch Tower 3424 Peachtree Road, N.E. Atlanta, Georgia 30326  By:  Reg. No. 22,176 Date: May 30, 2000 Telephone: 404-949-2400 Facsimile: 404-949-2499			

SPEECH RECOGNITION BASED INTERACTIVE INFORMATION  
RETRIEVAL SCHEME USING DIALOGUE CONTROL TO REDUCE  
USER STRESS

5

BACKGROUND OF THE INVENTION

FIELD OF THE INVENTION

The present invention relates to a speech recognition  
10 based interactive information retrieval scheme aimed at  
retrieving user's intended information through a speech  
dialogue with a user.

DESCRIPTION OF THE BACKGROUND ART

15 The computer based speech recognition processing is a  
processing for matching a user input speech with a  
recognition target database, and calculating a similarity  
of the input speech with respect to every word in the  
database as a recognition likelihood. The current  
20 recognition technology has a limitation on the number of  
recognition target words for which the recognition result  
can be outputted within a real dialogue processing time,  
and a considerable amount of time is required until  
returning a response to the user when the number of  
25 recognition target words exceeds this limit. Also, a  
lowering of the recognition accuracy due to an increase of  
the recognition target words is unavoidable. Moreover, the  
recognition accuracy is largely dependent on speakers and  
speech utterance environments, and a lowering of the  
30 recognition accuracy due to surrounding noise or a lowering  
of the recognition accuracy due to incompleteness of the  
input speech uttered by a speaker can occur even in the  
case where a recognition device has high performance and  
accuracy, so that there is no guarantee for being able to  
35 always obtain 100% accuracy.

The conventional speech recognition based interactive information retrieval system carries out the recognition processing using a speech recognition device with respect to a user's input speech, keeps a user awaiting until the  
5 processing is finished, and presents candidates obtained as a result of the recognition to the user sequentially in a descending order of recognition likelihood by repeating the presentation of candidates until a correct one is confirmed by the user.

10 On the other hand, in the case of utilizing speech as interface for the information providing service, the real time performance and the accuracy are required. When there are many recognition target words, the target information is classified by an attribute tree formed by a plurality of  
15 hierarchical levels. Lower level attributes have a greater possibility of having the number of attribute values that exceeds the number that can be processed within the real dialogue processing time. In order to ascertain the user's intended target information, there is a need to determine  
20 an attribute value at each level, but a higher level attribute value can be automatically determined by tracing the tree once a lower level attribute value is determined (provided that the determined lower level attribute value and the related lower level attribute value are in one-to-  
25 one correspondence without any overlap). Consequently, it is possible to expect that the target information can be ascertained in short time if it is possible to ascertain the lower level attribute value first.

However, the conventional speech recognition based  
30 interactive information retrieval system does not allow the user to input the lower level attribute value first in view of the recognition error and the number of words that can be processed within a time that does not spoil naturalness of the dialogue with the user. Namely, it has been  
35 necessary to adopt a method for narrowing the recognition

target words down to the number of data that can be  
processed within the real dialogue processing time by first  
asking a query for the higher level attribute for which the  
number of attribute values is small and requesting input,  
5 determining the attribute value by repeating presentation  
of candidates obtained as a result of the recognition in a  
descending order of recognition likelihood until the  
entered attribute value can be determined, and selecting  
only those attribute values that are related to the  
10 determined higher level attribute value among the next  
level attribute values as the next recognition target..

Such a conventional method cannot narrow down the next  
level recognition target attribute values unless the higher  
level attribute value is determined so that the  
15 presentation of candidates to the user is repeated until  
the higher level attribute value is determined. However, in  
this conventional method, a process including the attribute  
value input request, the candidate presentation and  
confirmation until the attribute value is determined for  
20 each attribute, and the narrowing down of the next level  
attribute values after the attribute value determination,  
is required to be repeated as many times as the number of  
hierarchical levels involved in order to ascertain the  
target information, and this number of repetition is  
25 greater for the target information that has deeper  
attribute hierarchical levels, so that it has been  
difficult to ascertain the target information efficiently.

In a system for ascertaining a target information from  
an information database that comprises the number of words  
30 exceeding the number that can be processed within the real  
dialogue processing time, in order to determine the (lower  
level) attribute value from which the target information  
can be ascertained, the user is kept awaiting during the  
recognition processing and the confirmation process for  
35 sequentially presenting the recognition result is carried



out. However, when it is difficult to determine the correct attribute value smoothly due to recognition errors, it is necessary to repeat the confirmation process many times despite of the fact that the user has already been kept  
5 awaiting, and this can make the dialogue unnatural and cause a great stress on the user.

Consequently, in the current system based on the current speech recognition technology, it is impossible to allow the user's input starting from the lower level  
10 attribute value such that a reasonably accurate response can be returned without requiring a wait time to the user, and it is necessary to request the user's input sequentially from the higher level attribute value and repeat the attribute value determination. The recognition  
15 target words of the lower level are to be narrowed down by determining the higher level attribute value, so that the dialogue cannot proceed further until the higher level attribute value is determined. In other words, there is a need for the confirmation process until it becomes possible  
20 to determine the entered attribute value at each level.

If it is possible to ascertain the lower level attribute value first, the higher level attribute value can be ascertained automatically so that the target information can be ascertained efficiently, and in view of this fact,  
25 the currently used process for repeating query, determination and confirmation process until the determination with respect to each query sequentially from the higher level is very circumlocutory or circuitous for the user.

30 In particular, the user is forced to enter input from the higher level because input from the lower level is not allowed, the presentation and confirmation process must be repeated when it is not possible to obtain a correct attribute value as a top candidate due to recognition  
35 errors, and the attribute value input and the confirmation

process must be repeated as many times as the number of hierarchical levels involved until the target information is ascertained (the lowest level attribute value is determined) even after determining each input by several trials of the presentation and confirmation process.

Although these are indispensable processes for the system, they appear as very circuitous and superfluous processes for the user who prefers natural and short dialogues, and cause a great stress on the user.

As a method for ascertaining the target information while reducing stress on the user, allowing the user's input from the lower level attribute value can be considered, but this requires the determination of the attribute value that has the number of recognition target words exceeding the number that can be processed within the real dialogue processing time.

Also, in the computer based speech recognition processing, the recognition of speeches by unspecified speakers and speeches uttered at irregular utterance speed are particularly difficult, and in addition the degradation of speech quality due to surrounding noise or the like can make 100% speech recognition accuracy practically impossible, so that the instantaneous determination of a speech retrieval key that is entered as the user's speech input is difficult.

Also, in the speech recognition based interactive information retrieval system, in order to realize the natural dialogues with the user, it is prerequisite for the system to return a response to the user's input in real time that does not appear unnatural to the human sense. However, there is a limit to the number of words that can be speech recognition processed within a prescribed period of time. For this reason, when the recognition target is a large scale database having the number of words that cannot be processing within a prescribed period of time, it is

difficult to achieve the task requested by the user within a prescribed period of time through natural dialogues between the user and the system, without making the user conscious of the processing time required for the  
5 information retrieval at a time of the speech recognition processing by the system as well as the incompleteness of the speech recognition accuracy by the system.

Consequently it is necessary to keep the user awaiting while the system outputs the recognition processing result  
10 and when the presented result turns out to be the recognition error it is necessary to keep the user awaiting further until another recognition result is presented, so that it is difficult to construct a system using speech as input interface that has both quickness and accuracy  
15 equivalent to a human operator based system, according to the current speech recognition technology.

Also, in the conventional retrieval method aiming at the determination of the retrieval key requested by the user with respect to a large scale database that cannot be  
20 processed in real time, because of the limitation on the number of data that can be speech recognition processed in real time, the user is urged to enter a retrieval assist key that can lead to the narrowing down of the retrieval key candidates such that the recognition targets can be  
25 reduced from the entire large scale database to the number of data that can be processed in real time, without allowing the user to enter the requested retrieval key immediately.

Here, the retrieval assist keys are selected to be  
30 data formed by the number of data that can be processed in real time, such that each retrieval key to be requested by the user always has one retrieval assist key as its higher level key, the retrieval assist key (higher level key) of the retrieval key to be requested is simple and obvious to  
35 the user, and lower level keys (the retrieval keys to be

requested by the user) belonging to one retrieval assist key are formed by the number of data that can be processed in real time, so as to enable the determination of the retrieval key.

- 5       Also, in the conventional retrieval method aimed at the determination of the retrieval key requested by the user using the speech input, the speech recognition processing with respect to the retrieval assist key (higher level key) is carried out first, and the obtained retrieval
- 10 assist key (higher level key) candidates are presented to the user sequentially in a descending order of the recognition likelihood until a response indicating it is a correct one is obtained. When the retrieval assist key is determined, the retrieval key (lower level key) candidates
- 15 having the determined retrieval assist key as the higher level key are extracted as the recognition target data, and the input of the retrieval key (lower level key) that the user really wants to request is urged to the user. Similarly as for the retrieval assist key, the retrieval
- 20 key is determined by presenting the retrieval key candidates obtained by the speech recognition processing to the user sequentially in a descending order of recognition likelihood until a response indicating it is a correct one is obtained.
- 25       As such, the current speech recognition technology has a limit to the number of words for which the matching with the speech recognition database, the recognition likelihood calculation and the recognition result output can be carried out in real time, so that a longer recognition time
- 30 is required when the number of recognition target words is increased. In the speech retrieval system using speech as input interface, when the recognition target is a large scale database, keeping the user awaiting during the speech recognition processing by the system can cause stress on
- 35 the user, so that the current system carries out the

narrowing down of the recognition target by utilizing the attribute values of the attribute items that each recognition target data has, so as to be able to output the recognition result in real time.

5        However, the current speech recognition technology is such that the 100% speech recognition accuracy cannot be attained even when the recognition target is narrowed down to the number of words that can be processed in real time. In particular, the recognition of speeches by unspecified  
10       speakers, speeches uttered at irregular utterance speed, and speech uttered under the noisy environment are particularly difficult, so that the confirmation process for confirming the recognition result to the user is indispensable in order to ascertain the input speech. The  
15       confirmation process is a process for presenting the recognition candidates obtained by the speech recognition processing to the user sequentially in a descending order of recognition likelihood. The number of confirmation processes becomes larger for the poorer input speech  
20       recognition accuracy. However, the user demands the input interface to have a handling equivalent to the human operator, so that the repeated confirmation processes can cause stress on the user.

      In the current speech recognition based interactive  
25       information retrieval system using a large scale database as the recognition target, the attribute value input for the attribute item in order to narrow down the recognition target to the number that can be processed in real time is urged, and then the user's requested retrieval key input is  
30       urged when the recognition target is narrowed down according to the attribute values, so that the confirmation process is required for both the attribute value and the retrieval key. The attribute value input is an indispensable process in realizing the real time  
35       recognition processing from a viewpoint of the system, but

it is circuitous for the user because the retrieval key that the user really wants to request cannot be entered immediately, and the confirmation processes are repeated twice, once for the attribute value detection and another  
5 for the retrieval key determination, which cause further stress on the user.

Also, the retrieval system using speech as input interface and having a large scale database as the recognition and retrieval target is aiming at providing  
10 quick and accurate responses to the user such that the user may have an illusion of dialogue with a human operator, so that it has been necessary to adopt a query format that can lead to the narrowing down of the number of recognition target words effectively for the system such that the  
15 recognition processing time and the recognition accuracy can be compensated. For this reason, without allowing the input of the retrieval key that the user really wants to request immediately, the retrieval assist key that can lead to the narrowing down of the retrieval key is determined  
20 first. However, the user is forced to enter the input of the retrieval assist key first rather than the retrieval key that the user really wants to request and then urged to enter the retrieval key only after the retrieval assist key is determined, so that this process may appear to the user  
25 as if a superfluous process for the user (indispensable process for the system) is forced before the input of the retrieval key that the user really wants to request and can cause stress on the user.

30

#### SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide a speech recognition based interactive information  
35 retrieval scheme capable of ascertaining the target

information by determining the attribute values without making the user conscious of the time required for the speech recognition processing and the retrieval, and without causing unnatural dialogues with the user due to incompleteness of the speech recognition processing. In this scheme, in a process for determining the attribute value necessary in order to ascertain the target information, the recognition target attribute value can be determined even when the number of attribute values exceeds the number that can be processed within a prescribed period of time, by utilizing a method for narrowing down the recognition target words that can return a response with a tolerable level of accuracy for the user without making the user to have a feeling of being kept awaited, and a method for ascertaining input that can realize the reduction or the omission of the confirmation processes.

It is another object of the present invention to provide an operator-less speech recognition based interactive information retrieval scheme using speech dialogues based on the dialogue control which is capable of determining the retrieval key entered by the user through natural dialogues. In this scheme, the retrieval key can be determined using a large scale database having the retrieval target words that cannot be processed within a prescribed period of time, without making the user conscious of the time required for the speech recognition processing and the database matching, and without causing unnatural dialogues with the user due to incompleteness of the speech recognition processing, such that the task of determining the speech retrieval key entered by the user can be achieved in the operator-less speech recognition based interactive information retrieval system, without making the user conscious of the waiting time, through dialogues that have both quickness and naturalness equivalent to a human operator based system.

It is another object of the present invention to provide a speech recognition based interactive information retrieval scheme using a large scale database as the recognition target, which is capable of ascertaining a retrieval key entered by the speech input while reducing stress on the user. In this scheme, the retrieval key is ascertained without carrying out the attribute value determination, such that the confirmation process for the purpose of determining the attribute value is eliminated and the circuitry due to the confirmation process is eliminated, while the processing time required for the retrieval key determination is shortened.

It is another object of the present invention to provide a speech recognition based interactive information retrieval scheme capable of realizing the retrieval that has both quickness and naturalness in determining the retrieval key from a large scale database. In this scheme, the recognition and the retrieval are carried out without making the user conscious of the waiting time and incompleteness of the recognition accuracy during the recognition even when the retrieval key that the user really wants to request is entered immediately at the beginning, by utilizing the bias in the access frequencies of data in the large scale database, in the retrieval aimed at determining the retrieval key entered by the user using the large scale database as the recognition target.

First, in the first scheme of the present invention, at a time of determining the attribute value of the attribute having the number of attribute value candidates exceeding the number than can be processed within the real dialogue processing time in the information database, the importance levels are assigned to a set of the recognition target attribute values (recognition target words) of that attribute according to the bias of the past access frequencies or the like, and the priority recognition



processing with respect to data with a higher importance level is carried out, in order to return a response having a tolerable level of accuracy for the user within such a time that the user does not sense any stress and

5 unnaturalness in response to the input of the retrieval target attribute.

Namely, the number of attribute values (the number specified by the system, which is assumed to be N) that can be processed within the real dialogue processing time by  
10 the speech recognition device are selected as the prioritized recognition target words according to the importance levels, and the speech recognition processing is carried out at a higher priority for these prioritized recognition target words.

15 Then, based on a comparison of a prescribed threshold and the recognition likelihood with respect to each attribute value candidate that is calculated from the recognition result, for example, when a prescribed condition for judging that the attribute value can be  
20 ascertained only by the confirmation process with the user is satisfied, the confirmation process for presenting the result to the user is attempted.

In the recognition processing for the prioritized recognition target words, the prioritized recognition  
25 target words are formed by those attribute values that have higher possibility of being accessed, from the attribute values if the attribute that exceed the number than can be processing within the real dialogue processing time, so that appropriate recognition result can be presented at  
30 this point in many cases with respect to the most users.

When the above condition for judging that the attributed value can be ascertained only by the confirmation process is not satisfied, either the target attribute value is not contained in the prioritized  
35 recognition target words, or the accuracy of the

recognition device is poor so that a correct one was not  
obtained as leading candidates. In this case, the dialogue  
is proceeded to a related information query, where other  
hierarchically adjacent attribute is queried, for example,  
5 and the attribute value is determined by cross-checking the  
recognition result of the other attribute and the earlier  
recognition result such that the conventionally used  
repetition of the confirmation processes starting from the  
leading candidates is eliminated and thereby the user  
10 stress is eliminated.

One of the features of this first scheme is that the  
dialogue is proceeded to the related information query  
while the recognition processing for the non-prioritized  
recognition target words is carried out in parallel by  
15 utilizing the related information query dialogue time, in  
order to deal with the case where the target attribute  
value is contained in the remaining non-prioritized  
recognition target words, without notifying the user that  
the processing up to this point has been based only on the  
20 recognition result for the prioritized recognition target  
words. When the recognition processing for a response to  
the related information query is carried out and the  
recognition result is obtained, the recognition result for  
only those non-prioritized recognition target words for  
25 which the recognition processing has been finished by then  
in the parallel recognition processing are added to the  
recognition result of the prioritized recognition target  
words, and the recognition result is narrowed down by  
referring to the relevancy with the recognition result of  
30 the related information query response.

Here, when the non-prioritized recognition target  
words comprises the number of words that exceeds the number  
(N) that can be processed within the real dialogue  
processing time, the recognition processing for the non-  
35 prioritized recognition target words is still not completed

by the time when a response to one related information query is obtained, and the user would have to be kept awaited if the recognition processing is continued up to the completion. In such a case, the non-prioritized  
5 recognition target words are subdivided into a plurality of sets each having N words. Then, the recognition processing is carried out by supplying each set of the non-prioritized recognition target words sequentially in a descending order of the importance level as the next recognition target  
10 words to the recognition device, Then, the recognition result for each set of non-prioritized recognition target words that has been processed by the time when a response to the related information query is entered by the user is added to the recognition result obtained so far.

15 Such a related information query has an effect of realizing a natural dialogue in which the user answers a question that seems natural, rather than a superfluous process such as the waiting time or the repeated confirmation process. On the other hand, from a viewpoint  
20 of the system, the related information query dialogue time can be utilized as the recognition time for the non-prioritized recognition target words, and in addition, if a related information that can lead to the narrowing down of the attribute value to be determined is obtained from the  
25 relevancy among the attribute values, this obtained related information can be utilized as information for narrowing down the attribute value.

Then, whether the condition for judging that the target attribute value can be ascertained only by the  
30 confirmation process is satisfied or not is checked again with respect to the result obtained by cross-checking the result of the related information query and the earlier recognition result, and if this condition is satisfied the confirmation process is attempted, whereas otherwise  
35 another related information is queried.

If the recognition processing for the non-prioritized recognition target words has not been completed yet, the recognition processing is continued by utilizing the related information query dialogue time in order to deal  
5 with the case where the target attribute value is contained in those attribute values for which the recognition processing has not been carried out yet. When there is no more related information to be queried, further recognition processing time for the non-prioritized recognition target  
10 words is gained by repeating the similar related information queries several times or by presenting the recognition result of the related information query response in order to obtain more accurate related information, for example.

15 In this first scheme, the dialogue is proceeded in such a way that the user remains totally unaware of the internal processing state of the system, so that it is possible to realize the attribute value determination and the target information ascertaining through a flow of  
20 natural dialogues. Namely, according to this first scheme, it becomes possible to make it appear to the user as if the system is carrying out the recognition processing for all the attribute values and returning a response according to such recognition result. The dialogue is proceeded to the  
25 related information query such that the user remains unaware of the fact that the first response is actually returned according to the recognition result only for the prioritized recognition target words, and the fact that the target attribute value may not necessarily be contained in  
30 the prioritized recognition target words.

Then, by cross-checking the result of the related information query while adding the recognition result for the non-prioritized recognition target words that is obtained by the gradually continued recognition processing,  
35 it is possible to maintain natural dialogues with the user

while determining the input attribute value and  
ascertaining the target information within appropriate  
time, even with respect to the recognition target words  
that exceed the number that can be processed within the  
5 real dialogue processing time, without causing the user to  
feel unnaturalness or stress.

According to this first scheme, it becomes possible to  
allow the user to immediately enter the lower level  
attribute value input, which seems like a natural and  
10 efficient way of ascertaining the target information from  
the user's perspective, and moreover the inadvertent  
repetition of the confirmation process is avoided, so that  
the reduction of the stress on the user can be expected. In  
addition, it is possible to realize the interactive  
15 information retrieval process that has both high accuracy  
and naturalness and that does not make the user conscious  
of the waiting time and incompleteness of the recognition  
accuracy.

Next, in the second scheme of the present invention,  
20 the importance levels are assigned to data in the speech  
recognition database having a large number of the speech  
recognition target words that cannot be processed within a  
prescribed time, according to the statistical information  
such as past access frequencies or utilization frequencies.  
25 Then, a plurality of statistically hierarchized databases  
are formed by partial databases created by selecting  
respectively defined prescribed numbers of data  
sequentially from data having higher importance levels, and  
hierarchically structuring these partial databases such  
30 that a lower level partial database contains a larger  
number of data and the lowest level partial database  
contains all data of the speech recognition database. These  
statistically hierarchized databases are specifically  
designed to maintain the naturalness of the dialogue to be  
35 carried out between the user and the system in order to

achieve the task.

Here, the real time performance is realized virtually by utilizing differences between the processing times for different levels due to differences in the number of data contained at different levels. Namely, the speech recognition processing and the speech retrieval key candidate extraction based on the speech recognition likelihood are carried out in parallel for different levels of the statistically hierarchized databases, and the dialogue leading with respect to the user is carried out sequentially for different levels, starting from the highest level statistically hierarchized database for which the processing is finished first, while continue processing the other levels.

The statistically hierarchized databases used in this second scheme are retrieval key attribute databases that maintain attribute values of the attribute items expressing features of each data as the related attribute information, with respect to all data of the retrieval target speech recognition database. The related attribute information is utilized at a time of carrying out the retrieval key determination related query in which the related attribute information of the speech retrieval key is queried in order to narrow down the speech retrieval key in this scheme.

Also, in this second scheme, in order to narrow down candidates from the speech retrieval key leading candidates, when a plurality of related attribute information candidates obtained from the retrieval key determination related query and the speech retrieval key leading candidates to be narrowed down are found to be related by referring to the retrieval key attribute database, the retrieval key recognition likelihood and the related information recognition likelihood are normalized and multiplexed so as to realize the candidate determination.

This second scheme realizes the speech retrieval key determination in a speech recognition based interactive information retrieval apparatus aiming at the speech retrieval key determination for which the retrieval target is the speech recognition database having a large number of the speech recognition target words for which the speech recognition processing and the database matching cannot be carried out within a prescribed period of time that can maintain the naturalness of the dialogues to be carried out between the user and the system for the purpose of the speech retrieval key determination. Here, the speech retrieval key determination is realized without making the user conscious of time required for the speech recognition processing and the database matching and incompleteness of the speech recognition accuracy just as in a human operator based system, by using a dialogue control that primarily accounts for the naturalness in the dialogue with the user.

In the speech recognition based interactive information retrieval method of this second scheme, because the retrieval target database is of large scale, the retrieval target database is maintained in a form of a plurality of statistically hierarchized databases that are hierarchically structured according to the importance levels, and the number of data contained the statistically hierarchized database at each level is designed such that the speech recognition and the retrieval key recognition likelihood calculation, and the speech recognition result table formation for the  $(n+1)$ -th level can be finished while the dialogue for determining the speech retrieval key according to the recognition result for the  $n$ -th level is carried out with the user. By utilizing differences in the processing times due to differences in the number of data contained at different levels, the speech recognition processing and the recognition candidate output are virtually realized within a prescribed period of time that

does not make the user to feel unnaturalness.

Namely, the speech recognition processing for different levels of the statistically hierarchized databases are carried out in parallel and the speech retrieval key candidates are extracted separately at each level. Then, utilizing the fact that the speech recognition processing for the highest level statistically hierarchized database that contains the smallest number of data representing the speech retrieval key candidates with the statistically high importances can be finished first, the speech recognition result table is sequentially referred starting from that of the highest level statistically hierarchized database, and a method for leading the dialogue with the user is determined according to the number of speech retrieval key leading candidates that exceeds a prescribed likelihood threshold. In this way, the dialogue between the user and the system can be made as natural as the dialogue between human beings without making the user conscious of incompleteness of the speech recognition accuracy.

When the number of speech retrieval key leading candidates is less than or equal to a prescribed number but not zero, the retrieval key determination related query for narrowing down the candidates from the leading candidates is carried out, and the speech retrieval key leading candidate which is found to be related to the obtained related attribute information candidates are determined as the speech retrieval key and presented to the user.

When the number of the speech retrieval key leading candidates is greater than the prescribed number or zero, or when the speech retrieval key presented to the user above is negated by the user as not a correct one, or when no speech retrieval key leading candidate is found to be related to the related attribute information candidates obtained by the above described retrieval key determination



related query, there is a possibility that the target speech retrieval key is not contained in the highest level statistically hierarchized database, so that the retrieval target is shifted to the next level (lower level)

5 statistically hierarchized database for which the speech recognition processing is already finished at this point. Here, however, the user remains unconscious of the shift of the fact that the retrieval target database to the lower level one.

10 When the retrieval target database is shifted to the lower level one, if the speech retrieval key presented to the user above is negated by the user as not a correct one, or no speech retrieval key leading candidate is found to be related to the related attribute information candidates  
15 obtained by the above described retrieval key determination related query, the related attribute information candidates already obtained by the retrieval key determination related query are utilized again, or if the number of the speech retrieval key leading candidates is greater than the  
20 prescribed number or zero, the retrieval key determination related query is newly carried out, and then the obtained related attribute information is utilized to carry out the cross-checking of the recognition likelihood for those candidates which are found to be related to the related  
25 attribute information candidates among the speech retrieval key candidates in this second level statistically hierarchized database that is the current recognition target, so as to determine a new recognition likelihood.

Once again, the number of the speech retrieval key  
30 leading candidates is checked and if it is less than or equal to the prescribed number but not zero, the retrieval key determination related query for asking another related attribute information is carried out, the speech retrieval key leading candidates in this second level statistically  
35 hierarchized database are narrowed down by utilizing the

newly obtained related attribute information candidates additionally, and the speech retrieval key having the highest retrieval key recognition likelihood after the cross-checking of the recognition likelihoods is presented  
5 to the user similarly as in the case of the highest level statistically hierarchized database.

When the recognition result of the second level statistically hierarchized database is such that the number of the speech retrieval key leading candidates is greater  
10 than the prescribed number or zero, or the speech retrieval key presented to the user above is negated by the user as not a correct one, or no speech retrieval key leading candidate is found to be related to the related attribute information candidates obtained by the above described  
15 retrieval key determination related query, the retrieval target is shifted to the next level (third level) statistically hierarchized database and the dialogue leading is repeated similarly as in the case of the highest level statistically hierarchized database, until the speech  
20 retrieval key is determined.

In the dialogue leading in the case where the number of the speech retrieval key leading candidates is less than or equal to the prescribed number but not zero at each level, the reliability of the retrieval key recognition  
25 likelihoods of the leading candidates is increased by carrying out the retrieval key determination related query so as to narrow down the candidates effectively. In the dialogue leading in the case of shifting the retrieval target database to the lower level, the number of the  
30 speech recognition target words is greater in the lower level so that the degradation of the recognition accuracy can be expected, but by accounting for the relevancy with respect to all the related attribute information candidates obtained up until a timing for shifting the retrieval  
35 target to the lower level and narrowing down the candidates

using combination of more information, it is possible to compensate the degradation of the recognition accuracy due to the increased number of data.

Also, the speech recognition based interactive  
5 information retrieval method of this second scheme attempts the speech retrieval key determination using the related attribute information of the speech retrieval key, because the speech retrieval key determination at 100% accuracy is impossible because the speech recognition accuracy is not  
10 100%. However, the related attribute information is also obtained by carrying out the speech recognition with respect to a response to the retrieval key determination related query so that the related attribute information also cannot be obtained at 100% accuracy.

15 For this reason, the recognition likelihoods of the speech retrieval key candidates and the related attribute information candidates are normalized and cross-checked in order to compensate for incompleteness of the speech recognition accuracy, and the dialogue control that  
20 primarily accounts for the naturalness is used while narrowing down the candidates by carrying out the retrieval key determination related query, such that the speech retrieval key candidates are narrowed down without making the user conscious of incompleteness of the speech  
25 recognition accuracy.

By carrying out the dialogue with the user according to the dialogue control utilizing the hierarchical structure of the speech recognition database and the normalization and the cross-checking of the speech  
30 recognition likelihoods, it becomes possible to realize the interactive information retrieval that has both high accuracy and naturalness similar to the human operator based system, without making the user conscious of the waiting time and incompleteness of the speech recognition  
35 accuracy.

Next, in the third scheme of the present invention, the narrowing down of the recognition target is realized without determining the attribute value uniquely in the process for realizing the speech recognition processing and the retrieval key determination in real time, by urging the user to enter the attribute value of the attribute item of the retrieval key and narrowing down the recognition target according to the entered attribute value, in view of the fact that the speech recognition database has the recognition target words that cannot be processed in real time.

In this third scheme, similarly as in the conventional scheme, the retrieval key candidates are classified into groups each containing the number of words that can be processed in real time, by utilizing the attributes of the recognition target retrieval key candidates in the speech recognition database, and the recognition target is narrowed down by inquiring the attribute of the requested retrieval key to the user in order to limit the recognition target group, so as to realize the speech recognition processing and the retrieval key determination in real time. At this point, the entered attribute value is not determined uniquely because the current speech recognition accuracy is not 100%, so that the attribute value candidates are outputted in a descending order of the recognition likelihood obtained as a result of the speech recognition processing for the attribute value.

In this third scheme, however, the confirmation process for uniquely determining the attribute value is not carried out, and the attribute values that have the recognition likelihood greater than or equal to the prescribed likelihood threshold are set as the attribute value leading candidates, and all the retrieval key candidates belonging to the attribute value leading candidates are extracted from the speech recognition

database as the recognition target. Namely, if the number of the attribute value leading candidates is  $n$ , the retrieval key candidates in  $n$  groups corresponding to the classification according to the attribute value leading candidates among the groups classified according to the attribute values will be extracted as the recognition target. Then, the user is urged to enter the speech input for the requested retrieval key, and the confirmation process for presenting the retrieval key candidates in a descending order of the recognition likelihood obtained by the speech recognition processing for the retrieval key using the retrieval key candidates as the retrieval target is carried out in an attempt to determine the retrieval key from the retrieval key candidates.

In this way, the third scheme of the present invention narrows down the recognition target from the large scale speech recognition database, and does not carry out the confirmation process for determining the attribute value uniquely in the process of initially requesting the user to enter the attribute value of the attribute item of the retrieval key, so that the confirmation process with respect to the user is carried out only once for the retrieval key determination, and the circuitry due to the repeated confirmation processes required in the conventional attribute value determination can be eliminated and furthermore the processing time can be shortened.

Next, in the fourth scheme of the present invention, a recording medium that records the retrieval database to be used in determining the retrieval key at the retrieval apparatus in response to the user's input of the retrieval key is formed in a two level hierarchical structure, where the higher level hierarchical data contain the number of data that can be recognition processed in real time as specified by the system. On the other hand, the lower level

hierarchical data are formed such that the retrieval key is contained, the number of data that cannot be recognition processed in real time are contained, each data contained in the lower level is always conceptually dependent on one data in the higher level, and the number of data in the lower level that are conceptually dependent on one data in the higher level is set to be the number of data that can be recognition processed in real time. In addition, an access frequency information indicating the bias of the access frequencies among the data in the lower level is provided and the data in the lower level are maintained such that a high frequency access data group and the other remaining data are distinguished according to the access frequency information.

Also, this fourth scheme realizes the speech recognition based interactive information retrieval aiming at the determination of the entered retrieval key from the speech recognition database by carrying out the speech recognition processing for the retrieval key entered by the user as the speech input, as follows.

When the speech input for the requested retrieval key is entered by the user, the recognition and retrieval processing for the high frequency access data group is carried out at higher priority (procedure 1), and the confirmation process for presenting the retrieval result candidates in a descending order of the recognition likelihood obtained as a result of the speech recognition processing for the retrieval key is carried out (procedure 2). If the retrieval key can be determined by the number of the confirmation processes less than or equal to a prescribed number in the procedure 2, the retrieval key is determined (procedure 3).

If the confirmation processes of the prescribed number of times are negated by the user as not a correct retrieval key in the procedure 3, the related query for inquiring a

generic concept on which the requested retrieval key depends is carried out using the higher level data as the recognition target (procedure 4). Then, the speech recognition for the user's response to the related query is carried out and, using the recognition likelihoods of the obtained generic concept candidates, the confirmation process for presenting the generic concept candidates in a descending order of the recognition likelihood is carried out until the generic concept is determined (procedure 5).

When the generic concept is determined, the lower level data that depend on the determined higher level data are selectively extracted as the recognition target data (procedure 6). Then, the speech recognition processing for the retrieval key entered by the user is carried out again and the confirmation process for presenting the obtained retrieval key candidates in a descending order of the recognition likelihood is carried out so as to determine the speech retrieval key (procedure 7).

In this fourth scheme, the requested retrieval key is contained in the high frequency access data group, it is possible to determine the retrieval key in real time using only the input of the retrieval key that the user really wants to request, without carrying out the related query to inquire a generic concept as assistant for narrowing down the retrieval key so that the fast retrieval can be realized. Even when the requested retrieval key is not contained in the high frequency access data group, the user is urged to enter the retrieval key that the user really wants to request first, and then urged to enter a generic concept as assisting information, which is natural unlike the conventional scheme in which the user is forced to start from the assisting query to inquire a generic concept in order to realize the effective narrowing down from a viewpoint of the system. It is also possible to determine the retrieval key entered by the user as the speech input

from the large scale speech recognition database formed by data that cannot be processed in real time and that have the bias in the access frequencies, using the natural dialogue with the user in which the user is urged to enter  
5 the retrieval key that the user really wants to request first, without making the user conscious of the time required for the speech recognition processing and incompleteness of the speech recognition accuracy.

Assuming that the speech recognition accuracy is 100%  
10 and the candidate determination by the real time speech recognition processing takes  $T_1$  (sec), in the conventional scheme in which a generic concept for narrowing down the recognition target words is inquired first as the retrieval assist key rather than the retrieval key that the user  
15 really wants to request, and the input of the retrieval key is urged after the generic concept is determined and the specific concepts that are dependent on the generic concept are extracted as the retrieval target in order to realize the recognition processing in real time,  $2 \times T_1$  (sec) will  
20 be required because the determination process is carried out with the user twice for the generic concept (retrieval assist key) and the retrieval key.

On the other hand, in this fourth scheme in which the high frequency access data group of the lower level is  
25 formed by data having the access frequency of 80%, the input of the retrieval key that the user really wants to request is urged first, and the retrieval processing is carried out at higher priority for the high frequency access data group. only  $T_1$  (sec) is required in the case  
30 where the requested retrieval key is contained in the high frequency access data group whereas  $2 \times T_1$  (sec) is required in the case where the requested retrieval key is not contained in the high frequency access data group because a method for narrowing down by inquiring the  
35 generic concept next is adopted, and therefore  $0.8 \times T_1 +$



$0.2 \times 2 \times T1 = 1.2 \times T1$  (sec) is required overall, so that the expectation value for the time required in the retrieval key determination is smaller in this fourth scheme.

5 In practice, the speech recognition accuracy is not 100% so that it is difficult to complete the retrieval processing in the above processing time, but if the speech recognition device has such a recognition accuracy that the first candidate is a correct one at a probability of 50%,  
10 the second candidate is a correct one at a probability of 40%, and the third candidate is a correct one at a probability of 10% assuming that the correct retrieval key is obtained from the first three candidates when the correct retrieval key is contained in the speech  
15 recognition database, and assuming that the confirmation process requires  $T1$  (sec), the conventional scheme will require  $0.5 \times T1 + 0.4 \times 2 \times T1 + 0.1 \times 3 \times T1 = 1.6 \times T1$  (sec) (the confirmation process time in the case where the second candidate is a correct one is  $2 \times T1$  (sec) because  
20 the confirmation process is carried out twice). Then, after narrowing down the recognition target to the number of words that can be processed in real time using the generic concept, the determination of the retrieval key requested by the user will also require  $1.6 \times T1$  (sec), so that  $1.6$   
25  $\times T1 + 1.6 \times T1$  (sec) will be required overall.

On the other hand, in this fourth scheme, using the similar speech recognition accuracy and the high frequency access data group formed by data having the access frequency of 80%, and assuming that the confirmation  
30 process for the retrieval key candidates obtained from the lower level is carried out up to twice when the requested retrieval key is contained in the high frequency access data group,  $0.8 \times 0.5 \times T1 + 0.8 \times 0.4 \times 2 \times T1 = 1.04 \times T1$  (sec) will be required for the retrieval key determination  
35 in the case where the correct retrieval key is obtained in

the first two candidates. Also, this case adopts a method for narrowing down the retrieval range by inquiring the generic concept when the correct retrieval key is not obtained in the first two candidates even if the correct  
5 retrieval key is contained in the high frequency access data group, so that  $0.5 \times T1 + 0.4 \times 2 \times T1 \times 0.1 \times 3 \times T1 = 1.6 \times T1$  (sec) will be required in 10% of times (which is a probability by which the third candidate is the correct one), so that  $1.6 \times T1 \times 0.1 = 0.16 \times T1$  will be required.  
10 Also, the same method is used when the requested retrieval key is not contained in the high frequency access data group so that  $1.6 \times T1$  (sec) will be required in 20% of times (in the case where the access frequency of the requested retrieval key is less than 20%), so that  $1.6 \times T1$   
15  $\times T1 \times 0.2 = 0.32 \times T1$  (sec) will be required. Thus, when the speech recognition accuracy is not 100%, this fourth scheme will require  $1.04 \times T1 + 0.16 \times T1 + 0.32 \times T1 = 1.52 \times T1$  (sec) overall.

Consequently, the expectation value for the time  
20 required in the retrieval key determination is reduced in this fourth scheme to less than a half compared with the conventional scheme. Moreover, this fourth scheme has the naturalness in that the user is first urged to enter the retrieval key that the user really wants to request, rather  
25 than starting from an assisting query for the purpose of the effective narrowing down from a viewpoint of the system.

According to one aspect of the present invention there is provided a method of speech recognition based  
30 interactive information retrieval for ascertaining and retrieving a target information of a user by determining a retrieval key entered by the user using a speech recognition processing, comprising the steps of: (a) storing retrieval key candidates that constitute a number  
35 of data that cannot be processed by the speech recognition

processing in a prescribed processing time, as recognition  
target words in a speech recognition database, the  
recognition target words being divided into prioritized  
recognition target words that constitute a number of data  
5 that can be processed by the speech recognition processing  
in the prescribed processing time and that have relatively  
higher importance levels based on statistical information  
among the recognition target words, and non-prioritized  
recognition target words other than the prioritized  
10 recognition target words; (b) requesting the user by a  
speech dialogue with the user to enter a speech input  
indicating the retrieval key, and carrying out the speech  
recognition processing for the speech input with respect to  
the prioritized recognition target words to obtain a  
15 recognition result; (c) carrying out a confirmation process  
using a speech dialogue with the user according to the  
recognition result to determine the retrieval key, when the  
recognition result satisfies a prescribed condition for  
judging that the retrieval key can be determined only by a  
20 confirmation process with the user; (d) carrying out a  
related information query using a speech dialogue with the  
user to request the user to enter another speech input for  
a related information of the retrieval key, when the  
recognition result does not satisfy the prescribed  
25 condition; (e) carrying out the speech recognition  
processing for the another speech input to obtain another  
recognition result, and adjusting the recognition result  
according to the another recognition result to obtain  
adjusted recognition result; and (f) repeating the step (c)  
30 or the steps (d) and (e) using the adjusted recognition  
result in place of the recognition result, until the  
retrieval key is determined.

According to another aspect of the present invention  
there is provided a method of speech recognition based  
35 interactive information retrieval for ascertaining and

retrieving a target information of a user by determining a  
retrieval key entered by the user using a speech  
recognition processing, comprising the steps of: (a)  
storing retrieval key candidates that are classified  
5 according to attribute values of an attribute item in a  
speech recognition database; (b) requesting the user by a  
speech dialogue with the user to enter a speech input  
indicating an attribute value of the attribute item for the  
retrieval key, and carrying out the speech recognition  
10 processing for the speech input to obtain a recognition  
result indicating attribute value candidates and their  
recognition likelihoods; (c) selecting those attribute  
value candidates which have recognition likelihoods that  
are exceeding a prescribed likelihood threshold as  
15 attribute value leading candidates, and extracting those  
retrieval key candidates that belong to the attribute value  
leading candidates as new recognition target data; (d)  
requesting the user by a speech dialogue with the user to  
enter another speech input indicating the retrieval key,  
20 and carrying out the speech recognition processing for the  
another speech input with respect to the new recognition  
target data to obtain another recognition result; and (e)  
carrying out a confirmation process using a speech dialogue  
with the user according to the another recognition result  
25 to determine the retrieval key.

According to another aspect of the present invention  
there is provided a method of speech recognition based  
interactive information retrieval for ascertaining and  
retrieving a target information of a user by determining a  
30 retrieval key entered by the user using a speech  
recognition processing, comprising the steps of: (a)  
storing retrieval key candidates that constitute a number  
of data that cannot be processed by the speech recognition  
processing in a prescribed processing time, in a plurality  
35 of statistically hierarchized databases provided in a

speech recognition database, where lower level statistically hierarchized databases contain increasingly larger part of the retrieval key candidates such that a lowest level statistically hierarchized database contains all the retrieval key candidates; (b) requesting the user by a speech dialogue with the user to enter a speech input indicating the retrieval key, and carrying out the speech recognition processing for the speech input with respect to all of the plurality of statistically hierarchized databases in parallel, to sequentially obtain respective recognition results indicating recognition retrieval key candidates and their recognition likelihoods; (c) selecting those recognition retrieval key candidates which have recognition likelihoods that are exceeding a prescribed likelihood threshold as recognition retrieval key leading candidates, for each statistically hierarchized database for which the speech recognition processing is completed; and (d) controlling a next speech dialogue with the user according to whether a prescribed condition that a number of the recognition retrieval key leading candidates is less than or equal to a prescribed number but not zero is satisfied or not.

According to another aspect of the present invention there is provided a speech recognition based interactive information retrieval apparatus for ascertaining and retrieving a target information of a user by determining a retrieval key entered by the user using a speech recognition processing, comprising: a speech recognition database configured to store retrieval key candidates that constitute a number of data that cannot be processed by the speech recognition processing in a prescribed processing time, as recognition target words, the recognition target words being divided into prioritized recognition target words that constitute a number of data that can be processed by the speech recognition processing in the

prescribed processing time and that have relatively higher importance levels based on statistical information among the recognition target words, and non-prioritized recognition target words other than the prioritized

5 recognition target words; a speech recognition unit configured to carry out the speech recognition processing; and a dialogue control unit configured to carry out speech dialogues with the user; wherein the dialogue control unit carries out a speech dialogue for requesting the user to

10 enter a speech input indicating the retrieval key, such that the speech recognition unit carries out the speech recognition processing for the speech input with respect to the prioritized recognition target words to obtain a

15 recognition result; the dialogue control unit carries out a speech dialogue for a confirmation process according to the recognition result to determine the retrieval key, when the recognition result satisfies a prescribed condition for judging that the retrieval key can be determined only by a

20 confirmation process with the user; the dialogue control unit carries out a speech dialogue for a related information query to request the user to enter another speech input for a related information of the retrieval key, when the recognition result does not satisfy the

25 prescribed condition, such that the speech recognition unit carries out the speech recognition processing for the another speech input to obtain another recognition result and the dialogue control unit adjusts the recognition result according to the another recognition result to obtain adjusted recognition result, and the dialogue

30 control unit controls the speech dialogues to repeat the confirmation process or the related information query using the adjusted recognition result in place of the recognition result, until the retrieval key is determined.

According to another aspect of the present invention

35 there is provided a speech recognition based interactive

information retrieval apparatus for ascertaining and  
retrieving a target information of a user by determining a  
retrieval key entered by the user using a speech  
recognition processing, comprising: a speech recognition  
5 database configured to store retrieval key candidates that  
are classified according to attribute values of an  
attribute item; a speech recognition unit configured to  
carry out the speech recognition processing; and a dialogue  
control unit configured to carry out speech dialogues with  
10 the user; wherein the dialogue control unit carries out a  
speech dialogue for requesting the user to enter a speech  
input indicating an attribute value of the attribute item  
for the retrieval key, such that the speech recognition  
unit carries out the speech recognition processing for the  
15 speech input to obtain a recognition result indicating  
attribute value candidates and their recognition  
likelihoods; the dialogue control unit selects those  
attribute value candidates which have recognition  
likelihoods that are exceeding a prescribed likelihood  
20 threshold as attribute value leading candidates, and  
extracts those retrieval key candidates that belong to the  
attribute value leading candidates as new recognition  
target data; the dialogue control unit carries out a speech  
dialogue for requesting the user to enter another speech  
25 input indicating the retrieval key, such that the speech  
recognition unit carries out the speech recognition  
processing for the another speech input with respect to the  
new recognition target data to obtain another recognition  
result; and the dialogue control unit carries out a speech  
30 dialogue for a confirmation process according to the  
another recognition result to determine the retrieval key.

According to another aspect of the present invention  
there is provided a speech recognition based interactive  
information retrieval apparatus for ascertaining and  
35 retrieving a target information of a user by determining a

retrieval key entered by the user using a speech  
recognition processing, comprising: a speech recognition  
database having a plurality of statistically hierarchized  
databases configured to store retrieval key candidates that  
5 constitute a number of data that cannot be processed by the  
speech recognition processing in a prescribed processing  
time, where lower level statistically hierarchized  
databases contain increasingly larger part of the retrieval  
key candidates such that a lowest level statistically  
10 hierarchized database contains all the retrieval key  
candidates; a speech recognition unit configured to carry  
out the speech recognition processing; and a dialogue  
control unit configured to carry out speech dialogues with  
the user; wherein the dialogue control unit carries out a  
15 speech dialogue for requesting the user to enter a speech  
input indicating the retrieval key, such that the speech  
recognition unit carries out the speech recognition  
processing for the speech input with respect to all of the  
plurality of statistically hierarchized databases in  
20 parallel, to sequentially obtain respective recognition  
results indicating recognition retrieval key candidates and  
their recognition likelihoods; the dialogue control unit  
selects those recognition retrieval key candidates which  
have recognition likelihoods that are exceeding a  
25 prescribed likelihood threshold as recognition retrieval  
key leading candidates, for each statistically hierarchized  
database for which the speech recognition processing is  
completed; and the dialogue control unit controls a next  
speech dialogue with the user according to whether a  
30 prescribed condition that a number of the recognition  
retrieval key leading candidates is less than or equal to a  
prescribed number but not zero is satisfied or not.

According to another aspect of the present invention  
there is provided a computer usable medium having computer  
35 readable program codes embodied therein for causing a



computer to function as a speech recognition based  
interactive information retrieval system for ascertaining  
and retrieving a target information of a user by  
determining a retrieval key entered by the user using a  
5 speech recognition processing and a speech recognition  
database for storing retrieval key candidates that  
constitute a number of data that cannot be processed by the  
speech recognition processing in a prescribed processing  
time, as recognition target words in a speech recognition  
10 database, the recognition target words being divided into  
prioritized recognition target words that constitute a  
number of data that can be processed by the speech  
recognition processing in the prescribed processing time  
which have relatively higher importance levels based on  
15 statistical information among the recognition target words,  
and non-prioritized recognition target words other than the  
prioritized recognition target words, the computer readable  
program codes include: a first computer readable program  
code for causing said computer to request the user by a  
20 speech dialogue with the user to enter a speech input  
indicating the retrieval key, and carry out the speech  
recognition processing for the speech input with respect to  
the prioritized recognition target words to obtain a  
recognition result; a second computer readable program code  
25 for causing said computer to carry out a confirmation  
process using a speech dialogue with the user according to  
the recognition result to determine the retrieval key, when  
the recognition result satisfies a prescribed condition for  
judging that the retrieval key can be determined only by a  
30 confirmation process with the user; a third computer  
readable program code for causing said computer to carry  
out a related information query using a speech dialogue  
with the user to request the user to enter another speech  
input for a related information of the retrieval key, when  
35 the recognition result does not satisfy the prescribed

condition; a fourth computer readable program code for causing said computer to carry out the speech recognition processing for the another speech input to obtain another recognition result, and adjust the recognition result  
5 according to the another recognition result to obtain adjusted recognition result; and a fifth computer readable program code for causing said computer to repeat processing of the second computer readable program code or the third and fourth computer readable program codes using the  
10 adjusted recognition result in place of the recognition result, until the retrieval key is determined.

According to another aspect of the present invention there is provided a computer usable medium storing a data structure to be used as a speech recognition database in a  
15 speech recognition based interactive information retrieval system for ascertaining and retrieving a target information of a user by determining a retrieval key entered by the user using a speech recognition processing, the data structure comprising: retrieval key candidates that  
20 constitute a number of data that cannot be processed by the speech recognition processing in a prescribed processing time, as recognition target words, the recognition target words being divided into prioritized recognition target words that constitute a number of data that can be  
25 processed by the speech recognition processing in the prescribed processing time which have relatively higher importance levels based on statistical information among the recognition target words, and non-prioritized recognition target words other than the prioritized  
30 recognition target words.

According to another aspect of the present invention there is provided a computer usable medium having computer readable program codes embodied therein for causing a  
computer to function as a speech recognition based  
35 interactive information retrieval system for ascertaining

and retrieving a target information of a user by  
determining a retrieval key entered by the user using a  
speech recognition processing and a speech recognition  
database for storing retrieval key candidates that are  
5 classified according to attribute values of an attribute  
item, the computer readable program codes include: a first  
computer readable program code for causing said computer to  
request the user by a speech dialogue with the user to  
enter a speech input indicating an attribute value of the  
10 attribute item for the retrieval key, and carry out the  
speech recognition processing for the speech input to  
obtain a recognition result indicating attribute value  
candidates and their recognition likelihoods; a second  
computer readable program code for causing said computer to  
15 select those attribute value candidates which have  
recognition likelihoods that are exceeding a prescribed  
likelihood threshold as attribute value leading candidates,  
and extract those retrieval key candidates that belong to  
the attribute value leading candidates as new recognition  
20 target data; a third computer readable program code for  
causing said computer to request the user by a speech  
dialogue with the user to enter another speech input  
indicating the retrieval key, and carry out the speech  
recognition processing for the another speech input with  
25 respect to the new recognition target data to obtain  
another recognition result; and a fourth computer readable  
program code for causing said computer to carry out a  
confirmation process using a speech dialogue with the user  
according to the another recognition result to determine  
30 the retrieval key.

According to another aspect of the present invention  
there is provided a computer usable medium having computer  
readable program codes embodied therein for causing a  
computer to function as a speech recognition based  
35 interactive information retrieval system for ascertaining

and retrieving a target information of a user by  
determining a retrieval key entered by the user using a  
speech recognition processing and a speech recognition  
database having a plurality of statistically hierarchized  
5 databases for storing retrieval key candidates that  
constitute a number of data that cannot be processed by the  
speech recognition processing in a prescribed processing  
time, where lower level statistically hierarchized  
databases contain increasingly larger part of the retrieval  
10 key candidates such that a lowest level statistically  
hierarchized database contains all the retrieval key  
candidates, the computer readable program codes include: a  
first computer readable program code for causing said  
computer to request the user by a speech dialogue with the  
15 user to enter a speech input indicating the retrieval key,  
and carry out the speech recognition processing for the  
speech input with respect to all of the plurality of  
statistically hierarchized databases in parallel, to  
sequentially obtain respective recognition results  
20 indicating recognition retrieval key candidates and their  
recognition likelihoods; a second computer readable program  
code for causing said computer to select those recognition  
retrieval key candidates which have recognition likelihoods  
that are exceeding a prescribed likelihood threshold as  
25 recognition retrieval key leading candidates, for each  
statistically hierarchized database for which the speech  
recognition processing is completed; and a third computer  
readable program code for causing said computer to control  
a next speech dialogue with the user according to whether a  
30 prescribed condition that a number of the recognition  
retrieval key leading candidates is less than or equal to a  
prescribed number but not zero is satisfied or not.

Other features and advantages of the present invention  
will become apparent from the following description taken  
35 in conjunction with the accompanying drawings.

## BRIEF DESCRIPTION OF THE DRAWINGS

5        Fig. 1 is a block diagram showing an exemplary configuration of a speech recognition based interactive information retrieval apparatus in the first embodiment of the present invention.

      Fig. 2 is a diagram showing an exemplary information  
10 database to be utilized in the speech recognition based interactive information retrieval apparatus of Fig. 1.

      Fig. 3 is a flow chart for an information  
determination processing procedure in the speech  
recognition based interactive information retrieval  
15 apparatus of Fig. 1.

      Fig. 4 is a diagram showing an exemplary information database in a concrete example for an interactive information retrieval method in the first embodiment of the present invention.

20        Fig. 5 is a diagram showing an exemplary recognition result with respect to prioritized recognition target words in a concrete example for an interactive information retrieval method in the first embodiment of the present invention.

25        Fig. 6 is a diagram showing an exemplary recognition result for a related attribute (prefecture) in a concrete example of an interactive information retrieval method in the first embodiment of the present invention.

      Fig. 7 is a diagram showing an exemplary result of  
30 adding a recognition result with respect to non-prioritized recognition target words in a concrete example of an interactive information retrieval method in the first embodiment of the present invention.

      Fig. 8 is a diagram showing an exemplary cross-  
35 checking of attribute value candidates and related

information in a concrete example of an interactive information retrieval method in the first embodiment of the present invention.

Fig. 9 is a block diagram showing an exemplary configuration of a speech recognition based interactive information retrieval apparatus in the second embodiment of the present invention.

Fig. 10 is a diagram showing an example of statistically hierarchized databases to be utilized in the speech recognition based interactive information retrieval apparatus of Fig. 9.

Fig. 11 is an exemplary speech recognition result table with calculated recognition likelihoods with respect to speech retrieval key candidates that is to be utilized in the speech recognition based interactive information retrieval apparatus of Fig. 9.

Fig. 12 is a diagram showing an exemplary retrieval key attribute database to be utilized in the speech recognition based interactive information retrieval apparatus of Fig. 9.

Fig. 13 is a diagram showing an exemplary related information recognition result table indicating a speech recognition result for a user's response to a retrieval key determination related query that is utilized in the speech recognition based interactive information retrieval apparatus of Fig. 9.

Fig. 14 is a flow chart for a processing procedure of a dialogue control unit in the speech recognition based interactive information retrieval apparatus of Fig. 9.

Fig. 15 is a diagram showing an example of statistically hierarchial databases for speech recognition in a concert ticket reservation system which is a concrete example of an interactive information retrieval method in the second embodiment of the present invention.

Fig. 16 is a diagram showing an exemplary speech

recognition result table with respect to a first level statistically hierarchized database in a concert ticket reservation system which is a concrete example of an interactive information retrieval method in the second  
5 embodiment of the present invention.

Fig. 17 is a diagram showing an exemplary retrieval key attribute database in a concert ticket reservation system which is a concrete example of an interactive information retrieval method in the second embodiment of  
10 the present invention.

Fig. 18 is a diagram showing an exemplary related-information recognition result table obtained from a response to a retrieval key determination related query for inquiring a concert date in a concert ticket reservation  
15 system which is a concrete example of an interactive information retrieval method in the second embodiment of the present invention.

Fig. 19 is a diagram showing an exemplary speech recognition result with respect to a second level statistically hierarchized database in a concert ticket reservation system which is a concrete example of an interactive information retrieval method in the second  
20 embodiment of the present invention.

Fig. 20 is a diagram showing an exemplary cross-checking of a second level statistically hierarchized database and a related information recognition result table for a concert data in a concert ticket reservation system which is a concrete example of an interactive information retrieval method in the second embodiment of the present  
25 invention.

Fig. 21 is a diagram showing an exemplary related information recognition result table obtained from a response to a retrieval key determination related query for inquiring a place of a concert in a concert ticket  
35 reservation system which is a concrete example of an

interactive information retrieval method in the second embodiment of the present invention.

Fig. 22 is a diagram showing an exemplary cross-checking of speech retrieval key leading candidates in a second level statistically hierarchized database and a concert date and a place of a concert in a concert ticket reservation system which is a concrete example of an interactive information retrieval method in the second embodiment of the present invention.

Fig. 23 is a block diagram showing an exemplary configuration of a speech recognition based interactive information retrieval apparatus in the third embodiment of the present invention.

Fig. 24 is a diagram showing an exemplary speech recognition database to be utilized in the speech recognition based interactive information retrieval apparatus of Fig. 23.

Fig. 25 is a diagram showing an exemplary attribute database to be utilized in the speech recognition based interactive information retrieval apparatus of Fig. 23.

Fig. 26 is a flow chart for a retrieval key determination processing procedure in the speech recognition based interactive information retrieval apparatus of Fig. 23.

Fig. 27 is a diagram showing an exemplary speech recognition database in a city/town determination system which is a concrete example of an interactive information retrieval method in the third embodiment of the present invention.

Fig. 28 is a diagram showing an exemplary attribute database in a city/town determination system which is a concrete example of an interactive information retrieval method in the third embodiment of the present invention.

Fig. 29 is a diagram showing an exemplary recognition result for an attribute value in a city/town determination



system which is a concrete example of an interactive information retrieval method in the third embodiment of the present invention.

Fig. 30 is a diagram showing an exemplary result of  
5 narrowing down a recognition target in a city/town determination system which is a concrete example of an interactive information retrieval method in the third embodiment of the present invention.

Fig. 31 is a diagram showing an exemplary recognition  
10 result for a retrieval key in a city/town determination system which is a concrete example of an interactive information retrieval method in the third embodiment of the present invention.

Fig. 32 is a block diagram showing an exemplary  
15 configuration of a speech recognition based interactive information retrieval apparatus in the fourth embodiment of the present invention.

Fig. 33 is a diagram showing an exemplary speech  
recognition database to be utilized in the speech  
20 recognition based interactive information retrieval apparatus of Fig. 32.

Fig. 34 is a flow chart for an interactive information  
retrieval processing procedure in the speech recognition  
based interactive information retrieval apparatus of Fig.  
25 32.

Fig. 35 is a diagram showing an exemplary speech  
recognition database in a city/town determination system  
which is a concrete example of an interactive information  
retrieval method in the fourth embodiment of the present  
30 invention.

Fig. 36 is a diagram showing an exemplary high  
frequency access data group in a city/town determination  
system which is a concrete example of an interactive  
information retrieval method in the fourth embodiment of  
35 the present invention.

Fig. 37 is a diagram showing an exemplary speech retrieval key recognition result in the case of determining "Yokohama" in a city/town determination system which is a concrete example of an interactive information retrieval method in the fourth embodiment of the present invention.

Fig. 38 is a diagram showing an exemplary speech retrieval key recognition result in the case of determining "Yokokawa" using a high frequency access data group as a recognition target in a city/town determination system which is a concrete example of an interactive information retrieval method in the fourth embodiment of the present invention.

Fig. 39 is a diagram showing an exemplary speech retrieval key recognition result in the case of determining "Yokokawa" using cities or towns in Gunma as a recognition target in a city/town determination system which is a concrete example of an interactive information retrieval method in the fourth embodiment of the present invention.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring now to Fig. 1 to Fig. 8, the first embodiment directed to the above described first scheme of the present invention will be described in detail.

Fig. 1 shows an exemplary configuration of a speech recognition based interactive information retrieval apparatus (which will also be referred to as interactive information retrieval apparatus for short) in the first embodiment of the present invention. This interactive information retrieval apparatus 1 comprises a speech input unit 2, a speech identification unit 3, a dialogue control unit 4, and a speech output unit 5. The speech identification unit 3 further comprises a speech recognition unit 3-1 and a speech recognition result output

unit 3-2. The dialogue control unit 4 further comprises a  
result adjustment unit 4-1, a dialogue leading unit 4-2 and  
a query and response generation unit 4-3. The speech  
identification unit 3 utilizes a speech recognition device  
5 6, and the speech output unit 5 utilizes a speech output  
device 8. Also, the speech recognition processing for input  
speech at the speech identification unit 3 and the result  
adjustment unit 4-1 and the dialogue leading unit 4-2 of  
the dialogue control unit 4 utilize a system database 7.  
10 The system database 7 comprises an information database 7-1  
that records target information intended by users, and a  
YES/NO type template database 7-2.

Fig. 2 shows an exemplary overview of the information  
database 7-1, which contains a plurality of attributes and  
15 their attribute values in a form of a set of attribute  
databases for respective attributes, where different  
attributes may have different numbers of attribute values.  
The attributes are hierarchically related with each other.  
The interactive information retrieval apparatus 1 defines  
20 importance levels according to statistical information such  
as access frequencies with respect to attribute value  
candidates of each attribute, and selects a prescribed  
number of attribute values that are expected to be capable  
of being speech recognition processed within a real  
25 dialogue processing time in an order of the importance  
levels as prioritized recognition target words. The  
remaining non-prioritized recognition target words are  
recorded in subdivisions in units of the number of words  
that is specified by the system in view of carrying out the  
30 recognition processing in parallel to the dialogue with the  
user, such as the number that can be processed by the  
speech recognition processing in a real dialogue processing  
time or the number that can be processed by the speech  
recognition processing in a real related information query  
35 dialogue time, in an order of the importance levels.

Note that the real dialogue processing time is defined by the system as a time to be taken by the speech dialogue with the user that is expected not to cause any stress on the user and not to make the user conscious of any

5 unnaturalness.

This embodiment will describe the case in which the interactive information retrieval apparatus 1 inquires to the user about an attribute that has the number of attribute values exceeding the number that can be processed in a real dialogue processing time and that can enable the target information determination efficiently by accounting for the user's preference, among the attributes that constitute the target information.

Fig. 3 shows a processing procedure for the target information determination by the interactive information retrieval apparatus 1 of this embodiment.

First, when the user selects an attribute of the target information to be requested (step S1), the interactive information retrieval apparatus 1 requests the user to enter an attribute value of that attribute (step S2), and when an attribute value of the requested attribute is entered by the user at the speech input unit 2, the input speech is sent to the speech identification unit 3 where the priority recognition processing for the received user input is carried out at the speech recognition unit 3-1 using the speech recognition device 6 (step S3). Here, the speech recognition device 6 selects a database to be used as the recognition target from the system database 7 according to a stage of the processing by the interactive information retrieval apparatus 1. Namely, the information database 7-1 is selected for an attribute value input or a response to a related information query, and the YES/NO type template database 7-2 is selected for a user response in the confirmation process. Also, when the information database 7-1 is referred, the recognition processing using

attribute values of the attribute that is a target of the query in the database as the recognition target words is carried out.

The speech recognition unit 3-1 carries out the  
5 recognition processing for the attribute values specified as the prioritized recognition target words of the requested attribute in the information database 7-1. The speech recognition result output unit 3-2 obtains the recognition result and sends it to the dialogue control  
10 unit 4.

The result adjustment unit 4-1 of the dialogue control unit 4 holds the recognition result for the prioritized recognition target words and sends it to the dialogue leading unit 4-2. The dialogue leading unit 4-2 judges  
15 whether the received recognition result satisfies a prescribed condition defined in terms of the recognition likelihood for judging that the attribute value can be determined only by the confirmation process with the user, or not (step S4), and when this condition is satisfied, the  
20 dialogue leading unit 4-2 commands the query and response generation unit 4-3 to carry out the confirmation process. The query and response generation unit 4-3 then generates a query message for the confirmation process and sends it to the speech output unit 5, and the speech output unit 5  
25 outputs the query message for the confirmation process while presenting candidates to the user, and requests a response to the confirmation query (step S5).

The speech input unit 2 receives a response of the user to the confirmation query and sends it to the speech  
30 identification unit 3, and the speech recognition unit 3-1 recognizes the user response by using the YES/NO type template database 7-2 as the recognition target, and sends the recognition result to the dialogue control unit 4 (step S6).

35 The result adjustment unit 4-1 sends the received

recognition result to the dialogue leading unit 4-2, and the dialogue leading unit 4-2 judges whether the user response indicates affirmation or not (step S7). When the response indicating affirmation is obtained, the dialogue leading unit 4-2 commands the query and response generation unit 4-3 to generate a response message to notify the attribute value determination success, and this response message is outputted from the speech output unit 5 and the attribute value is determined (step S8). If there is another attribute which must be determined in order to ascertain the target information, the similar processing is repeated and then the target information is ascertained.

On the contrary, when the response indicating negation is obtained with respect to the confirmation query (step S7 NO), or when the prescribed condition for judging that the attribute value can be determined only by the confirmation process with the user is not satisfied (step S4 NO), the dialogue leading unit 4-2 determines to carry out the related information query, and selects an attribute to be inquired as the related information from the information database 7-1 in the system database 7 (step S9). The query and response generation unit 4-3 generates a query message for inquiring the selected related information and sends it to the speech output unit 5, so as to request the user to enter an attribute value (step S10).

When it is determined to carry out the related information query, the dialogue leading unit 4-2 also commands the speech identification unit 3 to start the recognition processing for the sets of the remaining non-prioritized recognition target words that are subdivided in units of the number specified by the system, and the speech recognition unit 3-1 starts the recognition processing for each set of the non-prioritized recognition target words (step S11). The speech recognition result output unit 3-2 sends the recognition result for each set of the non-

prioritized recognition target words whenever it is obtained, to the dialogue control unit 4, where it is added to the recognition result for the prioritized recognition target words that is held at the result adjustment unit 4-

5 1.

While the recognition processing for the non-prioritized recognition target words is in progress inside the interactive information retrieval apparatus 1, the query message to inquire the related information is  
10 outputted from the speech output unit 5 to the user. The speech input unit 2 receives a user response to the related information query and sends it to the speech identification unit 3 which then carries out the priority recognition processing for this user response (step S12).

15 The prescribed number of attribute values that constitutes one set of the non-prioritized recognition target words is defined such that the recognition processing is already finished at least for the first one set (comprising the prescribed number of attribute values)  
20 at this point.

The speech identification unit 3 checks the progress of the related information query whenever the recognition processing for one set is finished during the recognition processing for the non-prioritized recognition target  
25 words. When the dialogue for the related information query is continuing, the recognition result for the set of the non-prioritized recognition target words is sent to the dialogue control unit 4, and added to the recognition result for those attribute values for which the recognition  
30 has been completed so far that is held in the result adjustment unit 4-1. Here, the recognition processing and the adding of the recognition result are carried out for as many sets of the non-prioritized recognition target words as possible until the response to the related information  
35 query is sent from the speech input unit 2.

When the user response to the related information query is received at the speech identification unit 3, the speech recognition unit 3-1 starts the recognition processing for the related information (attribute value) as the recognition target using the information database 7-1 of the system database 7. The speech recognition result output unit 3-2 sends the recognition result for the response to the related information query to the dialogue control unit 4.

10 The result adjustment unit 4-1 of the dialogue control unit 4 cross-checks the received recognition result for the related information and the recognition result for the attribute values to which the recognition results obtained up to that point have been added (step S13). At a time of  
15 cross-checking, the likelihood of each attribute value candidate to be a correct one is re-calculated by applying suitable operation on the recognition likelihood of each attribute value candidate.

The dialogue leading unit 4-2 judges whether the  
20 prescribed condition for judging that the attribute value can be determined only by the confirmation process with the user or not according to the re-calculated likelihood (step S14), and commands the query and response generation unit 4-3 to carry out the candidate presentation and the  
25 confirmation query (step S5) or the further related information query (step S9) depending on the judgement result. When the presentation of the cross-checked result is negated, the related information query is also carried out. During the recognition processing for the response to  
30 the related information query, the recognition processing for the set of the non-prioritized recognition target words is suspended.

Also if there is a remaining set of the non-prioritized recognition target words that has not yet  
35 recognition processed, the recognition processing and the



result adding for the remaining set is continued when it is determined to carry out the related information query.

Here, however, at a time of cross-checking the recognition result for the non-prioritized recognition target words at the result adjustment unit 4-1 of the dialogue control unit 4, if there exists the related information that has already been obtained by the past related information query, the recognition result for the attribute value candidates is added after cross-checking with the already obtained

related information is done.

By repeating this series of operations until the attribute value can be determined, the target information is ascertained.

In the following, the interactive information retrieval method of this embodiment will be described for a concrete example. Here, the case of applying the interactive information retrieval method of this embodiment to an input interface for "address determination system" will be described. In this example, the target information is an address (In Japan).

The number of address candidates for all of Japan exceeds the number that can be processed in the real dialogue processing time, so that the information database to be utilized in the address determination is hierarchically structured such that the prefectures (47 attribute values), cities or towns in the prefectures (4,100 attribute values), and sections in the cities or towns (180,000 attribute values) are used as the attributes constituting the address, by setting the prefectures at the highest level, the cities or towns at the next level, and the sections at the lowest level. An example of the information database to be utilized in the address determination is shown in Fig. 4.

The current speech recognition technology is such that it is impossible to complete the recognition processing for

4,100 candidates for the cities or towns and 180,000  
candidates for the sections in the real dialogue processing  
time. For this reason, the conventional method has no  
choice but adopting a method in which the prefecture is  
5 inquired first, the confirmation is repeated until the  
prefecture is determined, then the recognition target is  
limited to the cities or towns in that prefecture and the  
city or town is inquired and determined next. However, from  
a viewpoint of the user, to be sequentially inquired from  
10 the name of the prefecture is circuitous, and in the case  
of specifying up to the section, it is necessary to carry  
out the input requests at least three times for the  
prefecture, the city or town, and the section, as well as  
the repetition of the confirmation process until each input  
15 is determined.

In this example, the case of specifying up to the city  
or town of the address will be considered. The interactive  
information retrieval apparatus defines the importance  
levels with respect to the cities or towns according to  
20 their past access frequencies, their sizes (populations),  
etc., and selects top 100 cities or towns that are expected  
to be capable of being processed in the real dialogue  
processing time as the priority-recognition target words.

Then, the input of the name of the city or town is  
25 requested to the user. According to the recognition result  
for the city or town, whether the city or town can be  
determined only by the confirmation process with the user  
or not is judged. In this example, this judgement is made  
according to the number of retrieval key candidates that  
30 have the recognition likelihood greater than a prescribed  
threshold which is obtained by comparing the recognition  
likelihood and the prescribed threshold. When the number of  
the retrieval key candidates that have the recognition  
likelihood greater than the prescribed threshold is less  
35 than or equal to 2 but not 0, it is judged that the

retrieval key can be determined only by the confirmation process so that the confirmation process by presenting the candidates is carried out. When the number of candidates that have the recognition likelihood greater than the  
5 prescribed threshold is 0 or greater than 2, the related information query is carried out.

The remaining 4,000 non-prioritized recognition target words are subdivided into 8 sets of 500 each, in an order of the importance levels according to the specified  
10 dialogue time required for the related information query. In this example, the recognition processing and the result adding are carried out by utilizing the dialogue time during which the retrieval key determination related query is carried out. Here, it is possible to expect that the  
15 recognition processing for 2,000 candidates (4 sets) can be completed in one related information query dialogue time.

Now, the case of ascertaining the user input "Chigasaki, Kanagawa" will be described. The user enters the name of the city "Chigasaki" of the address that the  
20 user wants to request. Assuming that the importance level of Chigasaki is 500-th from the top, Chigasaki is not contained in the prioritized recognition target words.

When the speech retrieval key of "Chigasaki" is entered from the speech input unit 2, the speech  
25 recognition unit 3-1 of the speech identification unit 3 carries out the speech recognition processing with respect to the 100 prioritized recognition target words (cities or towns) in the information database 7-1.

The speech recognition result output unit 3-2 sends  
30 the recognition result for the prioritized recognition target words to the dialogue control unit 4. An example of the recognition result is shown in Fig. 5. The result adjustment unit 4-1 holds this recognition result and sends it to the dialogue leading unit 4-2. The dialogue leading  
35 unit 4-2 compares the calculated recognition likelihood

with the prescribed threshold for the 100 cities or towns that are the prioritized recognition target words. In this example, the prescribed threshold is assumed to be 1,000.

As can be seen from Fig. 5, there is no city or town  
5 candidates that have the recognition likelihood greater than the prescribed threshold in this case.

Consequently, the dialogue leading unit 4-2 determines to carry out the related information query, and selects the attribute to be utilized as the related information from  
10 the information database 7-1. In this example, the hierarchically adjacent prefecture is selected as the attribute. When it is determined to carry out the related information query, the speech recognition unit 3-1 starts the recognition processing for the remaining non-  
15 prioritized recognition target words. Here, the recognition processing is carried out for each set of 500 cities or towns that are the non-prioritized recognition target words. The speech recognition result output unit 3-2 sends the recognition result for each set of 500 cities or towns  
20 to the result adjustment unit 4-1 of the dialogue control unit 4, and adds it to the recognition result for the 100 cities or towns that are the prioritized recognition target words. In this example, the name of the prefecture is inquired as the related information query, and the  
25 recognition processing for 2,000 candidates (4 sets) are expected to be completed until the user's response "Kanagawa" is entered. An exemplary result obtained by adding the recognition result for 4 sets of the non-prioritized recognition target words is shown in Fig. 6.

30 The dialogue leading unit 4-2 then commands the query and response generation unit 4-3 to generate the related information query for inquiring the name of the prefecture, and the query message is outputted from the speech output unit 5.

35 When the user's response "Kanagawa" is entered from

the speech input unit 2, the recognition processing for the non-prioritized recognition target words is suspended. In the speech identification unit 3, the entered prefecture is recognized at the speech recognition unit 3-1 and the  
5 result is sent from the speech recognition result output unit 3-2 to the result adjustment unit 4-1 of the dialogue control unit 4. An example of the recognition result for the prefecture is shown in Fig. 7.

At this point, the result adjustment unit 4-1 holds  
10 the result for 2,100 cities or towns (100 prioritized recognition target words + 2,000 non-prioritized recognition target words that are recognition processed during the related information query dialogue time) for which the recognition processing has been completed so far  
15 (Fig. 6).

The result adjustment unit 4-1 refers to the information database 7-1, and cross-checks the recognition results for the city or town candidates and the prefecture candidates. In this example, the cross-checking processing  
20 is defined to be a multiplication of the recognition likelihoods of the related attribute values. In other words, for each city or town candidate, the prefecture to which this city or town candidate belongs is judged by referring to the information database 7-1, and the  
25 recognition likelihood of this city or town candidate is multiplied by the recognition likelihood of the belonging prefecture. The multiplication result is then held as a new recognition likelihood. An exemplary result of the cross-checking is shown in Fig. 8.

As can be seen from the result of the multiplication shown in Fig. 8, the top two retrieval key candidates "Chigasaki, Kanagawa" and "Takamatsu, Kagawa" have the cross-checked likelihood greater than the threshold (1,000,000). The dialogue leading unit 4-2 determines to  
35 carry out the confirmation process by presenting these two

candidates sequentially, and commands the query and response generation unit 4-3 to generate the confirmation query message. When the response to the presentation of "Chigasaki, Kanagawa" outputted from the speech output unit 5 is entered from the speech input unit 2, the speech identification unit 3 carries out the recognition processing using the YES/NO type template database 7-2 as the recognition target. As a result of the recognition, the response indicating affirmation is obtained so that the dialogue leading unit 4-2 judges that the target city or town is determined as "Chigasaki", and outputs a notification of this fact from the speech output unit 5. Here, the prefecture can be derived automatically from the city or town according to the relations among the attributes in the information database 7-1, so that the target address is ascertained at this point.

According to the first scheme of the present invention described in this embodiment, the importance levels are defined with respect to the attribute values in the number exceeding the number that can be processed in the real dialogue processing time, and the attribute values with the higher importance levels in the number than can be processed in the real dialogue processing time are selected and the priority recognition processing for them is carried out. In this way, the number of the recognition target words can be seemingly narrowed down so that there is no need to keep the user awaiting, and moreover, the recognition result having a tolerable level of accuracy for the user can be expected as the recognition target words are narrowed down.

In addition, in the case where the importance levels are defined according to the past access frequencies, the possibility for the user's input to be the attribute value with the high importance level becomes higher when the access frequencies have the larger bias. Consequently, in

the concrete example described above, for example, in contrast to the conventional method in which it is only possible to determine the prefecture and then the city or town in this order, the user is allowed to enter the city or town from the beginning, and the higher level prefecture can also be determined once the city or town is determined, so that it becomes possible to finish the retrieval processing only by the input of the city or town. In this way, it is possible to expect the reduction of the number of user utterances and the shortening of the overall dialogue time.

Even when the user input is the non-prioritized recognition target word, the recognition processing for the non-prioritized recognition target words is carried out by utilizing the related information query dialogue time, the obtained recognition result is added to the already obtained recognition result, and the attribute value candidates are narrowed down according to the relevancy with respect to the obtained related information, so that it becomes possible to carry out the recognition processing for the attribute values in the number exceeding the number than can be processed in the real dialogue processing time and to compensate for incompleteness of the speech recognition accuracy without making the user conscious of it. In contrast to the conventional method in which the confirmation process is repeated until the correct one is determined, the related information query is carried out so that it appears that the attribute value is determined through the natural dialogues from a viewpoint of the user, and it also becomes possible to allow the user to immediately enter the attribute value that seems to be more suitable for ascertaining the target information efficiently from a viewpoint of the user (the attribute value that is more in accord with the user preference).

In the concrete example described above, the case of

determining the address up to the city or town has been described, but in the case of specifying up to the section, it is possible to determine the section from 180,000 section candidates by carrying out the similar dialogue processing using the prefecture and the city or town as the related information and the sections as the recognition target attribute values.

In addition, it is also possible to use the speech input of the attribute values for plural attributes by selecting the prioritized recognition target words over plural attributes (levels) from the entire information database, without limiting to a specific attribute. In this case, by defining the importance levels with respect to all of the prefectures, the cities or towns and the sections, and selecting the prioritized recognition target words from all levels, it becomes possible to determine the input attribute value of any level, without specifying the attribute to be entered first by the user from the system side. By not specifying the attribute to be entered first by the user from the system side, it becomes possible to realize the interactive information retrieval that is even more in accord with the user preference.

Note that the address determination of the concrete example described above can be utilized for an address input in the product delivery, the telephone number search, or the postal code search, and the interactive information retrieval method of this embodiment is easily applicable to the ticket reservation, the target location search by an automotive global positioning systems, and the station search. In addition, this interactive information retrieval method is also applicable to the name search by providing a plurality of attributes such as address, sex, job, age, telephone number, etc., as the related attribute information and utilizing them in slotable combination.

35



Referring now to Fig. 9 to Fig. 22, the second embodiment directed to the above described second scheme of the present invention will be described in detail.

Fig. 9 shows an exemplary configuration of a speech recognition based interactive information retrieval apparatus in the second embodiment of the present invention. This interactive information retrieval apparatus 11 comprises a speech input unit 12, a speech identification unit 13, a dialogue control unit 14, a speech retrieval key relevancy calculation unit 15, and a speech output unit 16. The speech identification unit 13 further comprises a speech recognition unit 13-1 and a speech recognition result output unit 13-2. The dialogue control unit 14 further comprises a result adjustment unit 14-1, a dialogue leading unit 14-2, and a query and response generation unit 14-3.

The speech identification unit 13 utilizes a speech recognition device 18, and the speech output unit 16 utilizes a speech output device 19. Also, the speech recognition processing for input speech at the speech identification unit 13 and the next dialogue leading at the dialogue leading unit 14-2 of the dialogue control unit 14 utilize a speech recognition database 17. The speech recognition database 17 comprises a plurality of statistically hierarchized databases 17-1, a retrieval key attribute database 17-2 that stores attribute items of retrieval key candidates for all retrieval target speech retrieval keys, a related information recognition result table storage area 17-3 and a YES/NO type template database 17-4.

Fig. 10 shows an exemplary overview of the statistically hierarchized databases 17-1. Here, the importance levels according to statistical information such as past access frequencies by system users are defined with respect to all speech retrieval key candidates that

constitute speech recognition target words, and the statistically hierarchized databases 17-1 are formed by subdividing the speech recognition target data in a hierarchical structure in an order of the importance  
5 levels.

The speech input unit 12 enters an input speech of the user into the speech identification unit 13.

In the speech identification unit 13, the speech recognition unit 13-1 carries out the speech recognition  
10 processing using the speech recognition device 18 with respect to the input speech entered from the speech input unit 13 first. At this point, the speech recognition device 18 refers to the speech recognition database 17 according to a stage of the dialogue leading to which the input  
15 speech correspond. Namely, the retrieval key attribute database 17-2 and the related information recognition result table storage area 17-3 are referred when a response to the retrieval key determination related query is entered from the speech input unit 12, and the YES/NO type template  
20 database 17-4 is referred when a response to the presentation of the speech retrieval key candidate is entered from the speech input unit 12.

Here, the speech recognition processing is started parallelly with respect to all levels of the statistically  
25 hierarchized databases 17-1 simultaneously as the speech retrieval key is entered from the user. Then, the speech recognition result output unit 13-2 produces a speech recognition result table in which the retrieval key candidates for the statistically hierarchized database 17-1  
30 of each level are arranged in a descending order of their recognition likelihoods, when the speech recognition processing for the statistically hierarchized database 17-1 of each level is finished. An example of the speech recognition result table with respect to the highest level  
35 statistically hierarchized database is shown in Fig. 11.

Because of the difference in the number of recognition target words contained, the speech recognition processing and the speech recognition result table production for the highest level statistically hierarchized database are  
5 finished earliest among the statistically hierarchized databases 17-1. When the speech recognition result table for the highest level statistically hierarchized database is produced, the recognition result is sent to the dialogue control unit 14. At this point, the speech recognition  
10 processing and the speech recognition result table production for the lower level statistically hierarchized databases are continued even when the processing for the higher level proceeds to the next stage.

The dialogue control unit 14 determines a dialogue  
15 leading to be carried out next by the interactive information retrieval apparatus 11 with respect to the user, according to the number of speech retrieval key leading candidates having the retrieval key likelihood that exceeds a prescribed likelihood threshold in the speech  
20 recognition result table for the highest level statistically hierarchized database sent from the speech recognition result output unit 13-2 of the speech identification unit 13.

When the speech recognition result table with respect  
25 to the speech retrieval key is received at the result adjustment unit 14-1, if the number of the speech retrieval key leading candidates in the recognition target statistically hierarchized database is less than or equal to a prescribed number but not zero, the dialogue leading  
30 unit 14-2 determines to carry out the retrieval key determination related query by referring to the retrieval key attribute database 17-2 shown in Fig. 12. and the retrieval key determination related query is generated by the query and response generation unit 14-3. Here, the next  
35 dialogue leading conditions are determined in advance as

follows, for example.

1. A case where the speech retrieval key leading candidates greater than the prescribed number are outputted.

5        2. A case where there is no speech retrieval key leading candidate.

3. A case where a candidate that is determined as the speech retrieval key as a result of the cross-checking of the related attribute information candidates obtained from  
10 the retrieval key determination related query and the recognition likelihoods is presented but negated by the user as not corresponding to the speech retrieval key.

4. A case where there is no candidate which is related to the related attribute information candidates obtained  
15 from the retrieval key determination related query among the speech retrieval key leading candidates as a result of referring to the retrieval key attribute database.

Only in the case where the recognition target is the highest level, when the recognition result in the  
20 recognition target statistically hierarchized database satisfies any of the above described next dialogue leading conditions, if no related attribute information has been obtained yet, the dialogue control unit 14-2 determines to carry out a new retrieval key determination related query  
25 and commands the query and response generation unit 14-3 to generate a query message. In the other cases, the relevancy of the related attribute information candidates obtained by then and the speech retrieval key candidates in the recognition target statistically hierarchized database is  
30 judged by referring to the retrieval key attribute database 17-2 and the related information recognition result table storage area 17-3, and the normalization and the cross-checking of the recognition likelihoods are carried out by accessing the speech retrieval key relevancy calculation  
35 unit 15. Then, the query and response generation unit 14-3

is commanded to generate a query message for presenting the speech retrieval key that has the highest newly calculated retrieval key recognition likelihood.

During the above operation, the speech recognition  
5 processing and the speech recognition result table  
production for the other levels of the statistically  
hierarchized databases 17-1 are continually carried out by  
the speech recognition unit 13-1 and the speech recognition  
result output unit 13-2 of the speech identification unit  
10 13.

Then, the generated response message or query message  
is outputted to the user from the speech output unit 16  
using the speech output device 19, and a user's response is  
obtained at the speech input unit 12 again. The speech  
15 identification unit 13 carries out the speech recognition  
processing for the user's response to the response message  
or query message entered from the speech input unit 12  
again and outputs the result.

By this time, the speech recognition result table  
20 production for the second statistically hierarchized  
database is already finished.

When the user's response received from the speech  
input unit 12 is a response to the retrieval key  
determination related query, the speech recognition result  
25 output unit 13-2 produces a related information recognition  
result table from the result of the speech recognition  
processing by the speech recognition unit 13-1, and stores  
it in the related information recognition result table  
storage area 17-3 of the speech recognition database 17,  
30 while also sending the result to the result adjustment unit  
14-1. An example of the related information recognition  
result table is shown in Fig. 13.

When the related information recognition result table  
is received at the result adjustment unit 14-1, the  
35 dialogue leading unit 14-2 determines a policy for the

dialogue according to the number of the speech retrieval key leading candidates having the retrieval key recognition likelihood that exceeds the prescribed likelihood threshold by referring to the speech recognition result table for the second statistically hierarchized database for which the speech recognition processing and the speech recognition result table production are already finished, similarly as in the dialogue leading for the highest level statistically hierarchized database.

- 10 When the number of the speech retrieval key leading candidates in the speech recognition result table for the second statistically hierarchized database is less than or equal to the prescribed number but not zero, the narrowing down by the retrieval key determination related query is carried out, and when any of the next dialogue leading conditions is satisfied, the relevancy with respect to the related attribute information candidates obtained by then is judged and the recognition likelihoods are cross-checked, and the speech retrieval key candidate with the highest retrieval key recognition likelihood is determined as the speech retrieval key.

- When the response to the speech retrieval key presentation is received at the result adjustment unit 14-1. If the response is "Yes", the dialogue leading unit 14-2 determines to generate a response message for notifying the speech retrieval key determination success and the query and response generation unit 14-3 generates this response message, and then the processing is finished. On the contrary, if the response is "No", the next dialogue leading condition is satisfied so that the result adjustment unit 14-1 commands the further dialogue leading to the dialogue leading unit 14-2 and the dialogue leading using the recognition result for the third statistically hierarchized database is started.

- 35 In this way, the normalization and the cross-checking

of the recognition likelihoods utilizing the related attribute information obtained by the retrieval key determination related query are repeated until the speech retrieval key is determined, by following the dialogue policy according to the number of the speech retrieval key leading candidates.

Fig. 14 shows the processing procedure of the dialogue control unit 14 in the interactive information retrieval apparatus 11 of this embodiment.

10 First, when the speech recognition result table obtained from the highest level statistically hierarchized database exists (step S21), if the number of the speech retrieval key leading candidates having the retrieval key recognition likelihood that exceeds the prescribed  
15 likelihood threshold is less than or equal to the prescribed number such as 2 but not zero (step S22), the retrieval key determination related query is carried out with respect to the user in order to obtain the related attribute information according to the speech retrieval key  
20 candidates narrowing down method (step S23), and the speech recognition processing for the user's response to the retrieval key determination related query is carried out using the speech recognition device and the related information recognition result table is produced (step  
25 S24).

When the obtained related attribute information candidate is found to be related to the speech retrieval key leading candidate in the highest level statistically hierarchized database that is currently being narrowed down  
30 by referring to the retrieval key attribute database (step S25), the related information recognition likelihood of that related attribute information and the retrieval key recognition likelihood of that speech retrieval key leading candidate are cross-checked to yield a new recognition  
35 likelihood for that speech retrieval key leading candidate

(step S26), and the speech retrieval key candidate having the highest retrieval key recognition likelihood is presented to the user and the confirmation process is carried out (step S27).

5 Here, the next dialogue leading conditions are determined in advance as follows, for example.

1. A case where the speech retrieval key leading candidates greater than the prescribed number are outputted.

10 2. A case where there is no speech retrieval key leading candidate.

3. A case where a candidate that is determined as the speech retrieval key as a result of the cross-checking of the related attribute information candidates obtained from  
15 the retrieval key determination related query and the recognition likelihoods is presented but negated by the user as not corresponding to the speech retrieval key.

4. A case where there is no candidate which is related to the related attribute information candidates obtained  
20 from the retrieval key determination related query among the speech retrieval key leading candidates as a result of referring to the retrieval key attribute database.

In the case other than the above described case where the number of the speech retrieval key leading candidates  
25 is less than or equal to the prescribed number but not zero, when any of the above described four next dialogue leading conditions is satisfied (step S28), if the already obtained related attribute information candidate exists (step S29), the recognition result for the next level is  
30 obtained (step S32) and the relevancy with respect to the related attribute information candidate is obtained (step S33). If the already obtained related attribute information candidate does not exist, the retrieval key determination related query is newly carried out (step S30) and the  
35 related information recognition result table is produced



(step S31), and then the recognition result for the next level is obtained (step S32) and the relevancy with respect to the related attribute information candidate is obtained (step S33).

5 When the related attribute information candidate so obtained is found to be related to the speech retrieval key leading candidate in the next (lower) level statistically hierarchized database for which the speech recognition processing and the speech recognition result are already  
10 finished at this point, by referring to the retrieval key attribute database, the retrieval key recognition likelihood of the speech retrieval key leading candidate and the related information recognition likelihood of the related attribute information are cross-checked to yield a  
15 new retrieval key recognition likelihood (step S34).

When the number of the speech retrieval key leading candidates in the next level statistically hierarchized database is less than or equal to the prescribed number such as 2 but not zero (step S22), the retrieval key  
20 determination related query is carried out with respect to the user in order to obtain another related attribute information according to the speech retrieval key candidates narrowing down method (step S23). and the speech recognition processing for the user's response to the  
25 retrieval key determination related query is carried out using the speech recognition device and the related information recognition result table is produced (step S24).

Then, the relevancy with respect to all the related  
30 attribute information candidates obtained by this and earlier retrieval key determination related queries is comprehensively evaluated (step S25), the related information recognition likelihood of the related attribute information is cross-checked with the retrieval key  
35 recognition likelihood of the speech retrieval key leading

candidate in the next level statistically hierarchized database which is the current recognition target (step S26), and the speech retrieval key candidate having the highest retrieval key recognition likelihood is presented  
5 to the user and the confirmation process is carried out (step S27).

Then, when any of the above described four next dialogue leading conditions is satisfied by the speech recognition result for the next level statistically  
10 hierarchized database (step S28), the next lower statistically hierarchized database for which the speech recognition processing and the speech recognition result table production are already finished at this point is processed similarly as the higher level statistically  
15 hierarchized database (steps S29, S30, S31, and S32), and when all the related attribute information candidates obtained so far are found to be related (step S33), the recognition likelihoods are cross-checked to yield a new retrieval key recognition likelihood (step S34).

20 When the number of the speech retrieval key leading candidates is less than or equal to the prescribed number such as 2 but not zero (step S22), the retrieval key determination related query, the speech retrieval key candidates narrowing down, and the cross-checking of the  
25 recognition likelihoods and all the related attribute information candidates obtained by then in the case where the next dialogue leading condition is satisfied, are repeated until the speech retrieval key is determined.

In the following, the interactive information  
30 retrieval method of this embodiment will be described for a concrete example. Here, the case of applying the interactive information retrieval method of this embodiment to the determination of a name of a ticket entered by the user in a ticket reservation system having a task of  
35 reserving a concert ticket will be described.

In the ricket reservation system, it is assumed that the initial likelihood threshold specified by the system is 3500, and the prescribed number of the speech retrieval key leading candidates specified by the system for the purpose of the dialogue leading is 2, such that the retrieval key determination related query will be carried out with respect to the user when the number of the speech retrieval key leading candidates having the recognition likelihood that exceeds the prescribed likelihood threshold 3500 is less than or equal to 2, and the recognition target database will be shifted to the next level when the number of the speech retrieval key leading candidates is greater than 2.

Here, the operations in the case where the user makes a reservation of a concert ticket for "Gustav Leonhardt" will be described. In this concert ticket reservation system, the retrieval database has data of 350 concert performers overall. These 350 concert performers are subdivided into four levels of the statistically hierarchized databases according to the access frequencies (utilizing the popularity ranking based on CD sales of the past year, for example). As shown in Fig. 15, the first level (highest level) comprises a list of top 60 performers that are presumably most popular, the second level comprises a list of top 150 performers in which 90 next popular performers are added to 60 performers on the first level list, the third level comprises a list of top 250 performers in which 100 next popular performers are added to 150 performers on the second level list, and the fourth level comprises a list of all 350 performers. The target speech retrieval key "Gustav Leonhardt" is the 90th in the popularity ranking so that it does not exist in the first level statistically hierarchized database.

When the speech retrieval key "Gustav Leonhardt" is entered from the speech input unit 12, the speech

recognition processing for all four levels of the statistically hierarchized databases 17-1 is started simultaneously in parallel at the speech recognition unit 13-1 of the speech identification unit 13.

5       The speech recognition result output unit 13-2 produces the speech recognition result table as shown in Fig. 16 by arranging 60 performers in the list of the highest level statistically hierarchized database in a descending order of the retrieval key recognition  
10   likelihood according to the speech recognition result of the speech recognition unit 13-1, and sends it to the dialogue control unit 14.

      The result adjustment unit 14-1 selects the speech retrieval key leading candidates having the retrieval key  
15   recognition likelihood that exceed the prescribed likelihood threshold 3500 from the speech retrieval key candidates in the speech recognition result table of Fig. 16. As can be seen in Fig. 16, there are five speech  
20   retrieval key leading candidates "London Symphony", "Boston Symphony", "New York Philharmonic", "Vienna State Opera" and "Metropolitan Opera" in this case.

      Since the number of the speech retrieval key leading candidates is greater than the prescribed number 2, the dialogue leading unit 14-2 judges that the next dialogue  
25   leading condition No. 1 is satisfied, and since no related attribute information has been obtained at this point, the dialogue leading unit 14-2 determines to carry out the retrieval key determination related query in order to obtain the related attribute information.

30       As shown in Fig. 17, the retrieval key attribute database 17-2 stores the attribute values of the attribute items for all 350 concert performers, such as the concert date, the day of the week of the concert, the place of the concert, the prefecture in which the place of the concert  
35   is located, and the style of music to be played in the

concert.

The dialogue leading unit 14-2 determines to inquire the concert date as the retrieval key determination related query according to the retrieval key attribute database of Fig. 17, and commands the query and response generation unit 14-3 to generate the retrieval key determination related query of "What is the date of this concert?".

The speech output unit 16 presents this retrieval key determination related query for inquiring the concert date to the user using the speech output device 19.

Then, the response "March 3" to this retrieval key determination related query from the user is entered from the speech input unit 2.

The speech recognition unit 13-1 carries out the speech recognition processing using the speech recognition device 18 for the user's response "March 3" that is sent to the speech identification unit 13, and the speech recognition result output unit 13-2 produces the related information recognition result table as shown in Fig. 18 in which the concert date candidates are arranged in a descending order of the recognition likelihood by referring to the date column of the retrieval key attribute database 17-2 and sends it to the dialogue control unit 14.

By this time, the speech recognition processing and the speech recognition result table production for the second statistically hierarchized database (containing 150 performers) are finished. The speech recognition result table for the second statistically hierarchized database is shown in Fig. 19.

The result adjustment unit 14-1 of the dialogue control unit 14 refers to the second statistically hierarchized database, and commands the speech retrieval key relevancy calculation unit 15 to carry out the normalization and multiplication of the retrieval key recognition likelihood of the speech retrieval key

candidate and the related information recognition  
likelihood of the related attribute information candidate,  
with respect to the concert date candidates in the related  
information recognition result of Fig. 1 regarding the  
5 concert date and the speech retrieval key candidates which  
are found to be related to the speech retrieval key  
candidates in the speech recognition result table for the  
second statistically hierarchized database.

The speech retrieval key relevancy calculation unit 15  
10 first normalizes the retrieval key recognition likelihoods  
in the speech recognition result table of Fig. 19 as  
indicated in the rightmost column. Then, the concert date  
information of "Hesperion XX: March 30", "Consort of  
Musicke: April 10", "London Symphony: May 30", "Gustav  
15 Leonhardt: March 3", and "Boston Symphony: April 10" are  
obtained as the related attribute information for the five  
speech retrieval key candidates having the recognition  
likelihood that exceeds the prescribed likelihood threshold  
3500 in the speech recognition result table of Fig. 19, by  
20 referring to the retrieval key attribute database 17-2.  
Also, the related information recognition likelihoods for  
the concert date candidates in the related information  
recognition result table of Fig. 18 are normalized as  
indicated in the rightmost column.

25 Then, when the concert date candidate coincides with  
any of the concert dates of the five retrieval key  
candidates "Hesperion XX", "Consort of Musicke", "London  
Symphony", "Gustav Leonhardt", and "Boston Symphony" having  
the recognition likelihood that exceeds the prescribed  
30 likelihood threshold 3500 in the speech recognition result  
table of Fig. 19 obtained from the second statistically  
hierarchized database, the normalized related information  
recognition likelihood in the related information  
recognition result table and the normalized retrieval key  
35 recognition likelihood of the speech retrieval key

candidate in the speech recognition result table, so as to obtain the new recognition likelihoods for "Hesperion XX", "Consort of Musicke", "London Symphony", "Gustav Leonhardt", and "Boston Symphony".

5 In other words, based on the relevancy of the speech retrieval key candidates "Hesperion XX", "Consort of Musicke", "London Symphony", "Gustav Leonhardt" and "Boston Symphony" in the speech recognition result table of Fig. 19 with respect to the concert dates in the related  
10 information recognition result table, a product of the normalized retrieval key recognition likelihood of each of these speech retrieval key candidates and the normalized related information recognition likelihood of the related concert date is calculated as the new recognition  
15 likelihood.

In this case, as shown in Fig. 20, the concert date "March 30" of "Hesperion XX" has the normalized related information recognition likelihood of 0.0055 in the related information recognition result table so that the new  
20 recognition likelihood of "Hesperion XX" is given by  $0.0080 \times 0.0055 = 0.00044$ . Similarly, the normalized recognition likelihood 0.0077 of "Consort of Musicke" is multiplied with the normalized related information recognition likelihood 0.0080 of "April 10" to yield the new  
25 recognition likelihood of 0.000062. For "London Symphony", the new recognition likelihood is to be obtained by multiplying the normalized related information recognition likelihood of "May 30", but in this example it is assumed that "May 30" is not included in the recognition target  
30 words so that this date is not recognizable and therefore the related information recognition result is not obtained, and for this reason the new recognition likelihood of "London Symphony" is set equal to 0. The normalized recognition likelihood 0.0072 of "Gustav Leonhardt" is  
35 multiplied with the normalized related information

recognition likelihood 0.0077 of "March 3" to yield the new  
recognition likelihood of 0.000056, and the normalized  
recognition likelihood 0.0067 of "Boston Symphony" is  
multiplied with the normalized related information  
5 recognition likelihood 0.0080 of "April 10" to yield the  
new recognition likelihood of 0.000054.

The result adjustment unit 14-1 sends the result of  
calculating the new recognition likelihoods by the  
normalization and the cross-checking for the speech  
10 retrieval key candidates of the second statistically  
hierarchized database that are selected as described above,  
to the dialogue leading unit 14-2.

The dialogue leading unit 14-2 defines the renewed  
likelihood threshold for the retrieval key recognition  
15 likelihoods of the second statistically hierarchized  
database as 0.2590 according to the normalized new  
recognition likelihood. This renewed likelihood threshold  
is determined to be a value which is smaller than the  
highest likelihood value by more than a prescribed value,  
20 for example. Then, the dialogue leading according to the  
number of the speech retrieval key candidates having the  
normalized new recognition likelihood that exceeds the  
renewed likelihood threshold 0.2590 is started. As can be  
seen in Fig. 20, there are two speech retrieval key leading  
25 candidates "Consort of Musicke" and "Gustav Leonhardt"  
which have the recognition likelihood exceeding 0.2590 in  
this case.

Since the number of the speech retrieval key leading  
candidates in the normalized and cross-checked recognition  
30 result table is less than or equal to the prescribed number  
2, the dialogue leading unit 14-2 determines to carry out  
the narrowing down of the leading candidates by obtaining a  
new related attribute information and determines to inquire  
the place of the concert as the new related attribute  
35 information, by referring to the retrieval key attribute



database 17-2.

The query and response generation unit 14-3 generates the retrieval key determination related query of "Please answer the place of this concert" for inquiring the place  
5 of the concert, and this retrieval key determination related query is outputted from the speech output unit 16.

Then, the response speech "Casals Hall" by the user is entered from the speech input unit 12, and sent to the speech identification unit 13. The speech recognition  
10 processing for the place of the concert candidate is carried out at the speech recognition unit 13-1 of the speech identification unit 13, the related information recognition likelihood of each candidate is calculated at the speech recognition result output unit 13-2, and the  
15 related information recognition result table is sent to the dialogue control unit 14. The related information recognition result table for the place of the concert obtained as the related attribute information is shown in Fig. 21. The rightmost column in the related information  
20 recognition result table of Fig. 21 is the normalized recognition likelihood.

Then, the result adjustment unit 14-1 commands the speech retrieval key relevancy calculation unit 15 to carry out the cross-checking of the recognition likelihoods by  
25 judging the relevancy of the speech retrieval key leading candidates in the second statistically hierarchized database which is currently a target of the narrowing down, with respect to both of the related attribute information including the place of the concert now obtained and the  
30 concert date information that was obtained earlier by inquiring the concert date which is now stored in the related information recognition result table storage area.

The speech retrieval key relevancy calculation unit 15 carries out the cross-checking of the retrieval key  
35 recognition likelihood and the related information

recognition likelihood of each related attribute information when the speech retrieval key leading candidates "Consort of Musicke" and "Gustav Leonhardt" are found to be related to the related attribute information candidates in the concert date recognition result and the place of the concert recognition result that is newly obtained, by referring to the retrieval key attribute database 17-2.

Namely, in this case, as shown in Fig. 21, "Casals Hall", "Orchard Hall", "Festival Hall", "Symphony Hall", "NHK Hall", etc., are obtained as the related attribute information candidates for the place of the concert. The normalized new recognition likelihoods for the "Consort of Musicke" and "Gustav Leonhardt" in the rightmost column in a lower part of Fig. 20 are values obtained by the normalization and the cross-checking of the retrieval key recognition likelihoods of the speech retrieval key leading candidates "Consort of Musicke" and "Gustav Leonhardt" and the related information recognition likelihoods of the concert date information, so that by cross-checking the related information recognition likelihood of the place of the concert candidate and the values in the rightmost column in a lower part of Fig. 20, the cross-checking with the two related attribute information of the concert date information and the place of the concert information can be realized. The relevancy of the places of the concert shown in Fig. 21 with respect to the speech retrieval key leading candidates "Consort of Musicke" and "Gustav Leonhardt" is judged from the retrieval key attribute database 17-2.

As a result, as shown in Fig. 22, "Consort of Musicke" has the related attribute of "Suntory Hall", so that by multiplying the respective normalized recognition likelihoods of 0.2897 and 0.0397, the new recognition likelihood of "Consort of Musicke" becomes 0.01150, whereas "Gustav Leonhardt" has the related attribute of "Casals

Hall", so that by multiplying the respective normalized recognition likelihoods of 0.2593 and 0.0833, the new recognition likelihood of "Gustav Leonhardt" becomes 0.02160.

5 From this result, the dialogue leading unit 14-2 determines that the speech retrieval key leading candidate "Gustav Leonhardt" for which the highest retrieval key recognition likelihood is obtained as a result of the recognition likelihood cross-checking is the speech  
10 retrieval key, and commands the query and response generation unit 14-3 to generate a message for presentation to the user, according to the dialogue leading scheme.

The speech output unit 16 outputs the determined candidate presentation message of "You wish to attend a  
15 concert of Gustav Leonhardt on March 3 at the Casals Hall, correct?".

The user's response "Yes" to this presentation is entered from the speech input unit 12 and sent to the speech identification unit 13. The speech recognition unit  
20 13-1 carries out the speech recognition processing using the YES/NO type template database 17-4, and the speech recognition result output unit 13-2 sends the recognition result to the dialogue control unit 14.

The result adjustment unit 14-1 sends the recognition  
25 result "Yes" received from the speech recognition result output unit 13-2 to the dialogue leading unit 14-2, and the dialogue leading unit 14-2 judges that the correct speech retrieval key has been determined and determines to finish the dialogue.

30 As can be seen from the above description, in the case of the large scale speech recognition target words, the recognition processing requires a long time and moreover the recognition accuracy is not 100% by the current speech recognition technology so that it is difficult to achieve  
35 the task requested by speech from the user within a

prescribed period of time. Namely, the user must be kept awaiting while the system carries out the speech recognition, and when the candidate presented after waiting turns out to be the recognition error, it is necessary to  
5 repeat the query and response until the correct candidate is presented or another speech input is requested and the user is kept awaiting again, so that it is difficult to achieve the task through natural dialogues similar to dialogues with the human operator.

10 According to the second scheme of the present invention described in this embodiment, the importance levels are defined for all data according to the statistical information such as access frequencies, and the speech recognition database is provided in forms of a  
15 plurality of statistically hierarchized databases in which data are subdivided and hierarchically structured according to the importance levels. Also, the virtual real time performance for the speech recognition processing is realized by utilizing difference in the recognition time  
20 due to difference in the number of data contained in these databases.

Also, by setting a threshold for the recognition likelihood of the speech recognition processing, the effective narrowing down is realized by inquiring the  
25 related attribute information when there are a small number of highly reliable recognition results. When the number of highly reliable recognition results is greater than a prescribed number, or when there is no highly reliable recognition result, or when the first candidate is negated  
30 by the user as not the correct retrieval key, there is a possibility that the correct retrieval key candidate is not contained in the highest level statistically hierarchized database, so that the recognition target is shifted to the lower level statistically hierarchized database, and  
35 incompleteness of the speech recognition accuracy is

compensated by carrying out the cross-checking with the related attribute information. Also, by carrying out the retrieval key determination related query to continue the dialogue, the natural dialogue is realized while pretending  
5 as if the speech recognition processing is carried out for all the data.

Note that this interactive information retrieval method is easily applicable to systems in which the task is conventionally achieved by the human operator, such as the  
10 seat reservation in which a seat is to be determined using a price of the seat as attribute, and the station search in which a station name is to be determined using a route as attribute. In addition, this interactive information retrieval method is also applicable to the name search in  
15 which the retrieval key is a name of a person, by providing a plurality of attributes such as address, sex, job, age, telephone number, etc., as the related attribute information and utilizing them in suitable combination.

20 Referring now to Fig. 23 to Fig. 31, the third embodiment directed to the above described third scheme of the present invention will be described in detail.

Fig. 23 shows an exemplary configuration of a speech recognition based interactive information retrieval  
25 apparatus in the third embodiment of the present invention. This interactive information retrieval apparatus comprises a central processing unit (CPU) 110, a memory device 120, a database 130 and a user device 140. Here, it is also possible to connecte the CPU 110 and the user device 140  
30 through a network.

The CPU 110 is a major component of this interactive information retrieval apparatus, which comprises an input request unit 111, a speech recognition unit 112, a  
recognition result adjustment unit 113 and a user interface  
35 (speech interface) 114. Note that these elements 111 to 114

can be constructed by utilizing hardware and software of the general purpose computer in practice. The memory device 120 is a work memory of the CPU 110 which stores various programs and intermediate processing result data as well as an attribute value leading candidate group 121 and a recognition target retrieval key candidate group 122 to be described below. It is also possible to provide this memory device 120 as a built-in element of the CPU 110. The database 130 is an external memory device of the CPU 110, which comprises a speech recognition database 131, an attribute database 132, and a YES/NO type template database 133. The user device 140 comprises a speech input unit 141 and a speech output unit 142, and exchanges data with the CPU 110 basically in forms of speeches.

Fig. 24 shows an exemplary configuration of the speech recognition database 131, and Fig. 25 shows an exemplary configuration of the attribute database 132. Note that the YES/NO type template database 133 in this embodiment basically stores only "Yes" and "No" data so that its configuration will not be described here.

As shown in Fig. 24, the speech recognition database 131 contains retrieval key candidates, and attribute values of attribute items of the retrieval key candidates separately for each attribute item. In general, a large scale speech recognition database comprises the number of retrieval key candidates that cannot be processing within a prescribed real time. Also, as shown in Fig. 25, the attribute database 132 contains attribute value candidates for each attribute item separately. The number of attribute value candidates is in general set to be the number for which the recognition can be finished in real time.

Fig. 26 shows a processing procedure for the retrieval key determination in this embodiment. The outline of the operation of the interactive information retrieval apparatus of Fig. 23 will now be described with reference

to Fig. 26.

The input request unit 111 determines an attribute item to be used in selecting the recognition target words that can be processed in real time, and notifies the  
5 determined attribute item to the speech recognition unit 112 while also requesting the user to enter the attribute value of the attribute item through the user interface 114 (step S41). The user listens to the attribute value input request through the speech output unit 142, and enters the  
10 attribute value from the speech input unit 141 (step S42).

When the attribute value is entered from the user through the user interface 114, the speech recognition unit 112 carries out the speech recognition processing for the input attribute value by referring to the attribute  
15 database 132 and calculates the recognition likelihood of each attribute value candidate for that attribute item (step S43). At this point, the recognition likelihood is calculated as a similarity (distance) between the input attribute value and each attribute value candidate, for  
20 example.

The recognition result adjustment unit 113 receives each attribute value candidate and its recognition likelihood from the speech recognition unit 112, extracts those attribute value candidates which have the recognition  
25 likelihood greater than or equal to a prescribed threshold as the attribute value leading candidates, and stores them in the memory device 120 (step S44). Then, the recognition result adjustment unit 113 searches through the speech recognition database 131 using these attribute value  
30 leading candidates as keys, extracts retrieval keys that have the same attribute values as the attribute value leading candidates for that attribute item, and stores them as the recognition target retrieval key candidates in the memory device 120 (step S45).

35 By the above operation, the recognition target

retrieval key candidates are narrowed down to the number of words that can be processed in real time. After this, the control is returned to the input request unit 111 again.

The input request unit 111 requests the user to enter the retrieval key through the user interface 114 (step S46). The user listens to the retrieval key input request through the speech output unit 142, and enters the target retrieval key from the speech input unit 141 (step S47).

When the retrieval key is entered from the user through the user interface 114, the speech recognition unit 112 carries out the speech recognition processing for this input retrieval key using the retrieval key candidates stored in the memory device 120 as the recognition target, and calculates the recognition likelihood of each retrieval key candidate (step S48). At this point, the recognition likelihood is calculated as a similarity (distance) between the input retrieval key and each retrieval key candidate, for example.

The recognition result adjustment unit 113 outputs the retrieval key candidates in a descending order of the recognition likelihood to the user through the user interface 114, and carries out the confirmation process with the user until the retrieval key is determined (step S49). More specifically, the recognition result adjustment unit 113 outputs the retrieval key candidates in a descending order of the recognition likelihood to the user, "Yes" or "No" entered by the user in response is recognized at the speech recognition unit 112 by referring to the YES/NO type template database 133, and this result is given to the recognition result adjustment unit 113. This operation is repeated until "Yes" is returned from the user.

Note that the processing algorithm and procedure shown in Fig. 26 can be provided as a retrieval key determination program which is described in a language that is executable



by a computer and recorded in a computer readable recording medium such as floppy disk, CD-ROM, or memory card, for example.

In the following, the interactive information retrieval method of this embodiment will be described for a concrete example. Here, the case of applying the interactive information retrieval method of this embodiment to the determination of an address from 4,000 cities or towns in Japan will be described.

This city/town determination has 4,000 cities or towns as the recognition target which cannot be processed in real time according to the current speech recognition technology. For this reason, the prefecture to which each city or town belongs is selected as the attribute item here. There are only 47 prefectures in Japan, which can be processed in real time.

Now, the exemplary case of determining "Yokohama" will be described.

Fig. 27 shows an example of the speech recognition database 131 to be used for the city/town determination, and Fig. 28 shows an example of the attribute database 132 to be used in the city/town determination. In the case of the city/town determination, as shown in Fig. 27, the speech recognition database 131 contains 4,000 cities or towns which are the retrieval key candidates, and each city or town has attribute items such as a prefecture to which each city or town belongs which is one of 47 prefectures existing in Japan, a district to which each city or town belongs which is one of 8 districts existing in Japan, and whether or not each city or town is located on seaside.

First, the input request unit 111 inquires the prefecture which is the selected attribute item, to the user. The user enters "Kanagawa" which is the prefecture to which "Yokohama" belongs. The speech recognition unit 112 carries out the speech recognition processing for

"Kanagawa" using the attribute database 132, and calculates the recognition likelihood of each one of 47 prefectures (attribute value candidates). Fig. 29 shows an exemplary recognition result for "Kanagawa" in which the candidates are arranged in a descending order of the recognition likelihood.

The recognition result adjustment unit 113 selects those attribute value candidates which have the recognition likelihood greater than or equal to the prescribed likelihood threshold of 0.8 as the attribute value leading candidates among the recognition candidates for "Kanagawa". In this example, there are two attribute value leading candidates "Kagawa" and "kanagawa". Then, the recognition result adjustment unit 113 extracts the cities or towns in Kagawa and Kanagawa as the recognition target. Fig. 30 shows a list of the extracted recognition target.

Next, the input request unit 111 urges the user to enter the target city or town which is the retrieval key. In response, the user enters "Yokohama" from the speech input unit 141. The speech recognition unit 112 calculates the recognition likelihood of each city or town in Kagawa and Kanagawa that is extracted as the recognition target with respect to the input retrieval key "Yokohama", and outputs the recognition result. Fig. 31 shows an exemplary recognition result.

The recognition result adjustment unit 113 then carries out the confirmation process with the user for the recognition result sequentially from the top candidate. In this example, "Yokohama" is outputted as the first (top) candidate having the highest recognition likelihood, so that "Yokohama" can be determined by a single confirmation process.

Now, using the example described above, the method of this embodiment will be compared with the conventional method for narrowing down the recognition target by

uniquely determining the attribute value by carrying out the confirmation process even for the attribute value. In the conventional method, the determination of "Kanagawa" requires two confirmation processes because "Kanagawa" is  
5 outputted as the second candidate in the recognition result based on the recognition likelihood calculation with respect to the input attribute value as shown in Fig. 29, so that time for two confirmation processes will be required before the retrieval key input. In contrast, this  
10 time for two confirmation processes is unnecessary in the method of this embodiment.

In the following, the comparison of the processing time required in the method of this embodiment and the conventional method will be described for a concrete  
15 example. It is assumed that, when the recognition target words are 100 words or less, the speech recognition accuracy is 70% and the input speech is always outputted as one of the top three candidates. Namely, it is assumed that a probability for the input speech to be outputted as the  
20 first candidate is 70%, a probability for the input speech to be outputted as the second candidate is 20%, and a probability for the input speech to be outputted as the third candidate is 10%. It is also assumed that, when the recognition target words are 300 words or less, the speech  
25 recognition accuracy is 60% and the input speech is always outputted as one of the top four candidates. In this case, it is assumed that a probability for the input speech to be outputted as the first candidate is 60%, a probability for the input speech to be outputted as the second candidate is  
30 25%, a probability for the input speech to be outputted as the third candidate is 10%, and a probability for the input speech to be outputted as the fourth candidate is 5%.

The attribute item is selected such that the number of attribute value candidates becomes 50 or less, and the  
35 number of retrieval key candidates belonging to each

attribute value becomes 100 or less. Here, for the sake of simplicity, the speech recognition processing time  $T$  is regarded approximately as equal to 0 in the case of the number of words that can be processed in real time. The  
5 number of words that can be processed in real time is assumed to be 300. A time required for one confirmation process is assumed to be  $S$  (sec).

In the conventional method, the attribute value recognition is completed in real time  $T$  (sec) because the  
10 number of attribute value candidates is 50, and at a time of determining the attribute value by the confirmation process carried out sequentially in a descending order of the recognition likelihood, the number of the confirmation processes required is one (required time of  $S$  (sec)) at 70%  
15 probability, two (required time of  $2S$  (sec)) at 20% probability, and three (required time of  $3S$  (sec)) at 10% probability so that the attribute value determination will require  $0.7 \times S + 0.2 \times 2S + 0.1 \times 3S = 1.4S$  (sec). Then, the recognition target is narrowed down by using the  
20 attribute value and the retrieval key input is urged to the user. Here, the speech recognition processing is completed in real time  $T$  (sec) because the number of data belonging to one attribute value is 100 or less. From the assumption on the recognition accuracy, the number of the confirmation  
25 processes required in the retrieval key determination is one at 70% probability, two at 20% probability, and three at 10% probability, so that the retrieval key determination will require  $1.4S$  (sec) on average similarly as the attribute value determination. Thus the retrieval key  
30 recognition and determination will require  $T + 1.4S \approx 1.4S$  (sec). Consequently, under the above assumption, the overall time required for the retrieval key determination will be  $1.4S + 1.4S = 2.8S$  (sec).

On the other hand, in the method of this embodiment,  
35 under the same speech recognition accuracy, the attribute

value recognition will require  $T$  (sec), and the correct attribute value is always outputted in the top three candidates because the number of attribute value candidates is 50 or less, so that top three attribute values will be stored as the attribute value leading candidates. Then, the retrieval keys belonging to these top three attribute value leading candidates are extracted and the retrieval key input is urged. Here, the number of recognition target retrieval keys becomes 300 or less because the number of data belonging to one attribute value is 100 or less. The recognition for the retrieval key is completed in real time  $T$  (sec), but because the number of the recognition target retrieval keys is 300, the number of the confirmation processes required in the retrieval key determination is one (required time of  $S$  (sec)) at 60% probability, two (required time of  $2S$  (sec)) at 25% probability, three (required time of  $3S$  (sec)) at 10% probability, and four (required time of  $4S$  (sec)) at 5% probability. Thus the retrieval key determination will require  $0.6 \times S + 0.25 \times 2S + 0.1 \times 3S + 0.05 \times 4S = 1.6S$  (sec), and the retrieval key recognition and determination will require  $T + 1.6S \approx 1.6S$  (sec). Consequently, the overall time required since the start of the user input until the retrieval key determination will be  $1.6S$  (sec) because the time required for the attribute value determination is  $T \approx 0$  (sec).

From this result, it can be seen that the method of this embodiment can reduce the retrieval key determination processing time considerably compared with the conventional method that narrows down the recognition target after uniquely determining the attribute value.

As can be seen from the above description, when the retrieval key candidates to be entered by speech from the user are a large number of words that cannot be processed in real time, because there is a limit to the number of words that can be processed in real time and the

recognition accuracy is lowered for the larger number of words according to the current speech recognition technology, the real time processing is realized by narrowing down the recognition target by using the attribute value of the attribute item of the retrieval key. However, the recognition accuracy cannot become 100% even when the recognition target is narrowed down, so that the confirmation process with the user is necessary in order to determine the input of the user.

10 The attribute value input is an indispensable process for the purpose of realizing the real time speech recognition processing from a viewpoint of the system, but the inability to immediately enter the retrieval key that the user really wants to request appears circuitous to the user, and the repetition of two confirmation processes for the attribute value determination and the retrieval key determination causes further stress on the user.

In the third scheme of the present invention described in this embodiment, the retrieval key determination is realized without carrying out the attribute value determination, so that the confirmation process for the attribute value determination is eliminated and the circuitry due to the repetition of the confirmation processes and the processing time required for the retrieval key determination are reduced, and thereby stress on the user is reduced. This scheme is particularly effective for the input speech determination using a large scale database as the recognition target.

30 Referring now to Fig. 32 to Fig. 39, the fourth embodiment directed to the above described fourth scheme of the present invention will be described in detail.

Fig. 32 shows an exemplary configuration of a speech recognition based interactive information retrieval apparatus in the fourth embodiment of the present

invention. This interactive information retrieval apparatus 201 comprises a speech input unit 202, a recognition target data extraction unit 203, a speech recognition unit 204, a recognition candidate output unit 205, and a speech output unit 206. The recognition target data extract unit 203 utilizes a recognition database 207 that comprises a speech recognition database 207-1 and a response database 207-2. The speech recognition unit 204 utilizes a speech recognition device 208, and the speech output unit 206 utilizes a speech output device 209.

Fig. 33 shows an exemplary overview of the speech recognition database 207-1 that is to be recorded in a recording medium.

The speech recognition database 207-1 is formed in two hierarchical levels for generic concepts and specific concepts, where the retrieval key to be requested by the user is a lower level data. The higher level has the number of words that can be processed in real time, while the lower level has a large number of words that cannot be processed in real time. Every lower level data has a dependency with respect to one higher level data, and the number of the lower level data that are dependent on one higher level data is set to be the number that can be processed in real time. Also, by utilizing the bias in the access frequencies for the large number of the lower level data, as many of the lower level data as the number that can be processed in real time are selected in a descending order of the access frequency, and marked "H" to form a high frequency access data group that is to be stored in another memory separately from the other lower level data that are marked "L".

In the interactive information retrieval apparatus 201, when the speech is entered by the user at the speech input unit 202, the identification of data to be selected as the recognition target is carried out at the recognition

target data extraction unit 203 according to the input speech.

Fig. 34 shows a processing procedure of the interactive information retrieval apparatus 201 in this embodiment.

When the retrieval key is entered by the user at the speech input unit 202 (step S51), the recognition target data extraction unit 203 specifies the high frequency access data group as the recognition target data, among the lower level data in the speech recognition database 207-1 for which the recognition and the retrieval are to be carried out at higher priority first (step S52).

Then, the speech recognition processing is carried out at the speech recognition unit 204 (step S53), and the recognition result is outputted at the recognition candidate output unit 205 (step S54). At this point, the recognition candidates are outputted in a descending order of the calculated recognition likelihood. The speech output unit 206 outputs the confirmation query while presenting the outputted retrieval key candidates in a descending order of the recognition likelihood to the user (step S55). Here, the number of times for which the confirmation query can be outputted in the confirmation process is specified in advance by the interactive information retrieval apparatus 201.

When a response to the confirmation query is entered from the speech input unit 202 (step S56), the recognition target data extraction unit 203 specifies the response database 207-2 of the recognition database 207 as the recognition target data, and when the response "Yes" is recognized at the speech recognition unit 204 and the recognition candidate output unit 205, the retrieval key determination success is notified to the user at the speech output unit 206 (step S57).

When the prescribed number of the confirmation queries



for the retrieval key candidates are all negated by the user (the response "No" is recognized at the speech recognition unit 204 and the recognition candidate output unit 205) (step S58 NO), the speech output unit 206 carries out the related query for inquiring a generic concept of the retrieval key that is contained in the higher level data to the user (step S59).

When the response to the related query is entered from the speech input unit 202 and recognized by the speech recognition unit 204, the recognition target data extraction unit 203 extracts the lower level data that are dependent on the recognized generic concept as the recognition target from the speech recognition database 207-1, and then the retrieval key originally entered by the user is recognized at the speech recognition unit 204 again (step S60). Then the confirmation query for the retrieval key candidates that are outputted in a descending order of the recognition likelihood at the recognition candidate output unit 205 is outputted from the speech output unit 206 (step S61). The confirmation process is repeated until the response "Yes" is obtained from the user with respect to the confirmation query (step S62). When the response "Yes" is recognized the retrieval key determination success is notified to the user (step S63).

In the following, the interactive information retrieval method of this embodiment will be described for a concrete example. Here, the case of applying the interactive information retrieval method of this embodiment to the determination of an address from cities or towns in Japan will be described.

In the city/down determination, it is assumed that the number of times for which the confirmation query can be outputted in the confirmation process for the retrieval key candidates in a descending order of the recognition likelihood is set to be 3 when the recognition target is

the high frequency access data group.

Fig. 35 shows an exemplary speech recognition database to be used in the city/town determination. Here, the cities or towns that can be the retrieval keys are the lower level data in the speech recognition database, and the prefectures in Japan are selected as the higher level data. There are 47 prefectures in Japan which is the number that can be processed in real time, every city or town has a prefecture to which it belongs, and the number of cities or towns belonging to one prefecture is 50 at most which can be processed in real time. Also, the access frequencies in the case of using the city/town determination for telephone number guidance or the like is utilized as the access frequencies for the cities or towns, and 50 (the number that can be processed in real time) cities or towns in a descending order of the access frequency are specified as the high frequency access data group. Fig. 36 shows an example of cities or towns constituting the high frequency access data group.

First, the exemplary case of determining "Yokohama" will be described.

When "Yokohama" is entered from the speech input unit 202, the recognition target data extraction unit 203 extracts the cities or towns belonging to the high frequency access data group (such as Sapporo, Hakodate, Chuo, Kagoshima, etc., in Fig. 35) as the recognition target data among the lower level data in the speech recognition database 207-1. Here, "Yokohama" is data that is contained in the high frequency access data group. The result of the speech recognition processing at the speech recognition unit 204 is outputted at the recognition candidate output unit 205 in a descending order of the recognition likelihood. Fig. 37 shows an exemplary output result in which the first candidate is "Yokosuka", the second candidate is "Yokohama", the third candidate is

"Yotsugi" and so on.

The speech output unit 206 outputs the confirmation query for the retrieval key candidates in a descending order of the recognition likelihood to the user. Since

5 "Yokohama" is the second candidate in Fig. 37, "Yokohama" can be determined as a correct one by two confirmation queries.

Next, another exemplary case of determining "Yokokawa" will be described. Here, "Yokokawa" is data that is not  
10 contained in the high frequency access data group.

When "Yokokawa" is entered from the speech input unit 202, the recognition target data extraction unit 203 extracts the high frequency access data group as the recognition target data, and the speech recognition  
15 processing is carried out at the speech recognition unit 204. Fig. 38 shows an exemplary result outputted from the recognition candidate output unit 205.

Then, according to the result of Fig. 38, the speech output unit 206 outputs the confirmation query for the  
20 retrieval key candidates "Yokohama", "Yokosuka", and "Yokoyama" in this order. In this case, the response "No" is entered from the speech input unit 202 for all the confirmation queries, so that the interactive information retrieval apparatus 201 urges the user to enter the  
25 prefecture to which the retrieval key "Yokowaka" belongs from the speech output unit 206. When the user's response "Gunma" is entered from the speech input unit 202, the recognition target data extraction unit extracts all the lower level data belonging to Gunma, that is 41 cities or  
30 towns in Gunma, as the recognition target data. Then, the speech recognition processing for "Yokokawa" is carried out at the speech recognition unit 204 again, and the retrieval key candidates are outputted from the recognition candidate output unit 205. Fig. 39 shows an exemplary output result  
35 in this case.

Then, the confirmation query for the retrieval key candidates in a descending order of the recognition likelihood is outputted at the speech output unit 206. Since "Yokowaka" is the first candidate in Fig. 39,

5 "Yokokawa" can be determined as a correct one by one confirmation query.

As can be seen from the above description, in the case of using a large number of speech recognition target words, there is a limit to the number of words that can be  
10 processed in real time and the recognition accuracy is lowered for the larger number of words according to the current speech recognition technology, so that the conventional system forces the user to first enter an efficient retrieval assist key by which the recognition  
15 target can be narrowed down to a small number of retrieval target words that can be recognized by the system at good accuracy in real time, rather than the retrieval key that the user really wants to request.

According to the fourth scheme of the present  
20 invention described in this embodiment, the speech recognition database is formed in two hierarchical levels, where the retrieval keys that can be requested by the user are set as the lower level data and the retrieval assist keys in the number of words that can be processed in real  
25 time with respect to which the lower level data have dependency are set as the higher level data. Moreover, the higher level data are selected such that the number of the lower level data (retrieval key candidates) that are dependent on one higher level data is the number that can  
30 be processed in real time, and the number of the lower level data with higher access frequencies that can be processed in real time are stored separately in another memory, such that the high frequency access data group is selected as the retrieval and recognition target at higher  
35 priority.

Using this specifically devised database configuration, if the retrieval key is contained in the high frequency access data group, the retrieval key determination can be realized in real time, using only the input of the retrieval key that the user really wants to request, without carrying out any related query for inquiring the generic concept as the retrieval assist key. Even when the retrieval key is not contained in the high frequency access data group, the retrieval key that the user really wants to request is entered first, and then the assisting generic concept is entered, which is natural from a viewpoint of the user, rather than forcing the user to start from the assisting query for inquiring the generic concept first in order to realize the effective narrowing down in the system as in the conventional system.

As described, according to the first scheme of the present invention, it becomes possible to provide a speech recognition based interactive information retrieval scheme capable of ascertaining the target information by determining the attribute values without making the user conscious of the time required for the speech recognition processing and the retrieval, and without causing unnatural dialogues with the user due to incompleteness of the speech recognition processing. In this scheme, in a process for determining the attribute value necessary in order to ascertain the target information, the recognition target attribute value can be determined even when the number of attribute values exceeds the number that can be processed within a prescribed period of time, by utilizing a method for narrowing down the recognition target words that can return a response with a tolerable level of accuracy for the user without making the user to have a feeling of being kept awaited, and a method for ascertaining input that can realize the reduction or the

omission of the confirmation processes.

Also, according to the second scheme of the present invention, it becomes possible to provide an operator-less speech recognition based interactive information retrieval scheme using speech dialogues based on the dialogue control which is capable of determining the retrieval key entered by the user through natural dialogues. In this scheme, the retrieval key can be determined using a large scale database having the retrieval target words that cannot be processed within a prescribed period of time, without making the user conscious of the time required for the speech recognition processing and the database matching, and without causing unnatural dialogues with the user due to incompleteness of the speech recognition processing, such that the task of determining the speech retrieval key entered by the user can be achieved in the operator-less speech recognition based interactive information retrieval system, without making the user conscious of the waiting time, through dialogues that have both quickness and naturalness equivalent to a human operator based system.

Also, according to the third scheme of the present invention, it becomes possible to provide a speech recognition based interactive information retrieval scheme using a large scale database as the recognition target, which is capable of ascertaining a retrieval key entered by the speech input while reducing stress on the user. In this scheme, the retrieval key is ascertained without carrying out the attribute value determination, such that the confirmation process for the purpose of determining the attribute value is eliminated and the circuitry due to the confirmation process is eliminated, while the processing time required for the retrieval key determination is shortened.

Also, according to the fourth scheme of the present invention, it becomes possible to provide a speech

recognition based interactive information retrieval scheme capable of realizing the retrieval that has both quickness and naturalness in determining the retrieval key from a large scale database. In this scheme, the recognition and the retrieval are carried out without making the user conscious of the waiting time and incompleteness of the recognition accuracy during the recognition even when the retrieval key that the user really wants to request is entered immediately at the beginning, by utilizing the bias in the access frequencies of data in the large scale database, in the retrieval aimed at determining the retrieval key entered by the user using the large scale database as the recognition target.

Thus, according to the speech recognition based interactive information retrieval scheme of the present invention, the ambiguity in the recognition result of the initially entered speech input and the ambiguity in the recognition result of the subsequent speech input entered in response to the related information query can be simultaneously resolved by the cross-checking process for checking the relevancy of these recognition results, and this is a factor that contributes to the capability of returning an appropriate response to the user in short time.

It is to be noted that the above described embodiments according to the present invention may be conveniently implemented using a conventional general purpose digital computer programmed according to the teachings of the present specification, as will be apparent to those skilled in the computer art. Appropriate software coding can readily be prepared by skilled programmers based on the teachings of the present disclosure, as will be apparent to those skilled in the software art.

In particular, the interactive information retrieval apparatus of each of the above described embodiments can be

conveniently implemented in a form of a software package.

Such a software package can be a computer program product which employs a storage medium including stored computer code which is used to program a computer to  
5 perform the disclosed function and process of the present invention. The storage medium may include, but is not limited to, any type of conventional floppy disks, optical disks, CD-ROMs, magneto-optical disks, ROMs, RAMs, EPROMs, EEPROMs, magnetic or optical cards, or any other suitable  
10 media for storing electronic instructions.

It is also to be noted that, besides those already mentioned above, many modifications and variations of the above embodiments may be made without departing from the novel and advantageous features of the present invention.  
15 Accordingly, all such modifications and variations are intended to be included within the scope of the appended claims.

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## WHAT IS CLAIMED IS:

1. A method of speech recognition based interactive information retrieval for ascertaining and retrieving a  
5 target information of a user by determining a retrieval key entered by the user using a speech recognition processing, comprising the steps of:
- (a) storing retrieval key candidates that constitute a number of data that cannot be processed by the speech  
10 recognition processing in a prescribed processing time, as recognition target words in a speech recognition database, the recognition target words being divided into prioritized recognition target words that constitute a number of data that can be processed by the speech recognition processing  
15 in the prescribed processing time and that have relatively higher importance levels based on statistical information defined for the recognition target words, and non-prioritized recognition target words other than the prioritized recognition target words;
- 20 (b) requesting the user by a speech dialogue with the user to enter a speech input indicating the retrieval key, and carrying out the speech recognition processing for the speech input with respect to the prioritized recognition target words to obtain a recognition result;
- 25 (c) carrying out a confirmation process using a speech dialogue with the user according to the recognition result to determine the retrieval key, when the recognition result satisfies a prescribed condition for judging that the retrieval key can be determined only by a confirmation  
30 process with the user;
- (d) carrying out a related information query using a speech dialogue with the user to request the user to enter another speech input indicating a related information of the retrieval key, when the recognition result does not  
35 satisfy the prescribed condition;

(e) carrying out the speech recognition processing for the another speech input to obtain another recognition result, and adjusting the recognition result according to the another recognition result to obtain adjusted recognition

5 result; and

(f) repeating the step (c) or the steps (d) and (e) using the adjusted recognition result in place of the recognition result, until the retrieval key is determined.

10 2. The method of claim 1, wherein the step (d) also carries out the speech recognition processing for the speech input with respect to as many of the non-prioritized recognition target words as a number of data that can be processed by the speech recognition processing in the  
15 prescribed processing time to obtain additional recognition result, while carrying out the related information query using the speech dialogue with the user, and

the step (e) also adjusts the recognition result by adding the additional recognition result.

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3. The method of claim 2, wherein the non-prioritized recognition target words are subdivided into a plurality of sets each containing a number of recognition target words that can be processed by the speech recognition processing  
25 in the prescribed processing time, and

the step (d) carries out the speech recognition processing for the speech input with respect to the plurality of sets in an order of the importance levels of the recognition target words contained in each set.

30

4. The method of claim 1, wherein the recognition result indicates recognition retrieval key candidates and their recognition likelihoods and the another recognition result indicates recognition related information candidates and  
35 their recognition likelihoods, and

the step (e) adjusts the recognition result by calculating new recognition likelihoods for the recognition retrieval key candidates according to recognition likelihoods for the recognition retrieval key candidates indicated in the recognition result and recognition likelihoods for the recognition related information candidates indicated in the another recognition result.

5. The method of claim 4, wherein the step (e) calculates the new recognition likelihoods for the recognition retrieval key candidates by multiplying a recognition likelihood of each recognition retrieval key candidate with a recognition likelihood of a corresponding recognition related information candidate.

6. The method of claim 1, wherein the recognition result indicates recognition retrieval key candidates and their recognition likelihoods, and the step (c) judges that the recognition result satisfies the prescribed condition, when a number of recognition retrieval key leading candidates which have recognition likelihoods that are exceeding a prescribed likelihood threshold is less than or equal to a prescribed number but not zero.

7. The method of claim 1, wherein the statistical information used at the step (a) is access frequencies of the retrieval key candidates.

8. The method of claim 1, wherein the prescribed processing time used at the step (a) is a real dialogue processing time specified in advance.

9. The method of claim 1, wherein the retrieval key indicates an attribute value of one attribute of the target

information, and the related information requested by the related information query of the step (d) is an attribute value of another attribute of the target information other than the one attribute.

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10. The method of claim 9, wherein attributes of the target information are hierarchically ordered, and the another attribute is a hierarchically adjacent one of the one attribute.

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11. The method of claim 9, wherein the another attribute is selected to be an attribute having attribute value candidates that constitute a number of data that can be processed by the speech recognition processing in the prescribed processing time.

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12. The method of claim 1, wherein the step (a) stores the retrieval key candidates indicating attribute values of a plurality of attributes of the target information, such that the retrieval key entered by the user can indicate an attribute value of any one of the plurality of attributes.

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13. The method of claim 1, wherein the step (a) stores the retrieval key candidates as lower level data, and also stores higher level data that constitute a number of data that can be processed by the speech recognition processing in the prescribed processing time, where each lower level data is dependent on one higher level data and lower level data that are dependent on one higher level data constitute a number of data that can be processed by the speech recognition processing in the prescribed processing time.

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14. The method of claim 13, wherein the step (c) judges that the recognition result satisfies the prescribed condition when the retrieval key can be determined by a

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number of confirmation queries less than or equal to a prescribed number.

15. The method of claim 13, wherein the step (d) judges  
5 that the recognition result does not satisfy the prescribed condition when the user negated the prescribed number of the confirmation queries.

16. The method of claim 13, wherein the related  
10 information requested by the related information query of the step (d) is a higher level data indicating a generic concept to which a specific concept indicated by the retrieval key belongs.

15 17. The method of claim 16, wherein the step (e) adjusts the recognition result by carrying out another confirmation process using a speech dialogue with the user according to the another recognition result to determine the higher  
20 level data, extracting the lower level data that are dependent on determined higher level data as new recognition target data, carrying out the speech recognition processing for the speech input with respect to the new recognition target data to obtain the another  
recognition result.

25

18. A method of speech recognition based interactive  
information retrieval for ascertaining and retrieving a  
target information of a user by determining a retrieval key  
entered by the user using a speech recognition processing,  
30 comprising the steps of:

(a) storing retrieval key candidates that are classified  
according to attribute values of an attribute item in a  
speech recognition database;

(b) requesting the user by a speech dialogue with the user  
35 to enter a speech input indicating an attribute value of

the attribute item for the retrieval key, and carrying out the speech recognition processing for the speech input to obtain a recognition result indicating attribute value candidates and their recognition likelihoods;

- 5 (c) selecting those attribute value candidates which have recognition likelihoods that are exceeding a prescribed likelihood threshold as attribute value leading candidates, and extracting those retrieval key candidates that belong to the attribute value leading candidates as new
- 10 recognition target data;
- (d) requesting the user by a speech dialogue with the user to enter another speech input indicating the retrieval key, and carrying out the speech recognition processing for the another speech input with respect to the new recognition
- 15 target data to obtain another recognition result; and
- (e) carrying out a confirmation process using a speech dialogue with the user according to the another recognition result to determine the retrieval key.

- 20 19. The method of claim 18, wherein the attribute item is selected to be an attribute having attribute value candidates that constitute a number of data that can be processed by the speech recognition processing in a prescribed processing time.

25

20. A method of speech recognition based interactive information retrieval for ascertaining and retrieving a target information of a user by determining a retrieval key entered by the user using a speech recognition processing,
- 30 comprising the steps of:

- (a) storing retrieval key candidates that constitute a number of data that cannot be processed by the speech recognition processing in a prescribed processing time as recognition target words, in a plurality of statistically
- 35 hierarchized databases provided in a speech recognition

database, where lower level statistically hierarchized  
databases contain increasingly larger part of the retrieval  
key candidates such that a lowest level statistically  
hierarchized database contains all the retrieval key

5 candidates;

(b) requesting the user by a speech dialogue with the user  
to enter a speech input indicating the retrieval key, and  
carrying out the speech recognition processing for the  
speech input with respect to all of the plurality of  
10 statistically hierarchized databases in parallel, to  
sequentially obtain respective recognition results  
indicating recognition retrieval key candidates and their  
recognition likelihoods;

(c) selecting those recognition retrieval key candidates  
15 which have recognition likelihoods that are exceeding a  
prescribed likelihood threshold as recognition retrieval  
key leading candidates, for each statistically hierarchized  
database for which the speech recognition processing is  
completed; and

20 (d) controlling a next speech dialogue with the user  
according to whether a prescribed condition that a number  
of the recognition retrieval key leading candidates is less  
than or equal to a prescribed number but not zero is  
satisfied or not.

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21. The method of claim 20, wherein the step (d) further  
comprises the sub-steps of:

(d1) carrying out a related information query using a  
speech dialogue with the user to request the user to enter  
30 another speech input indicating a related information of  
the retrieval key, when the prescribed condition is  
satisfied;

(d2) carrying out the speech recognition processing for  
the another speech input to obtain another recognition  
35 result indicating recognition related information

candidates and their recognition likelihoods, and adjusting the recognition result according to the another recognition result to obtain adjusted recognition result; and

(d3) carrying out a confirmation process using a speech  
5 dialogue with the user according to the adjusted recognition result to determine the retrieval key.

22. The method of claim 21, wherein the step (d2) adjusts the recognition result by calculating new recognition  
10 likelihoods for the recognition retrieval key candidates according to recognition likelihoods for the recognition retrieval key candidates indicated in the recognition result and recognition likelihoods for the recognition related information candidates indicated in the another  
15 recognition result.

23. The method of claim 22, wherein the step (d2) calculates the new recognition likelihoods for the recognition retrieval key candidates by normalizing the  
20 recognition likelihoods for the recognition retrieval key candidates indicated in the recognition result, normalizing the recognition likelihoods for the recognition related information candidates indicated in the another recognition result, and multiplying a normalized recognition likelihood  
25 of each recognition retrieval key candidate with a normalized recognition likelihood of a corresponding recognition related information candidate that is found to be related to each recognition retrieval key candidate.

30 24. The method of claim 21, further comprising the step of:

(e) checking whether any of prescribed next dialogue leading conditions is satisfied or not, and shifting a recognition target to a next lower level statistically  
35 hierarchized database when any of the prescribed next



dialogue leading conditions is satisfied.

25. The method of claim 24, further comprising the steps of:

5 (f) adjusting the recognition result for the next lower level statistically hierarchized database according to a related information of the retrieval key to obtain another adjusted recognition result;

(g) selecting those recognition retrieval key candidates  
10 which have recognition likelihoods that are exceeding the prescribed likelihood threshold as recognition retrieval key leading candidates, from the another adjusted recognition result; and

(h) controlling a next speech dialogue with the user  
15 according to whether the prescribed condition that a number of recognition retrieval key leading candidates is less than or equal to a prescribed number but not zero is satisfied or not.

20 26. The method of claim 25, wherein the related information used at the step (f) is information already obtained before the step (e) in a course of processing a higher level statistically hierarchized database.

25 27. The method of claim 25, wherein the related information used at the step (f) is obtained by carrying out a related information query using a speech dialogue with the user to request the user to enter another speech input for a related information of the retrieval key, when  
30 no related information of the retrieval key is obtained yet.

28. The method of claim 24, wherein the prescribed next dialogue leading conditions include:

35 (1) a case where the number of the recognition retrieval

key leading candidates is not less than or equal to the prescribed number;

(2) a case where the number of the recognition retrieval key leading candidates is zero;

5 (3) a case where a recognition retrieval key candidate presented to the user in the confirmation process of the step (d3) according to the adjusted recognition result is negated by the user; and

10 (4) a case where no recognition retrieval key leading candidates is found to be related to the recognition related information candidates obtained by the speech recognition processing of the step (d2).

29. The method of claim 20, wherein the step (a) stores  
15 the retrieval key candidates in the plurality of statistically hierarchized databases, such that an (n+1)-th level statistically hierarchized database contains a number of the retrieval key candidates that can be processed by the speech recognition processing while carrying out a  
20 speech dialogues with the user to determine the retrieval key using an n-th level statistically hierarchized database.

30. The method of claim 20, wherein the step (a) stores  
25 the retrieval key candidates in the plurality of statistically hierarchized databases according to importance levels based on statistical information defined for the recognition target words, such that the recognition target words in a higher level statistically hierarchized  
30 database have relatively higher importance level than the recognition target words in a lower level statistically hierarchized database.

31. A speech recognition based interactive information  
35 retrieval apparatus for ascertaining and retrieving a

target information of a user by determining a retrieval key entered by the user using a speech recognition processing, comprising:

5 a speech recognition database configured to store retrieval key candidates that constitute a number of data that cannot be processed by the speech recognition processing in a prescribed processing time, as recognition target words, the recognition target words being divided into prioritized recognition target words that constitute a  
10 number of data that can be processed by the speech recognition processing in the prescribed processing time and that have relatively higher importance levels based on statistical information defined for the recognition target words, and non-prioritized recognition target words other  
15 than the prioritized recognition target words;

a speech recognition unit configured to carry out the speech recognition processing; and

a dialogue control unit configured to carry out speech dialogues with the user;

20 wherein the dialogue control unit carries out a speech dialogue for requesting the user to enter a speech input indicating the retrieval key, such that the speech recognition unit carries out the speech recognition processing for the speech input with respect to the  
25 prioritized recognition target words to obtain a recognition result;

the dialogue control unit carries out a speech dialogue for a confirmation process according to the recognition result to determine the retrieval key, when the  
30 recognition result satisfies a prescribed condition for judging that the retrieval key can be determined only by a confirmation process with the user;

the dialogue control unit carries out a speech dialogue for a related information query to request the  
35 user to enter another speech input indicating a related

information of the retrieval key, when the recognition result does not satisfy the prescribed condition, such that the speech recognition unit carries out the speech recognition processing for the another speech input to  
5 obtain another recognition result and the dialogue control unit adjusts the recognition result according to the another recognition result to obtain adjusted recognition result, and

the dialogue control unit controls the speech  
10 dialogues to repeat the confirmation process or the related information query using the adjusted recognition result in place of the recognition result, until the retrieval key is determined.

15 32. The apparatus of claim 31, wherein the speech recognition unit also carries out the speech recognition processing for the speech input with respect to as many of the non-prioritized recognition target words as a number of data that can be processed by the speech recognition  
20 processing in the prescribed processing time to obtain additional recognition result, while the dialogue control unit is carrying out the related information query using the speech dialogue with the user, and

the dialogue control unit also adjusts the recognition  
25 result by adding the additional recognition result.

33. The apparatus of claim 32, wherein the speech recognition database stores the non-prioritized recognition target words that are subdivided into a plurality of sets  
30 each containing a number of recognition target words that can be processed by the speech recognition processing in the prescribed processing time, and

the speech recognition unit carries out the speech recognition processing for the speech input with respect to  
35 the plurality of sets in an order of the importance levels

of the recognition target words contained in each set.

34. The apparatus of claim 31, wherein the speech  
recognition unit obtains the recognition result that  
5 indicates recognition retrieval key candidates and their  
recognition likelihoods and the another recognition result  
that indicates recognition related information candidates  
and their recognition likelihoods, and

the dialogue control unit adjusts the recognition  
10 result by calculating new recognition likelihoods for the  
recognition retrieval key candidates according to  
recognition likelihoods for the recognition retrieval key  
candidates indicated in the recognition result and  
recognition likelihoods for the recognition related  
15 information candidates indicated in the another recognition  
result.

35. The apparatus of claim 34, wherein the dialogue  
control unit calculates the new recognition likelihoods for  
20 the recognition retrieval key candidates by multiplying a  
recognition likelihood of each recognition retrieval key  
candidate with a recognition likelihood of a corresponding  
recognition related information candidate.

25 36. The apparatus of claim 31, wherein the speech  
recognition unit obtains the recognition result that  
indicates recognition retrieval key candidates and their  
recognition likelihoods, and

the dialogue control unit judges that the recognition  
30 result satisfies the prescribed condition, when a number of  
recognition retrieval key leading candidates which have  
recognition likelihoods that are exceeding a prescribed  
likelihood threshold is less than or equal to a prescribed  
number but not zero.

35

37. The apparatus of claim 31, wherein the statistical information used in the speech recognition database is access frequencies of the retrieval key candidates.

5 38. The apparatus of claim 31, wherein the prescribed processing time used in the speech recognition database is a real dialogue processing time specified in advance.

39. The apparatus of claim 31, wherein the retrieval key  
10 indicates an attribute value of one attribute of the target information, and the related information requested by the related information query carried out by the dialogue control unit is an attribute value of another attribute of the target information other than the one attribute.

15

40. The apparatus of claim 39, wherein attributes of the target information are hierarchically ordered, and the another attribute is a hierarchically adjacent one of the one attribute.

20

41. The apparatus of claim 39, wherein the another attribute is selected to be an attribute having attribute value candidates that constitute a number of data that can be processed by the speech recognition processing in the  
25 prescribed processing time.

42. The apparatus of claim 31, wherein the speech recognition database stores the retrieval key candidates indicating attribute values of a plurality of attributes of  
30 the target information, such that the retrieval key entered by the user can indicate an attribute value of any one of the plurality of attributes.

43. The apparatus of claim 31, wherein the speech  
35 recognition database stores the retrieval key candidates as

lower level data, and also stores higher level data that constitute a number of data that can be processed by the speech recognition processing in the prescribed processing time, where each lower level data is dependent on one  
5 higher level data and lower level data that are dependent on one higher level data constitute a number of data that can be processed by the speech recognition processing in the prescribed processing time.

10 44. The apparatus of claim 43, wherein the dialogue control unit judges that the recognition result satisfies the prescribed condition when the retrieval key can be determined by a number of confirmation queries less than or equal to a prescribed number.

15 45. The apparatus of claim 43, wherein the dialogue control unit judges that the recognition result does not satisfy the prescribed condition when the user negated the prescribed number of the confirmation queries.

20 46. The apparatus of claim 43, wherein the related information requested by the related information query carried out by the dialogue control unit is a higher level data indicating a generic concept to which a specific  
25 concept indicated by the retrieval key belongs.

47. The apparatus of claim 46, wherein the dialogue control unit adjusts the recognition result by carrying out another confirmation process using a speech dialogue with  
30 the user according to the another recognition result to determine the higher level data, extracting the lower level data that are dependent on determined higher level data as new recognition target data, carrying out the speech recognition processing for the speech input with respect to  
35 the new recognition target data to obtain the another

recognition result.

48. A speech recognition based interactive information retrieval apparatus for ascertaining and retrieving a  
5 target information of a user by determining a retrieval key entered by the user using a speech recognition processing, comprising:

a speech recognition database configured to store retrieval key candidates that are classified according to  
10 attribute values of an attribute item;

a speech recognition unit configured to carry out the speech recognition processing; and

a dialogue control unit configured to carry out speech dialogues with the user;

15 wherein the dialogue control unit carries out a speech dialogue for requesting the user to enter a speech input indicating an attribute value of the attribute item for the retrieval key, such that the speech recognition unit carries out the speech recognition processing for the  
20 speech input to obtain a recognition result indicating attribute value candidates and their recognition likelihoods;

the dialogue control unit selects those attribute value candidates which have recognition likelihoods that  
25 are exceeding a prescribed likelihood threshold as attribute value leading candidates, and extracts those retrieval key candidates that belong to the attribute value leading candidates as new recognition target data;

the dialogue control unit carries out a speech  
30 dialogue for requesting the user to enter another speech input indicating the retrieval key, such that the speech recognition unit carries out the speech recognition processing for the another speech input with respect to the new recognition target data to obtain another recognition  
35 result; and



the dialogue control unit carries out a speech dialogue for a confirmation process according to the another recognition result to determine the retrieval key.

5 49. The apparatus of claim 48, wherein the attribute item is selected to be an attribute having attribute value candidates that constitutes a number of data that can be processed by the speech recognition processing in a prescribed processing time.

10

50. A speech recognition based interactive information retrieval apparatus for ascertaining and retrieving a target information of a user by determining a retrieval key entered by the user using a speech recognition processing,

15 comprising:

a speech recognition database having a plurality of statistically hierarchized databases configured to store retrieval key candidates that constitute a number of data that cannot be processed by the speech recognition  
20 processing in a prescribed processing time as recognition target words, where lower level statistically hierarchized databases contain increasingly larger part of the retrieval key candidates such that a lowest level statistically hierarchized database contains all the retrieval key

25 candidates;

a speech recognition unit configured to carry out the speech recognition processing; and

a dialogue control unit configured to carry out speech dialogues with the user;

30 wherein the dialogue control unit carries out a speech dialogue for requesting the user to enter a speech input indicating the retrieval key, such that the speech recognition unit carries out the speech recognition processing for the speech input with respect to all of the  
35 plurality of statistically hierarchized databases in

parallel, to sequentially obtain respective recognition results indicating recognition retrieval key candidates and their recognition likelihoods;

the dialogue control unit selects those recognition retrieval key candidates which have recognition likelihoods that are exceeding a prescribed likelihood threshold as recognition retrieval key leading candidates. for each statistically hierarchized database for which the speech recognition processing is completed; and

the dialogue control unit controls a next speech dialogue with the user according to whether a prescribed condition that a number of the recognition retrieval key leading candidates is less than or equal to a prescribed number but not zero is satisfied or not.

15

51. The apparatus of claim 50, wherein the dialogue control unit controls the next speech dialogue by:

carrying out a speech dialogue for a related information query to request the user to enter another speech input indicating a related information of the retrieval key. when the prescribed condition is satisfied, such that the speech recognition unit carries out the speech recognition processing for the another speech input to obtain another recognition result indicating recognition related information candidates and their recognition likelihoods.

adjusting the recognition result according to the another recognition result to obtain adjusted recognition result; and

carrying out a speech dialogue for a confirmation process according to the adjusted recognition result to determine the retrieval key.

52. The apparatus of claim 51, wherein the dialogue control unit adjusts the recognition result by calculating

new recognition likelihoods for the recognition retrieval  
key candidates according to recognition likelihoods for the  
recognition retrieval key candidates indicated in the  
recognition result and recognition likelihoods for the  
5 recognition related information candidates indicated in the  
another recognition result.

53. The apparatus of claim 52, wherein the dialogue  
control unit calculates the new recognition likelihoods for  
10 the recognition retrieval key candidates by normalizing the  
recognition likelihoods for the recognition retrieval key  
candidates indicated in the recognition result, normalizing  
the recognition likelihoods for the recognition related  
information candidates indicated in the another recognition  
15 result, and multiplying a normalized recognition likelihood  
of each recognition retrieval key candidate with a  
normalized recognition likelihood of a corresponding  
recognition related information candidate that is found to  
be related to each recognition retrieval key candidate.

20  
54. The apparatus of claim 51, wherein the dialogue  
control unit also checks whether any of prescribed next  
dialogue leading conditions is satisfied or not, and shifts  
a recognition target to a next lower level statistically  
25 hierarchized database when any of the prescribed next  
dialogue leading conditions is satisfied.

55. The apparatus of claim 54, wherein the dialogue  
control unit adjusts the recognition result for the next  
30 lower level statistically hierarchized database according  
to a related information of the retrieval key to obtain  
another adjusted recognition result, selects those  
recognition retrieval key candidates which have recognition  
likelihoods that are exceeding the prescribed likelihood  
35 threshold as recognition retrieval key leading candidates,

from the another adjusted recognition result, and controls a next speech dialogue with the user according to whether the prescribed condition that a number of recognition retrieval key leading candidates is less than or equal to a  
5 prescribed number but not zero is satisfied or not.

56. The apparatus of claim 55, wherein the related information used in adjusting the recognition result for the next lower level statistically hierarchized database is  
10 information already obtained before shifting the recognition target to the next lower level statistically hierarchized database in a course of processing a higher level statistically hierarchized database.

15 57. The apparatus of claim 55, wherein the related information used in adjusting the recognition result for the next lower level statistically hierarchized database is obtained by carrying out a speech dialogue for a related information query to request the user to enter another  
20 speech input for a related information of the retrieval key, when no related information of the retrieval key is obtained yet.

58. The apparatus of claim 54, wherein the prescribed next  
25 dialogue leading conditions include:

(1) a case where the number of the recognition retrieval key leading candidates is not less than or equal to the prescribed number;

(2) a case where the number of the recognition retrieval  
30 key leading candidates is zero;

(3) a case where a recognition retrieval key candidate presented to the user in the confirmation process according to the adjusted recognition result is negated by the user; and

35 (4) a case where no recognition retrieval key leading

candidates is found to be related to the recognition related information candidates obtained by the speech recognition processing.

5 59. The apparatus of claim 50, wherein the speech  
recognition database stores the retrieval key candidates in  
the plurality of statistically hierarchized databases, such  
that an (n+1)-th level statistically hierarchized database  
contains a number of the retrieval key candidates that can  
10 be processed by the speech recognition processing while  
carrying out a speech dialogues with the user to determine  
the retrieval key using an n-th level statistically  
hierarchized database.

15 60. The apparatus of claim 50, wherein the speech  
recognition database stores the retrieval key candidates in  
the plurality of statistically hierarchized databases  
according to importance levels based on statistical  
information defined for the recognition target words, such  
20 that the recognition target words in a higher level  
statistically hierarchized database have relatively higher  
importance level than the recognition target words in a  
lower level statistically hierarchized database.

25 61. A computer usable medium having computer readable  
program codes embodied therein for causing a computer to  
function as a speech recognition based interactive  
information retrieval system for ascertaining and  
retrieving a target information of a user by determining a  
30 retrieval key entered by the user using a speech  
recognition processing and a speech recognition database  
for storing retrieval key candidates that constitute a  
number of data that cannot be processed by the speech  
recognition processing in a prescribed processing time, as  
35 recognition target words in a speech recognition database,

the recognition target words being divided into prioritized  
recognition target words that constitute a number of data  
that can be processed by the speech recognition processing  
in the prescribed processing time which have relatively  
5 higher importance levels based on statistical information  
defined for the recognition target words, and non-  
prioritized recognition target words other than the  
prioritized recognition target words, the computer readable  
program codes include:

10 a first computer readable program code for causing  
said computer to request the user by a speech dialogue with  
the user to enter a speech input indicating the retrieval  
key, and carry out the speech recognition processing for  
the speech input with respect to the prioritized

15 recognition target words to obtain a recognition result;

a second computer readable program code for causing  
said computer to carry out a confirmation process using a  
speech dialogue with the user according to the recognition  
result to determine the retrieval key, when the recognition  
20 result satisfies a prescribed condition for judging that  
the retrieval key can be determined only by a confirmation  
process with the user;

a third computer readable program code for causing  
said computer to carry out a related information query  
25 using a speech dialogue with the user to request the user  
to enter another speech input indicating a related  
information of the retrieval key, when the recognition  
result does not satisfy the prescribed condition;

a fourth computer readable program code for causing  
30 said computer to carry out the speech recognition  
processing for the another speech input to obtain another  
recognition result, and adjust the recognition result  
according to the another recognition result to obtain  
adjusted recognition result; and

35 a fifth computer readable program code for causing

said computer to repeat processing of the second computer readable program code or the third and fourth computer readable program codes using the adjusted recognition result in place of the recognition result, until the  
5 retrieval key is determined.

62. A computer usable medium storing a data structure to be used as a speech recognition database in a speech recognition based interactive information retrieval system  
10 for ascertaining and retrieving a target information of a user by determining a retrieval key entered by the user using a speech recognition processing, the data structure comprising:

retrieval key candidates that constitute a number of  
15 data that cannot be processed by the speech recognition processing in a prescribed processing time, as recognition target words, the recognition target words being divided into prioritized recognition target words that constitute a number of data that can be processed by the speech  
20 recognition processing in the prescribed processing time which have relatively higher importance levels based on statistical information defined for the recognition target words, and non-prioritized recognition target words other than the prioritized recognition target words.

25  
63. The computer usable medium of claim 62, wherein the data structure stores the retrieval key candidates as lower level data, and also stores higher level data that constitute a number of data that can be processed by the  
30 speech recognition processing in the prescribed processing time, where each lower level data is dependent on one higher level data and lower level data that are dependent on one higher level data constitute a number of data that can be processed by the speech recognition processing in  
35 the prescribed processing time.

64. A computer usable medium having computer readable program codes embodied therein for causing a computer to function as a speech recognition based interactive information retrieval system for ascertaining and retrieving a target information of a user by determining a retrieval key entered by the user using a speech recognition processing and a speech recognition database for storing retrieval key candidates that are classified according to attribute values of an attribute item, the computer readable program codes include:

a first computer readable program code for causing said computer to request the user by a speech dialogue with the user to enter a speech input indicating an attribute value of the attribute item for the retrieval key, and carry out the speech recognition processing for the speech input to obtain a recognition result indicating attribute value candidates and their recognition likelihoods;

a second computer readable program code for causing said computer to select those attribute value candidates which have recognition likelihoods that are exceeding a prescribed likelihood threshold as attribute value leading candidates, and extract those retrieval key candidates that belong to the attribute value leading candidates as new recognition target data;

a third computer readable program code for causing said computer to request the user by a speech dialogue with the user to enter another speech input indicating the retrieval key, and carry out the speech recognition processing for the another speech input with respect to the new recognition target data to obtain another recognition result; and

a fourth computer readable program code for causing said computer to carry out a confirmation process using a speech dialogue with the user according to the another



recognition result to determine the retrieval key.

65. A computer usable medium having computer readable program codes embodied therein for causing a computer to
- 5 function as a speech recognition based interactive information retrieval system for ascertaining and retrieving a target information of a user by determining a retrieval key entered by the user using a speech recognition processing and a speech recognition database
- 10 having a plurality of statistically hierarchized databases for storing retrieval key candidates that constitute a number of data that cannot be processed by the speech recognition processing in a prescribed processing time as recognition target words, where lower level statistically
- 15 hierarchized databases contain increasingly larger part of the retrieval key candidates such that a lowest level statistically hierarchized database contains all the retrieval key candidates, the computer readable program codes include:
- 20 a first computer readable program code for causing said computer to request the user by a speech dialogue with the user to enter a speech input indicating the retrieval key, and carry out the speech recognition processing for the speech input with respect to all of the plurality of
- 25 statistically hierarchized databases in parallel, to sequentially obtain respective recognition results indicating recognition retrieval key candidates and their recognition likelihoods;
- a second computer readable program code for causing
- 30 said computer to select those recognition retrieval key candidates which have recognition likelihoods that are exceeding a prescribed likelihood threshold as recognition retrieval key leading candidates, for each statistically hierarchized database for which the speech recognition
- 35 processing is completed; and

a third computer readable program code for causing said computer to control a next speech dialogue with the user according to whether a prescribed condition that a number of the recognition retrieval key leading candidates  
5 is less than or equal to a prescribed number but not zero is satisfied or not.

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## ABSTRACT OF THE DISCLOSURE

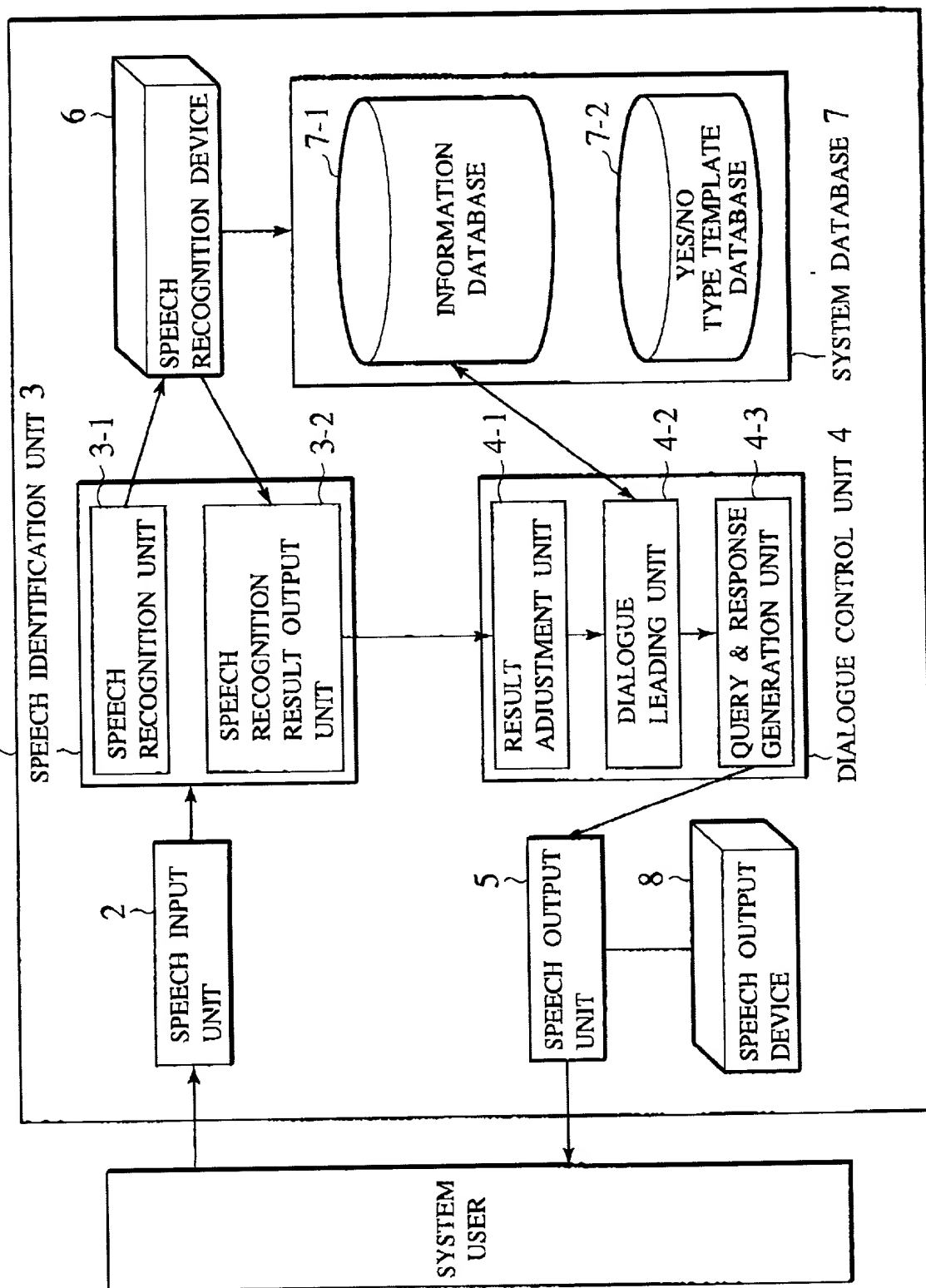
In the disclosed speech recognition based interactive information retrieval scheme, the recognition target words in the speech recognition database are divided into prioritized recognition target words that constitute a number of data that can be processed by the speech recognition processing in the prescribed processing time and that have relatively higher importance levels based on statistical information, and the other non-prioritized recognition target words. Then, the speech recognition processing for the speech input with respect to the prioritized recognition target words is carried out at higher priority, and a confirmation process is carried out when the recognition result satisfies a prescribed condition for judging that the retrieval key can be determined only by a confirmation process with the user. On the other hand, a related information query to request the user to enter another speech input for a related information of the retrieval key is carried out when the recognition result does not satisfy the prescribed condition, and the original recognition result is adjusted according to the recognition result for another speech input. In this way, the retrieval key determination is realized through natural speech dialogues with the user.

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FIG.1  
SPEECH RECOGNITION BASED INTERACTIVE INFORMATION RETRIEVAL APPARATUS 1

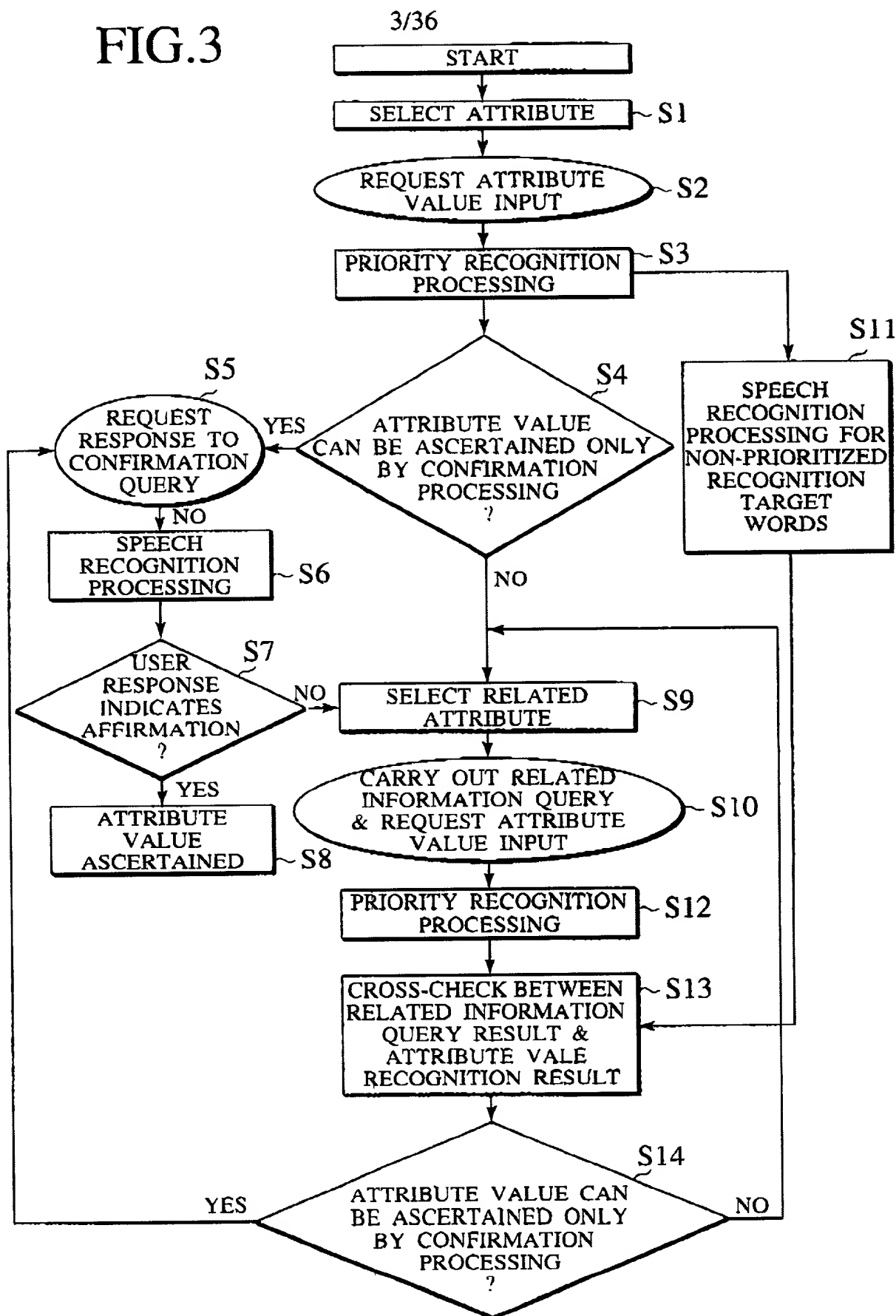


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FIG.2

ATTRIBUTE VALUES OF ATTRIBUTE #1	ATTRIBUTE VALUES OF ATTRIBUTE #2	---	ATTRIBUTE VALUES OF ATTRIBUTE # $\alpha$
ATTRIBUTE VALUE #1 <sub>1</sub>	ATTRIBUTE VALUE #2 <sub>1</sub>	---	ATTRIBUTE VALUE # $\alpha$ <sub>1</sub>
ATTRIBUTE VALUE #1 <sub>1</sub>	ATTRIBUTE VALUE #2 <sub>1</sub>	---	ATTRIBUTE VALUE # $\alpha$ <sub>2</sub>
ATTRIBUTE VALUE #1 <sub>1</sub>	:	---	ATTRIBUTE VALUE # $\alpha$ <sub>3</sub>
ATTRIBUTE VALUE #1 <sub>1</sub>	ATTRIBUTE VALUE #2 <sub>1</sub>	---	ATTRIBUTE VALUE # $\alpha$ <sub>4</sub>
:	ATTRIBUTE VALUE #2 <sub>2</sub>	---	:
:	:	---	:
:	ATTRIBUTE VALUE #2 <sub>3</sub>	---	:
ATTRIBUTE VALUE #1 <sub>1</sub>	:	---	:
ATTRIBUTE VALUE #1 <sub>2</sub>	ATTRIBUTE VALUE #2 <sub>1</sub>	---	:
ATTRIBUTE VALUE #1 <sub>2</sub>	:	---	:
:	ATTRIBUTE VALUE #2 <sub>j</sub>	---	:
:	:	---	:
ATTRIBUTE VALUE #1 <sub>2</sub>	:	---	:
ATTRIBUTE VALUE #1 <sub>3</sub>	ATTRIBUTE VALUE #2 <sub>1</sub>	---	:
:	:	---	:
ATTRIBUTE VALUE #1 <sub>3</sub>	:	---	:
:	:	---	:
:	:	---	:
:	:	---	:
ATTRIBUTE VALUE #1 <sub>n</sub>	:	---	:
ATTRIBUTE VALUE #1 <sub>n</sub>	:	---	:
:	ATTRIBUTE VALUE #2 <sub>p</sub>	---	:
ATTRIBUTE VALUE #1 <sub>n</sub>	ATTRIBUTE VALUE #2 <sub>p</sub>	---	ATTRIBUTE VALUE # $\alpha$ <sub>2</sub>

FIG.3



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FIG.4

ATTRIBUTE VALUES OF ATTRIBUTE #1 (PREFECTURE)	ATTRIBUTE VALUES OF ATTRIBUTE #2 (CITY/TOWN)	ATTRIBUTE VALUES OF ATTRIBUTE #3 (SECTION)
TOKYO	MINATO	ROPPOGI
TOKYO	MINATO	AKASAKA
TOKYO	MINATO	SHIBA
TOKYO	MINATO	DAIBA
TOKYO	MINATO	;
TOKYO	MINATO	;
TOKYO	MINATO	;
TOKYO	;	;
TOKYO	CHUOU	GINZA
TOKYO	CHUOU	NIHONBASHI
TOKYO	CHUOU	;
TOKYO	;	;
TOKYO	CHIYODA	;
TOKYO	CHIYODA	;
TOKYO	;	;
TOKYO	SUGINAMI	;
TOKYO	SUGINAMI	;
;	;	;
KANAGAWA	YOKOHAMA	ISOGO
KANAGAWA	YOKOHAMA	KANAZAWA
KANAGAWA	;	;
KANAGAWA	YOKOSUKA	HIKARINOOKA
KANAGAWA	;	NAGASAWA
KANAGAWA	CHIGASAKI	;
	;	;





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FIG.6

OUTPUT ORDER	ATTRIBUTE VALUE (CITY/TOWN) CANDIDATE	RECOGNITION LIKELIHOOD
1	CHIGASAKI	1,200
2	TAKASAKI	1,050
3	TAKAMATU	980
4	AMAGASAKI	970
.	.	.
10	YOKKAICHI	820
.	.	.
500	YOKOHAMA	.
.	.	.
.	.	.
2100	NAHA	2

DESCENDING  
ORDER OF  
RECOGNITION  
LIKELIHOOD

 CITY/TOWN CONTAINED IN  
NON-PRIORITIZED RECOGNITION TARGET WORDS

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FIG.7

OUTPUT ORDER	RELATED ATTRIBUTE VALUE CANDIDATE	RECOGNITION LIKELIHOOD
1	KAGAWA	1,020
2	KANAGAWA	1,000
3	ISHIKAWA	910
4	FUKUOKA	870
.	.	.
.	.	.
47	HOKKAIDO	34

DESCENDING  
ORDER OF  
RECOGNITION  
LIKELIHOOD

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FIG.8

OUTPUT ORDER	ATTRIBUTE VALUE (CITY/TOWN) CANDIDATE	RECOGNITION LIKELIHOOD	OUTPUT ORDER	RELATED ATTRIBUTE VALUE CANDIDATE	RECOGNITION LIKELIHOOD
1	CHIGASAKI	1,200	1	KAGAWA	1,020
2	TAKASAKI	1,050	2	KANAGAWA	1,000
3	TAKAMATSU	980	3	ISHIKAWA	910
4	AMAGASAKI	970	4	FUKUOKA	870
.	.	.	.	.	.
10	YOKKAICHI	820	.	.	.
.	.	.	47	HOKKAIDO	34
500	YOKOHAMA	.			
.	.	.			
.	.	.			
2100	NAHA	2			

RELATED ATTRIBUTE VALUE  
RECOGNITION RESULT (FIG.5)

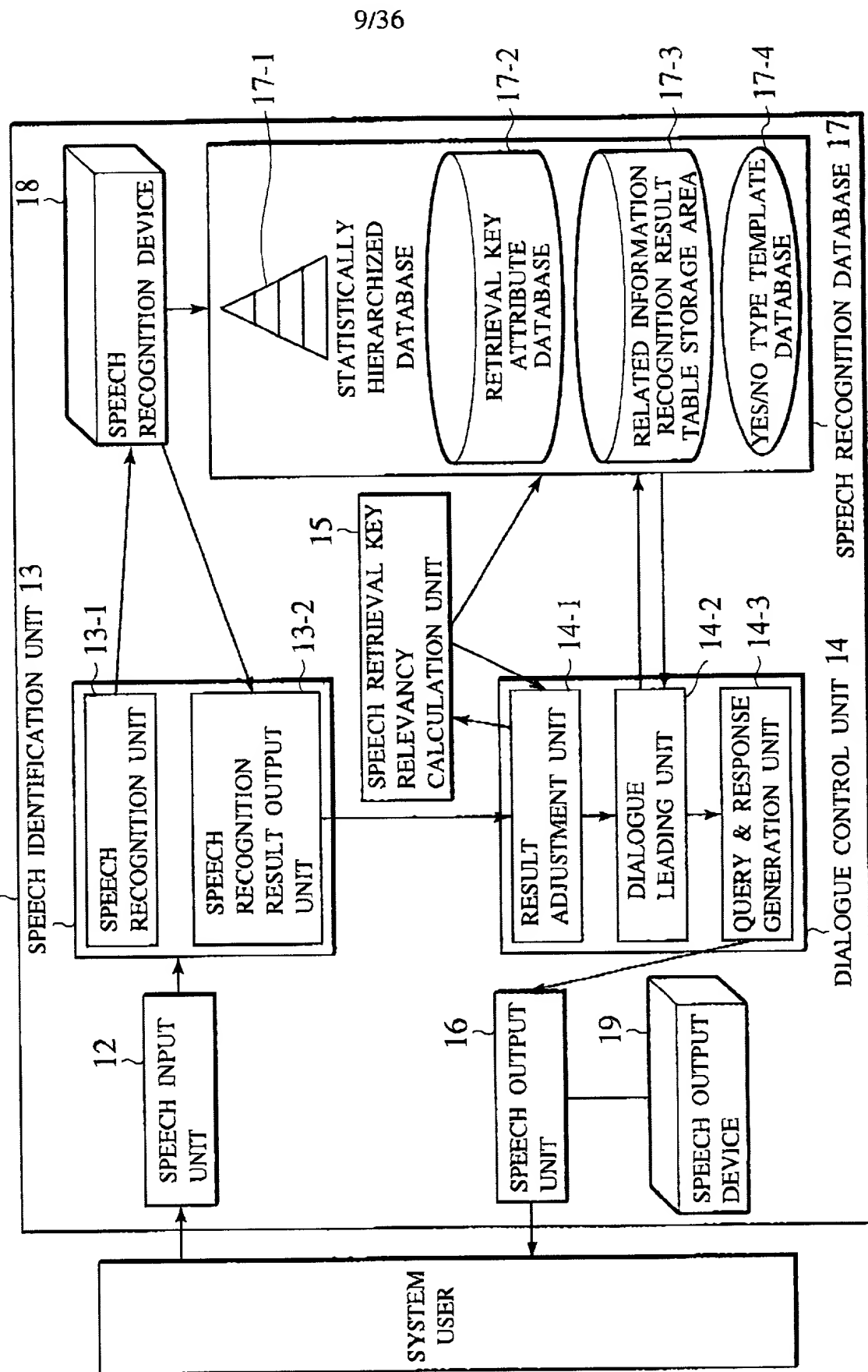


ATTRIBUTE VALUE RECOGNITION RESULT (FIG.4)

OUTPUT ORDER	ATTRIBUTE VALUE (CITY/TOWN) CANDIDATE	CROSS-CHECKED LIKELIHOOD
1	CHIGASAKI,KANAGAWA	1,200,000
2	TAKAMATSU,KAGAWA	1,071,000
3	KANAZAWA,ISHIKAWA	999,800
4	.	.
.	.	.
10	TAKASAKI,GUNMA	640,100
.	.	.
.	.	.
.	.	.
2100	NAHA,OKINAWA	80

FIG. 9

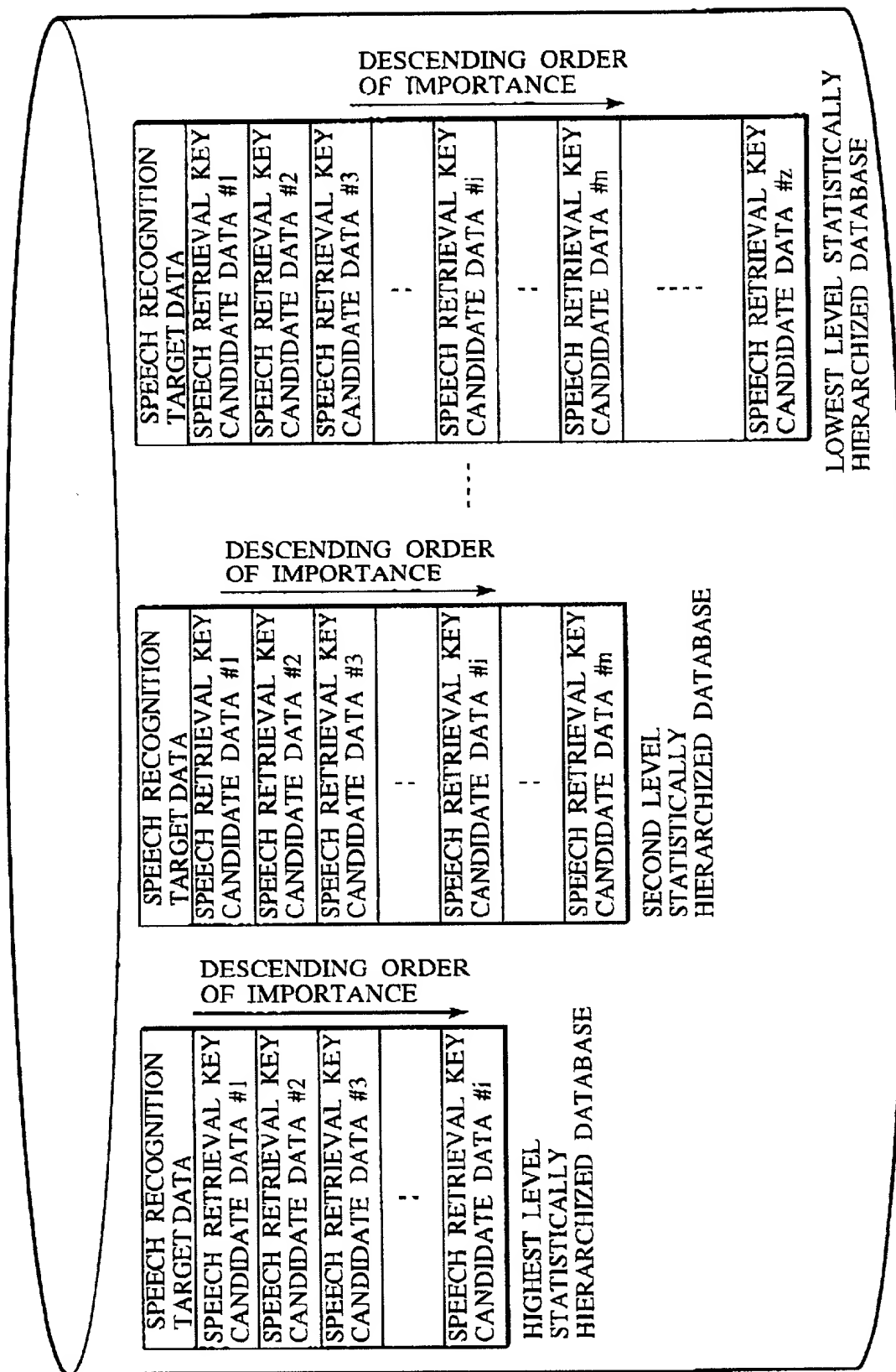
## SPEECH RECOGNITION BASED INTERACTIVE INFORMATION RETRIEVAL APPARATUS 11





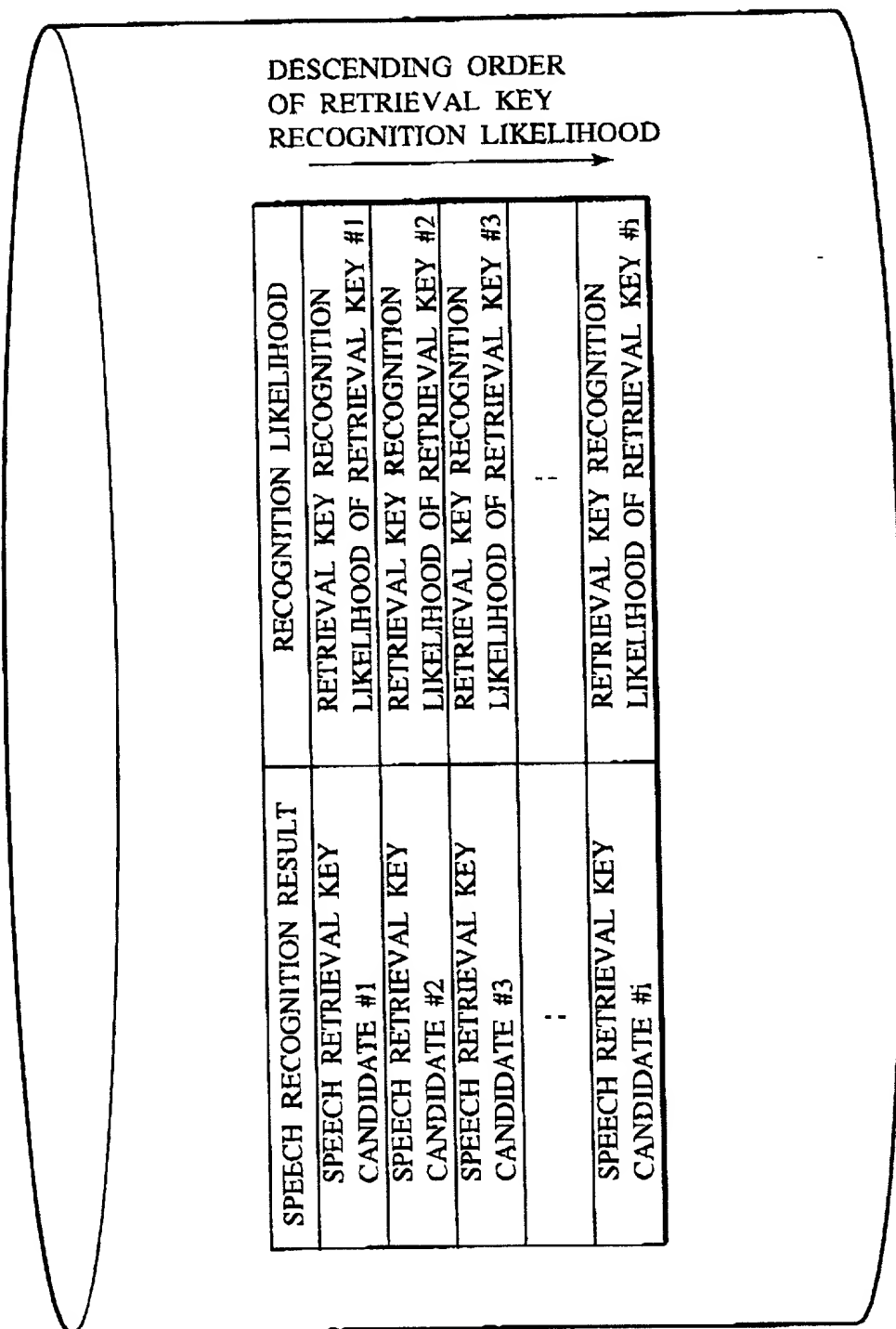
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FIG.10



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FIG.11



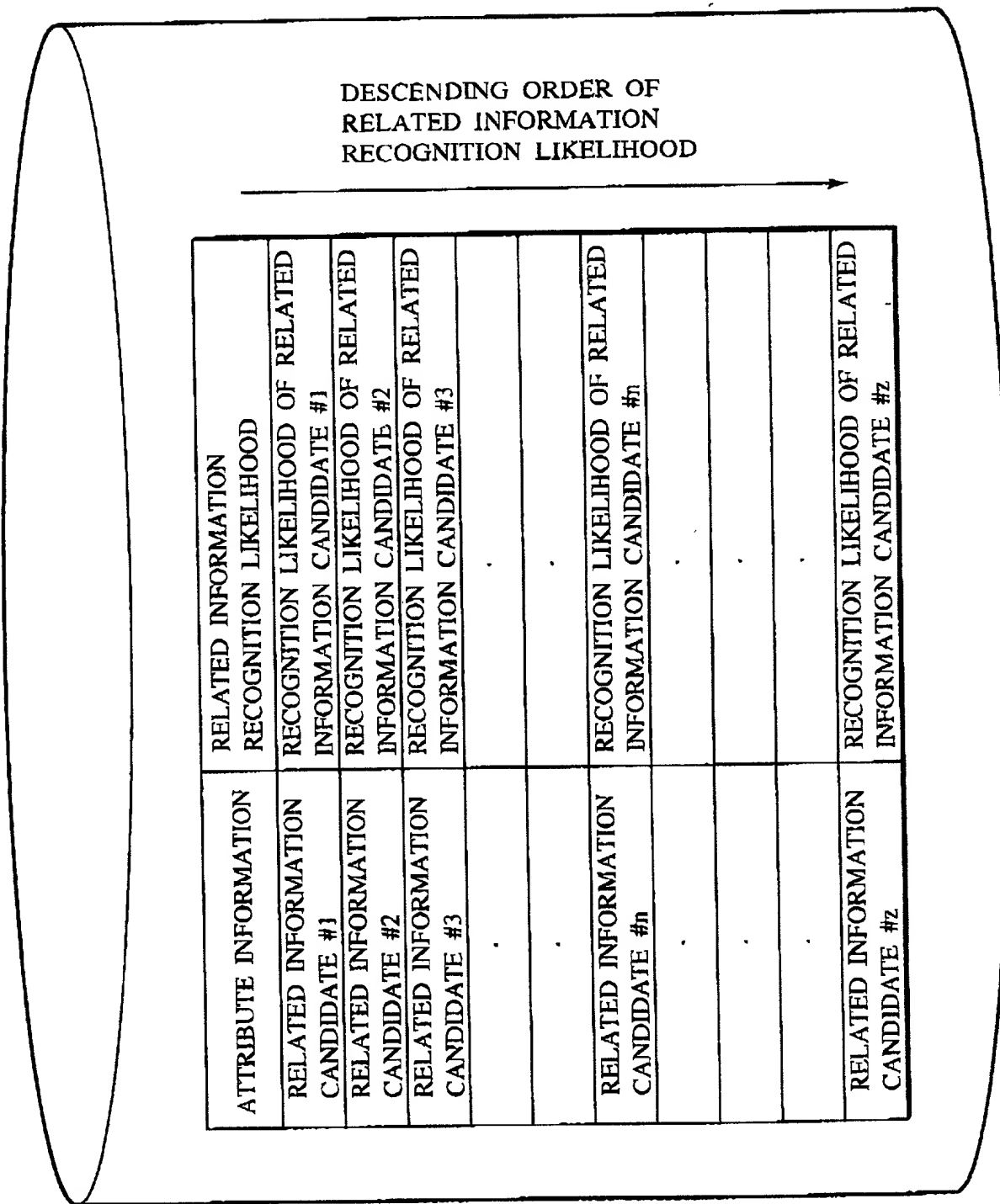
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**FIG. 12**

	RELATED ATTRIBUTE #1	.	RELATED ATTRIBUTE #n
SPEECH RETRIEVAL KEY #1	RELATED ATTRIBUTE OF RETRIEVAL KEY #1	.	RELATED ATTRIBUTE OF RETRIEVAL KEY #1
SPEECH RETRIEVAL KEY #2	RELATED ATTRIBUTE OF RETRIEVAL KEY #2	.	RELATED ATTRIBUTE OF RETRIEVAL KEY #2
SPEECH RETRIEVAL KEY #3	RELATED ATTRIBUTE OF RETRIEVAL KEY #3	.	RELATED ATTRIBUTE OF RETRIEVAL KEY #3
.	.	.	.
SPEECH RETRIEVAL KEY #i	RELATED ATTRIBUTE OF RETRIEVAL KEY #i	.	RELATED ATTRIBUTE OF RETRIEVAL KEY #i
.	.	.	.
.	.	.	.
SPEECH RETRIEVAL KEY #n	RELATED ATTRIBUTE OF RETRIEVAL KEY #n	.	RELATED ATTRIBUTE OF RETRIEVAL KEY #n
.	.	.	.
.	.	.	.
.	.	.	.
SPEECH RETRIEVAL KEY #z	RELATED ATTRIBUTE OF RETRIEVAL KEY #z	.	RELATED ATTRIBUTE OF RETRIEVAL KEY #z

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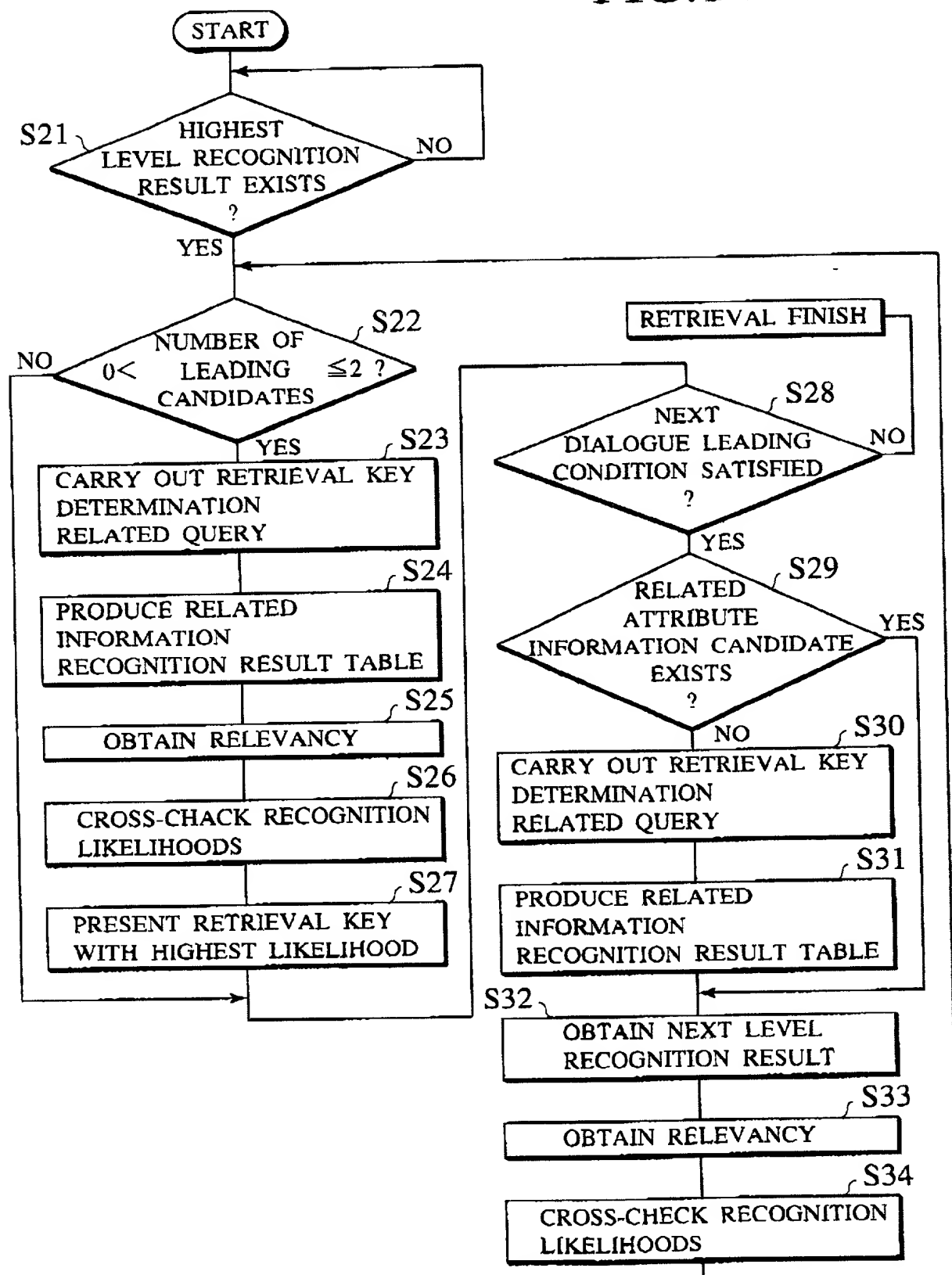
FIG.13





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FIG.14



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FIG.15

	1ST LEVEL	2ND LEVEL	3RD LEVEL	4TH LEVEL
1	BERLIN PHILHARMONIC	BERLIN PHILHARMONIC	BERLIN PHILHARMONIC	BERLIN PHILHARMONIC
2	VIENNA PHILHARMONIC	VIENNA PHILHARMONIC	VIENNA PHILHARMONIC	VIENNA PHILHARMONIC
3	NEW YORK PHILHARMONIC	NEW YORK PHILHARMONIC	NEW YORK PHILHARMONIC	NEW YORK PHILHARMONIC
4	LONDON SYMPHONY	LONDON SYMPHONY	LONDON SYMPHONY	LONDON SYMPHONY
5	CHICAGO SYMPHONY	CHICAGO SYMPHONY	CHICAGO SYMPHONY	CHICAGO SYMPHONY
6	BOSTON SYMPHONY	BOSTON SYMPHONY	BOSTON SYMPHONY	BOSTON SYMPHONY
.	.	.	.	.
.	.	.	.	.
.	.	.	.	.
59	VIENNA STATE OPERA	VIENNA STATE OPERA	VIENNA STATE OPERA	VIENNA STATE OPERA
60	METROPOLITAN OPERA	METROPOLITAN OPERA	METROPOLITAN OPERA	METROPOLITAN OPERA
.		.	.	.
.		.	.	.
.		.	.	.
90		GUSTAV LEONHARDT	GUSTAV LEONHARDT	GUSTAV LEONHARDT
.		.	.	.
149		HESPERION XX	HESPERION XX	HESPERION XX
150		CONSORT OF MUSICKE	CONSORT OF MUSICKE	CONSORT OF MUSICKE
.			.	.
.			.	.
.			.	.
.			.	.
.			.	.
249			SEQUENTIA	SEQUENTIA
250			HUEL GAS ENSEMBLE	HUEL GAS ENSEMBLE
.				.
.				.
.				.
.				.
350				LONDON SINFONIETTA

000250-6722560

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FIG.16

	SPEECH RETRIEVAL KEY CANDIDATE	RECOGNITION LIKELIHOOD	NORMALIZED LIKELIHOOD
1	LONDON SYMPHONY	4,500	0.0375
2	BOSTON SYMPHONY	4,300	0.0358
3	NEW YORK PHILHARMONIC	3,900	0.0325
4	VIENNA STATE OPERA	3,700	0.0308
5	METROPOLITAN OPERA	3,700	0.0308
6	CHICAGO SYMPHONY	3,400	0.0283
.	.	.	.
.	.	.	.
60	PHILHARMONIA ORCHESTRA	1,600	0.0133
TOTAL		120,000	1.0000

DESCENDING  
ORDER OF RETRIEVAL  
KEY RECOGNITION  
LIKELIHOOD

000050" 6725560

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## FIG.17

	RETRIEVAL KEY CANDIDATE	DATE	PLACE	DAY OF WEEK	PREFECTURE	STYLE
1	BERLIN PHILHARMONIC	APRIL 12	OPERA CITY	MON	TOKYO	ORCHESTRA
2	VIENNA PHILHARMONIC	MAY 30	SYMPHONY HALL	SUN	OSAKA	ORCHESTRA
3	NEW YORK PHILHARMONIC	APRIL 3	OPERA CITY	SAT	TOKYO	ORCHESTRA
4	LONDON SYMPHONY	MAY 30	SYMPHONY HALL	SUN	OSAKA	ORCHESTRA
5	CHICAGO SYMPHONY	APRIL 20	SUNTORY HALL	TUE	TOKYO	ORCHESTRA
6	BOSTON SYMPHONY	APRIL 10	OPERA CITY	SAT	TOKYO	ORCHESTRA
.	.	.	.	.	.	.
.	.	.	.	.	.	.
59	VIENNA STATE OPERA	APRIL 20	NHK HALL	TUE	TOKYO	OPERA
60	METROPOLITAN OPERA	APRIL 21	NHK HALL	WED	TOKYO	OPERA
.	.	.	.	.	.	.
.	.	.	.	.	.	.
90	GUSTAV LEONHARDT	MARCH 3	CASALS HALL	WED	TOKYO	BAROQUE
.	.	.	.	.	.	.
149	HESPERION XX	MARCH 30	FESTIVAL HALL	TUE	OSAKA	BAROQUE
150	CONSORT OF MUSICKE	APRIL 10	SUNTORY HALL	SAT	TOKYO	BAROQUE
.	.	.	.	.	.	.
.	.	.	.	.	.	.
.	.	.	.	.	.	.
249	SEQUENTIA	APRIL 2	ORCHARD HALL	FRI	TOKYO	RENAISSANCE
250	HUEL GAS ENSEMBLE	APRIL 21	TOKYO CATHEDRAL	WED	TOKYO	RENAISSANCE
.	.	.	.	.	.	.
.	.	.	.	.	.	.
350	LONDON SINFONIETTA	MARCH 3	FESTIVAL HALL	WED	OSAKA	CONTEMPORARY


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FIG.18

	ATTRIBUTE INFORMATION CANDIDATE	RELATED INFORMATION LIKELIHOOD	NORMALIZED LIKELIHOOD
1	MARCH 5	5,600	0.0102
2	MARCH 10	5,400	0.0098
3	MARCH 4	4,800	0.0090
4	APRIL 10	4,400	0.0080
5	MARCH 3	4,200	0.0077
6	APRIL 3	3,500	0.0064
7	MARCH 30	3,000	0.0055
.	.	.	.
.	.	.	.
61	APRIL 20	1,100	0.0020
TOTAL		549,000	1.0000

DESCENDING  
ORDER OF  
RELATED  
INFORMATION  
LIKELIHOOD



000000-000000

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FIG.19

	SPEECH RETRIEVAL KEY CANDIDATE	RECOGNITION LIKELIHOOD	NORMALIZED LIKELIHOOD
1	HESPERION XX	4,200	0.0080
2	CONSORT OF MUSICKE	4,020	0.0077
3	LONDON SYMPHONY	3,900	0.0074
4	GUSTAV LEONHARDT	3,800	0.0072
5	BOSTON SYMPHONY	3,500	0.0067
6	CHICAGO SYMPHONY	2,800	0.0053
.	.	.	.
.	.	.	.
60	ENSEMBLE ORGANUM	1,900	0.0036
.	.	.	.
.	.	.	.
150	GOTHIC VOICES	240	0.0004
TOTAL		525,000	1.0000

DESCENDING  
ORDER OF  
RETRIEVAL  
KEY  
RECOGNITION  
LIKELIHOOD

[illegible]

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FIG.20

RETRIEVAL KEY  
ATTRIBUTE DATABASE

SPEECH RETRIEVAL KEY CANDIDATE	RECOGNITION LIKELIHOOD	NORMALIZED LIKELIHOOD	ATTRIBUTE INFORMATION CANDIDATE	RELATED INFORMATION LIKELIHOOD	NORMALIZED LIKELIHOOD
1 HESPERION XX	4,200	0.0080	MARCH 5	5,600	0.0102
2 CONSORT OF MUSICKE	4,020	0.0077	MARCH 10	5,400	0.0098
3 LONDON SYMPHONY	3,900	0.0074	MARCH 5	4,800	0.0090
4 GUSTAV LEONHARDT	3,800	0.0072	APRIL 10	4,400	0.0080
5 BOSTON SYMPHONY	3,500	0.0067	MARCH 3	4,200	0.0077
6 CHICAGO SYMPHONY	2,800	0.0053	APRIL 3	3,500	0.0064
.	.	.	MARCH 30	3,000	0.0055
60 ENSEMBLE ORGANUM	1,900	0.0036	.	.	.
.	.	.	.	.	.
150 GOTHIC VOICES	240	0.0004	MARCH 31	1,100	0.0020
	525,000	1.0000	.	549,000	1.0000
TOTAL					

RELATED INFORMATION RECOGNITION  
RESULT TABLESPEECH RECOGNITION RESULT TABLE FOR  
SECOND STATISTICALLY HIERARCHIZED DATABASE

	SPEECH RECOGNITION RESULT	NEW RECOGNITION LIKELIHOOD	NORMALIZED NEW LIKELIHOOD	RENEWED LIKELIHOOD THRESHOLD
1	CONSORT OF MUSICKE	0.000062	0.2897	2
2	GUSTAV LEONHARDT	0.000056	0.2593	
3	BOSTON SYMPHONY	0.000054	0.2523	
4	HESPERION XX	0.000044	0.2056	
5	LONDON SYMPHONY	0.000000	0.0000	
		0.000216	1.0000	

INITIAL LIKELIHOOD THRESHOLD





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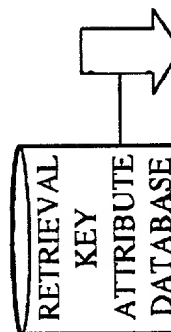
FIG.22

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SPEECH RECOGNITION RESULT	NEW RECOGNITION LIKELIHOOD	NORMALIZED NEW LIKELIHOOD	LIKELIHOOD THRESHOLD	ATTRIBUTE INFORMATION CANDIDATE	RELATED INFORMATION LIKELIHOOD	NORMALIZED LIKELIHOOD
1 CONSORT OF MUSICKE	0.000062	0.2897		1 CASALS HALL	4,200	0.0833
2 GUSTAV LEONHARDT	0.000056	0.2593		2 CRCHARD HALL	3,900	0.0774
3 BOSTON SYMPHONY	0.000054	0.2523		3 FESTIVAL HALL	3,700	0.0734
4 HESPERION XX	0.000044	0.2056		4 SYMPHONY HALL	2,200	0.0436
5 LONDON SYMPHONY	0.000000	0.0000		5 NHK HALL	2,000	0.0397
	0.000216	1.0000		6 SUNTORY HALL	2,000	0.0397
				.	.	.
				.	.	.
				40 OPERA CITY	1,400	0.0278
					50,400	1.0000

PLACE AS RELATED INFORMATION  
RECOGNITION RESULT TABLE

RESULT OF CROSS-CHECKING SECOND LEVEL  
SPEECH RECOGNITION RESULT & CONCERT DATE



	SPEECH RECOGNITION RESULT	NEW RECOGNITION LIKELIHOOD	NORMALIZED NEW LIKELIHOOD
1	GUSTAV LEONHARDT	0.02160	0.6123
2	CONSORT OF MUSICKE	0.01150	0.3260

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FIG.23

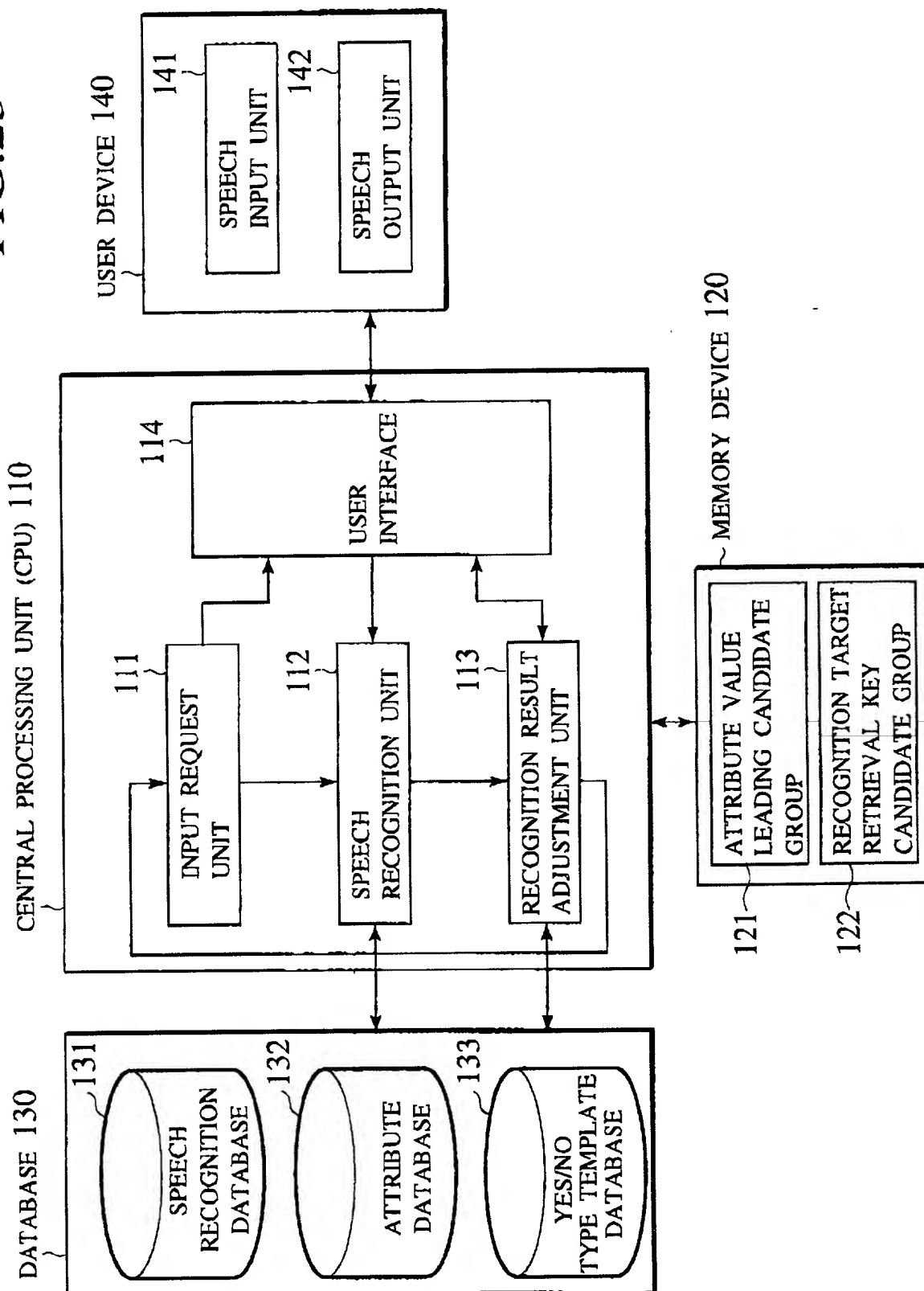


FIG.24

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RETRIEVAL KEY CANDIDATE	ATTRIBUTE ITEM #1	ATTRIBUTE ITEM #2	.	.	ATTRIBUTE ITEM #n
RETRIEVAL KEY #1	ATTRIBUTE VALUE #1-10	ATTRIBUTE VALUE #2-2	.	.	ATTRIBUTE VALUE #n-11
RETRIEVAL KEY #2	ATTRIBUTE VALUE #1-2	ATTRIBUTE VALUE #2-9	.	.	ATTRIBUTE VALUE #n-1
RETRIEVAL KEY #3	ATTRIBUTE VALUE #1-k	ATTRIBUTE VALUE #2-12	.	.	ATTRIBUTE VALUE #n-k
.	.	.	.	.	.
.	.	.	.	.	.
RETRIEVAL KEY #k	ATTRIBUTE VALUE #1-5	ATTRIBUTE VALUE #2-21	.	.	ATTRIBUTE VALUE #n-3

FIG.25

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ATTRIBUTE ITEM #1	ATTRIBUTE ITEM #2	.	.	ATTRIBUTE ITEM #n
ATTRIBUTE VALUE CANDIDATE #1-1	ATTRIBUTE VALUE CANDIDATE #2-1	.	.	ATTRIBUTE VALUE CANDIDATE #n-1
ATTRIBUTE VALUE CANDIDATE #1-2	ATTRIBUTE VALUE CANDIDATE #2-2	.	.	ATTRIBUTE VALUE CANDIDATE #n-2
ATTRIBUTE VALUE CANDIDATE #1-3	ATTRIBUTE VALUE CANDIDATE #2-3	.	.	ATTRIBUTE VALUE CANDIDATE #n-3
.	.	.	.	.
.	.	.	.	.
ATTRIBUTE VALUE CANDIDATE #1-k	ATTRIBUTE VALUE CANDIDATE #2-k	.	.	ATTRIBUTE VALUE CANDIDATE #n-k

[illegible]





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FIG. 29

ORDER	ATTRIBUTE VALUE CANDIDATE	RECOGNITION LIKELIHOOD
1ST	KAGAWA	0.945
2ND	KANAGAWA	0.899
3RD	ISHIKAWA	0.783
.	.	.
.	.	.
.	.	.
47TH	FUKUI	0.212

FIG.30

RECOGNITION TARGET CITY/TOWN	ATTRIBUTE VALUE
YOKOHAMA	KANAGAWA
YOKOSUKA	KANAGAWA
KAWASAKI	KANAGAWA
KAMAKURA	KANAGAWA
.	KANAGAWA
.	.
.	.
.	.
KAGAWA	KAGAWA
TAKAMATSU	KAGAWA
SAKAIDE	KAGAWA
KOTOHIRA	KAGAWA
.	.

189 RETRIEVAL KEY  
CANDIDATES SELECTED

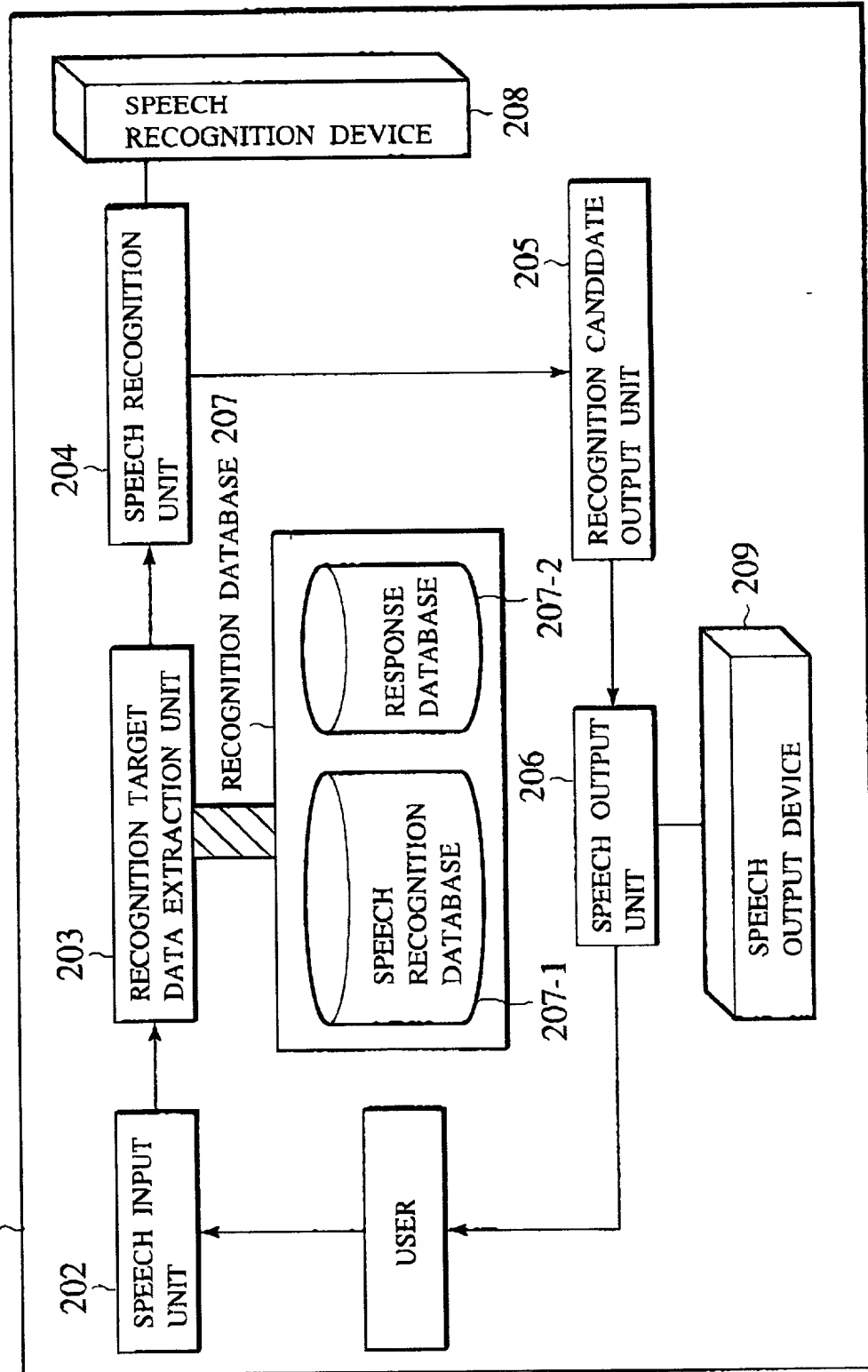
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FIG.32

SPEECH RECOGNITION BASED INTERACTIVE INFORMATION RETRIEVAL APPARATUS 201

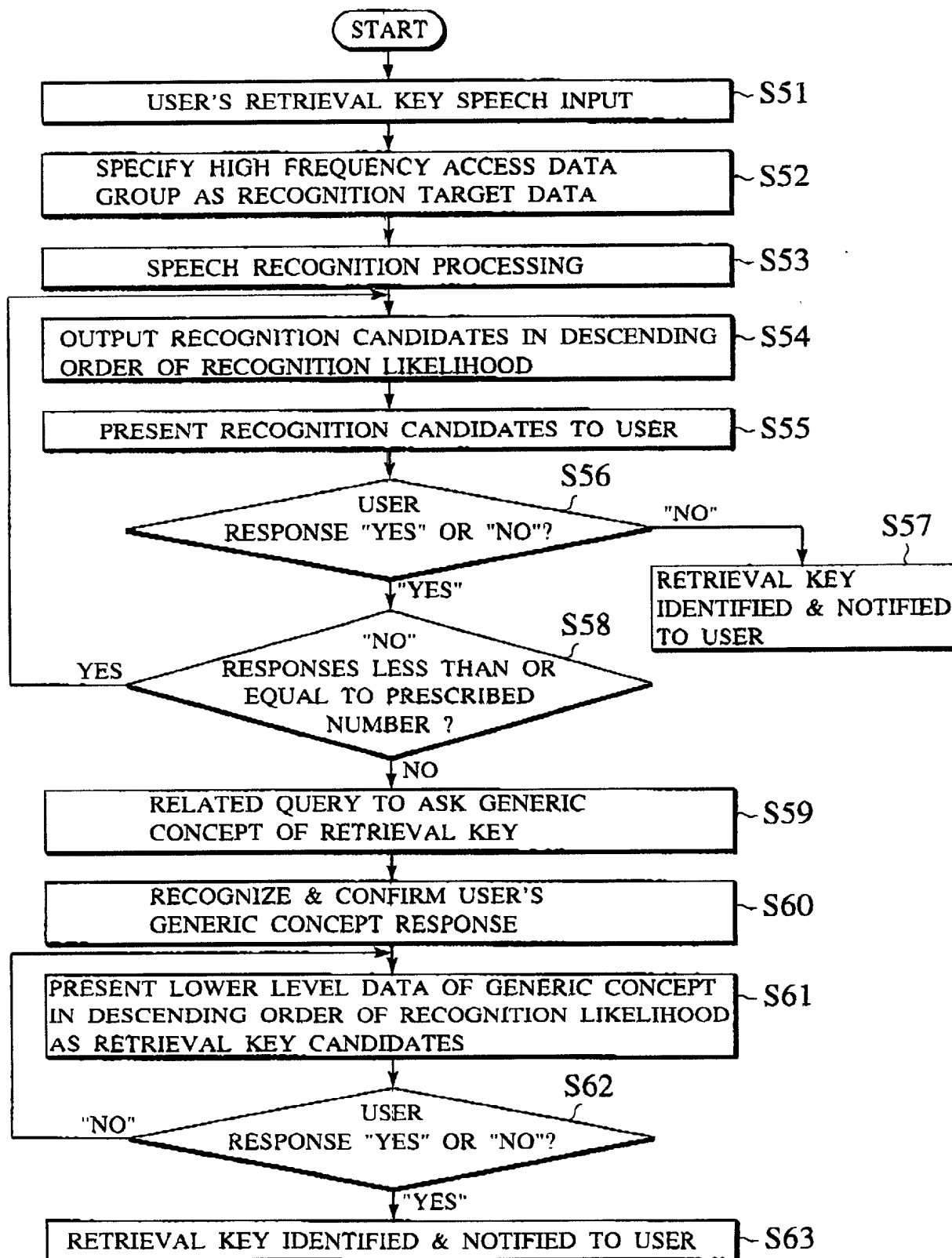






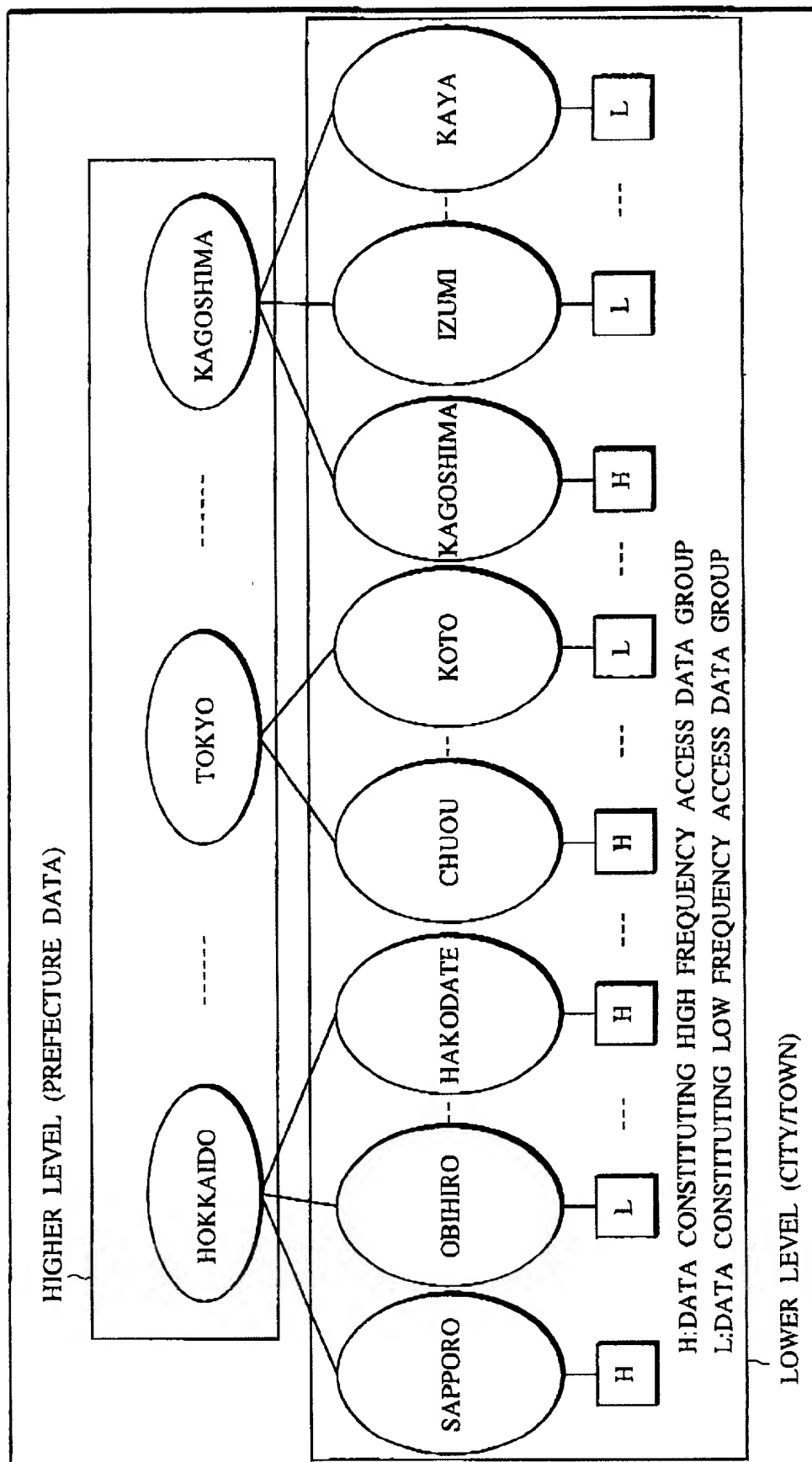
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FIG.34



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FIG.35











J&amp;A Docket No. 13700-0240

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: SPEECH RECOGNITION BASED INTERACTIVE INFORMATION RETRIEVAL SCHEME USING DIALOGUE CONTROL TO, the specification of which

☒ is attached hereto.

REDUCE USER STRESS

☐ was filed on \_\_\_\_\_ as application Serial No. \_\_\_\_\_ (if applicable) and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I do not know and do not believe that the same was ever known or used by others in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to the date of this application. I further state that the invention was not in public use or on sale in the United States of America more than one year prior to the date of this application. I understand that I have a duty of candor and good faith toward the Patent and Trademark Office, and I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a). I further understand that information is "material" where there is a substantial likelihood that a reasonable patent examiner would consider the information important in deciding whether to allow the application to issue as a patent.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of my foreign application(s) for patent or inventor's certificate listed below, and have also identified below any foreign application for patent or inventor's certificate disclosing subject matter in common with the above-identified specification and having a filing date before that of the application on which priority is claimed:

Country	App. No.	Date of Filing	Priority Claimed Under 35 USC §119	
Japan	P11-150855	May 31, 1999	Yes	No
Japan	P11-221820	August 4, 1999	Yes	No
Japan	P11-230216	August 17, 1999	Yes	No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status: <u>patented</u> <u>pending</u> <u>abandoned</u>

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patents issuing thereon.

I hereby authorize the U.S. attorneys named herein to accept and follow instructions from Miyoshi & Miyoshi, as to any action to be taken in the Patent and Trademark Office regarding this application, without direct communication between the U.S. attorney and the undersigned. In the event of a change in the persons from whom instructions may be taken, the U.S. attorney named herein will be notified by the undersigned.

**POWER OF ATTORNEY:** The following attorneys are hereby appointed to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Anthony B. Askew - 24,154; Roger T. Frost - 22,176; Jeffrey E. Young - 28,490; Robert E. Richards - 29,105; John R. Harris - 30,388; Stephen M. Schaezel - 31,418; Larry A. Roberts - 31,871; Thomas A. Hodgc. - 22,602; Charles L. Warner II - 32,320; Gregory T. Gronholm - 32,415; Dale Lischer - 28,438; Peter G. Pappas - 33,205; James Dean Johnson - 31,771; Nora M. Tocups - 35,717; W. Scott Petty - 35,645; Daniel J. Warren - 34,272; Hubert J. Barnhardt III - 36,739; Virginia L. Carron - 37,110; Leona G. Young - 37,266; Jamie L. Greene - 32,467; William A. Hariselle - 36,548; Holmes J. Hawkins III - 38,913; Mary Anthony Merchant - 39,771; Michael J. Mehrman - 40,086; William L. Warren - 36,714; Felipe J. Farley - 38,445; F. Leslie Bessenger III - 39,108; James A. Witherspoon - 36,723; Brenda M. Ozaki - 40,339; James D. Withers - 40,376; M. Todd Mitchem - P40,731; Gregory S. Smith - P40,819.

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Roger T. Frost

☒ inventors are being named on separately numbered sheets attached hereto.



Attorney Docket No. 13700-0240Title: Speech Recognition Based Interactive Information Retrieval Scheme....

Page 2

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Inventor's signature	<i>Noriko Mizusawa</i> Date: May 24, 2000